

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF COLUMBIA**

MICHAEL MABEE,

*Plaintiff,*

v.

FEDERAL ENERGY REGULATORY  
COMMISSION,

*Defendant.*

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Civil Action No. 19-3448 (ACR)

**PLAINTIFF’S NOTICE OF FIFTH DECLARATION OF MICHAEL MABEE**

Plaintiff hereby files the attached Fifth Declaration of Michael Mabee for the Court’s consideration and in support of Plaintiff’s Motion for Summary Judgment [ECF No. 58] and in further opposition to Defendant’s Motion for Summary Judgment [ECF No. 56].

Defendant does not oppose the filing of the attached Fifth Declaration of Michael Mabee.

Dated: This 23rd day of January 2025.

Respectfully Submitted,

/s/ C. Peter Sorenson

C. Peter Sorenson, DC Bar #438089

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*Attorney for Plaintiff*

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF COLUMBIA**

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Civil Action No. 19-3448 (ACR)

**FIFTH DECLARATION OF MICHAEL MABEE**

I, Michael Mabee, respectfully state as follows:

1. I am the Plaintiff in this action. If I were to testify at an evidentiary hearing or at trial, I would testify to the facts I am including in this Declaration and my previous declarations. *See* Decl. of Michael Mabee [ECF No. 43-2]; 3d Decl. of Michael Mabee [ECF No. 57-2]. I testify that the statements made in this Declaration and my previous Declarations are true and correct and they are incorporated herein by reference.
2. Prior to this judicial review, I have had two decades of experience processing FOIA requests for the federal government, so I have training and experience applying FOIA exemptions and the national policy that provides: “The Freedom of Information Act should be administered with a clear presumption: In the face of doubt, openness prevails.” (See Presidential Memorandum of January 21, 2009, concerning the Freedom of Information Act, 74 Fed. Reg. 4683; and Attorney General Garland’s Memorandum for Heads of Executive Departments and Agencies Concerning the Freedom of Information Act, (March 15, 2022). In my capacity

with the federal government, I have processed many hundreds of FOIA requests for sensitive whistleblower files, made decisions on disclosure, and was the deciding authority (e.g., my signature appears on the FOIA response letters).

3. I have reviewed the amended Declaration of Barry W. Kuehnle [ECF No. 65], and I take Mr. Kuehnle at his word that he did what he said he did. I disagree with his conclusory statements and assessments that 1) The names of violators of North American Electric Reliability Corporation (“NERC”) standards constitute Critical Electric Infrastructure Information (“CEII”) and are properly withheld under Exemption 3; and 2) that releasing the names of violators of past mitigated violations would endanger a person, so Exemption 7(F) applies. Neither Exemption 3 nor Exemption 7(F) apply to the records I am seeking.
4. My position on the facts is supported by three respected cybersecurity experts (*see* Decl. of Joseph M. Weiss [ECF No. 57-7]; Decl. of George R. Cotter [ECF No. 57-3]; and Decl. of Christopher Robert Vickery [ECF No. 57-5]); and one Critical Infrastructure Security expert (*see* Decl. of Thomas J. Waller Jr. [ECF No. 57-6]) who provided the Court their independent analysis rebutting the Federal Energy Regulatory Commission’s (“FERC’s”) position on the FOIA requests subject to this judicial review. Also, the Declaration of Tyson Slocum [ECF No. 57-4], Director of Public Citizen’s Energy Program, further supports my position that FERC is not properly applying the exemptions to FOIA.
5. FERC and Mr. Kuehnle’s Declaration is apparently taking the position that FOIA Exemption 3 can be applied in a discretionary manner, which is why some records were released and

some were not. However, the FOIA statute clearly states that Exemption 3 only applies when the underlying statute either leaves “no discretion on the issue” as to whether the material must be withheld or the underlying statute “establishes particular criteria for withholding or refers to particular types of matters to be withheld.” 5 U.S.C. § 552(b)(3). Exemption 3 only applies when the underlying statute provides “narrow and well-defined” categories of records that must be withheld. *Labow v. U.S. Dep’t of Justice*, 831 F.3d 523, 528 (D.C. Cir. 2016) (quoting *Lam Lek Chong v. U.S. Drug Enforcement Admin.*, 929 F.2d 729, 733 (D.C. Cir. 1991)). The text of Exemption 3 intentionally limits agency discretion as to what records may be withheld under Exemption 3. Here, FERC, at the urging of the electric utility industry, is trying to withhold the names of regulatory violators from public and Congress scrutiny *in perpetuity* without any justification under Exemption 3.

6. Mr. Kuehnle and FERC would have the Court believe in his conclusory and speculative statements that releasing the names of violators arising from mitigated violations from over a decade ago would create some type of danger, or that increased transparency would endanger the public. Mr. Kuehnle and FERC would have the Court believe that the terrorists may use records obtained from my FOIA requests related to old, mitigated violations to plan an attack. The terrorists would not find this information useful. What terrorists would find more useful is the publicly available<sup>1</sup> NERC Compliance Registry (“NCR”). This list, available on NERC’s public website, lists all 1,744 registered entities in the Bulk Power System, along with their functions. If a terrorist wanted to target Generation Owners (“GO”) or Balancing

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<sup>1</sup> Available at: <https://www.nerc.com/pa/comp/Pages/Registration.aspx>

Authorities (“BA”), all of the names and functions<sup>2</sup> are conveniently located on NERC’s public website. It is disingenuous for FERC to say that merely the names of past violators of standards (of long mitigated violations) pose a danger when NERC provides much better terrorist targeting information publicly. A copy of that list, current through December 9, 2024, is attached as Exhibit 9. By visiting the websites of companies listed on the registry, anyone can access information related to those companies, often including information about methods of energy production, site locations, and other information that would likely be significantly more valuable to terrorists when planning an attack.

**Exemption 3 Does Not Apply:**

7. The names of the Unidentified Registered Entities (“UREs”) under review by the Court do not meet the definition of Critical Electric Infrastructure Information (CEII) in the statute, 16 U.S.C. § 824o-1(3), or FERC’s regulations, 18 C.F.R. § 388.113(c)(1) or (c)(2), which is FERC’s implementing regulation for the Fixing America’s Surface Transportation Act, Pub. L. No. 114-94 (FAST Act). Therefore, their release is not prohibited by law and Exemption 3 does not apply.

8. The FAST Act § 215A(3), codified at 16 U.S.C. § 824o-1(3), defines Critical Electric Infrastructure Information (CEII) as:

The term “critical electric infrastructure information” means information related to critical electric infrastructure, or proposed critical electrical infrastructure, generated by or provided to the Commission or other Federal agency, other than

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<sup>2</sup> The functions listed on the NCR are: Balancing Authority (BA), Distribution Provider (DP), Distribution Provider Underfrequency Load Shedding (DP-UFLS), Generator Owner (GO), Transmission Owner (TO), Transmission Operator (TOP), and Transmission Planner (TP).

classified national security information, that is designated as critical electric infrastructure information by the Commission or the Secretary pursuant to subsection (d). Such term includes information that qualifies as critical energy infrastructure information under the Commission's regulations.

9. FERC Order 833, published in the Federal Register on December 21, 2016, included the 18

C.F.R. § 388.113 final rule and read:

In response to the Trade Associations' comments seeking clarification if a name or location of a facility should be protected as CEII, the Commission's current practice is that information that "simply give[s] the general location of the critical infrastructure" or simply provides the name of the facility is not CEII. 81 Fed. Reg. 93735.

Therefore, by FERC's own rules and practice, the names of the violators are not CEII.

10. The Trade Associations objected to Rule 833 (they filed a "motion for rehearing") and the motion was denied in FERC order 833-A on May 30, 2018. Therefore, by FERC's own rules and practice, the names of the violators are not CEII.

11. Under pressure from the regulated industry, the North American Electric Reliability Corporation ("NERC")—which is the self-regulator for the industry and is funded by the regulated industry—stopped including the names of the violators in the Notices of Penalties ("NOPs") in July of 2010. FERC is violating its own regulations on NOPs by withholding the names of the violators. 18 CFR § 39.7 (b)(4) provides that:

Each violation or alleged violation shall be treated as nonpublic *until the matter is filed with the Commission as a notice of penalty* or resolved by an admission that the user, owner or operator of the Bulk-Power System violated a Reliability Standard or by a settlement or other negotiated disposition." (Emphasis added).

12. Further, 18 C.F.R. § 39.7(d)(1) provides that a notice of penalty by the Electric Reliability Organization shall consist of, *inter alia*: “The name of the entity on whom the penalty is imposed.” The regulations are very clear that the name of the entity on whom the penalty is imposed is to be disclosed. Yet, somehow this is not the practice at FERC and the records I am requesting have had the names of the registered entities withheld. This is the same issue that the industry raised—and FERC rejected—during the rulemaking process. *See* FERC Orders 833 and 833-A.
13. FERC has already released the names of some violators to other FOIA requestors which were denied in my FOIA requests. *See* 3d Decl. of Michael Mabee [ECF No. 57-2].
14. There is nothing in the record of the dockets subject to this review showing that the FERC ever designated the names of the violators as CEII. Nor is there any evidence in the record of these dockets that NERC ever requested after 5 years that the names be redesignated as CEII. Therefore, the names of the NOP violators are not designated as CEII. *See* 18 CFR § 388.113(e):
- (e) Duration of the CEII designation. All CEII designations will be subject to the following conditions:
- (1) A designation may last for up to a five-year period, unless re-designated. In making a determination as to whether the designation should be extended, the CEII Coordinator will take into account information provided in response to paragraph (d)(1)(i) of this section, and any other information, as appropriate.
  - (2) A designation may be removed at any time, in whole or in part, if the Commission determines that the unauthorized disclosure of CEII could no longer be used to impair the security or reliability of the bulk-power system or distribution facilities or any other form of energy infrastructure.

(3) The Commission will treat CEII or documents marked as CEII as non-public after the designation has lapsed until the CEII Coordinator determines to un-designate the information.

(4) If a CEII designation is removed, the submitter will receive notice and an opportunity to comment. The CEII Coordinator will notify the submitter of the information and give the submitter an opportunity (at least five business days) in which to comment in writing prior to the removal of the designation. Notice of a removal decision will be given to any submitter claiming that the information is CEII no less than five business days before disclosure. The notice will briefly explain why the submitter's objections to the removal of the designation are not sustained by the Commission.

15. Finally, the names of UREs of long mitigated regulatory violations does not meet the definition of Critical Electric Infrastructure Information in 18 C.F.R. § 388.113(c)(1) nor do the URE names meet the criteria of Critical Energy Infrastructure Information in 18 C.F.R. § 388.113(c)(2). Therefore, by both statute and regulation, FERC fails to carry its burden that Exemption 3 applies to the names of the UREs under this judicial review.

16. FERC has already released the names of some violators to other FOIA requestors which were denied in my FOIA requests. FERC has also provided the names of 141 UREs to me as the result of the FOIA requests under this review and has denied 1,349 URE names. Based on these actions, it appears FERC believes it has discretion to release *some of the names*, but refuses to release others. This exemplifies FERC's inability to rely on Exemption 3—the FAST Act clearly cannot leave “no discretion on the issue to FERC”, as the agency clearly uses its discretion to release violator names in some instances and not in others. Nor does the statute “establish particular criteria” to determine in what instances violator names should be withheld. Defendant cannot apply Exemption 3 to violator names. Exemption 3 does not apply to these records.



**Exemption 7(F) Does Not Apply:**

17. All violations subject to these FOIA Requests have long been mitigated. Each NOP includes NERC's assertion that the violations have been mitigated. In each case, FERC has accepted NERC's representation that the violation has or violations have been mitigated and FERC has issued a "Notice of No Further Review" closing the case as a matter of law. *See* 18 C.F.R. § 39.7(e). All violations subject to this judicial review have now been mitigated more than five years ago, and the majority over 10 years ago. If the violations are mitigated, there is no danger to the public by releasing the names of the violators.
  
18. Several Electric Infrastructure experts have submitted declarations in this case rebutting any assertion releasing the names of the violators in the cases subject to these FOIA requests would endanger anyone. *See* 3d Decl. of Michael Mabee [ECF No. 57-2]; Decl. of Tyson Slocum [ECF No. 57-4]; Decl. of George R. Cotter [ECF No. 57-3]; Decl. of Christopher Robert Vickery [ECF No. 57-5]; Decl. of Thomas J. Waller Jr. [ECF No. 57-6]; and Decl. of Joseph M. Weiss [ECF No. 57-7]. FERC has offered only vague assertion and conjecture.
  
19. Other Federal Agencies disclose the names of violators, including the NRC, DOJ, FAA, OSHA, SEC, FDA, for example. Note that the NRC (Nuclear Regulatory Commission) releases the names and locations of violators involving nuclear power plants. *See* 3d Decl. of Michael Mabee [ECF No. 57-2].

**Public Interest Considerations Outweigh Industry and Government Embarrassment:**

20. Ratepayers pay the penalties without being allowed to know that they are paying them or that the utility they depend upon for electricity is violating standards. In at least several instances, utilities have requested cost recovery for the NOPs from the ratepayers without the ratepayer's knowledge. *See* 3d Decl. of Michael Mabee [ECF No. 57-2].
21. Without the names of the violators, the public and Congress are unable to scrutinize this regulatory system—a system on which every person in the U.S. and our entire economy depends.
22. I believe that there is ample evidence that the self-regulatory regime is failing. I have filed complaints with Defendant on inadequate standards and inadequate enforcement of standards regarding Physical Security, Cyber Security, the 2021 Texas Blackout, as well as Supply Chain Cybersecurity. Moreover, in 2013 FERC approved the Transmission Vegetation Management Standard (non-CIP) and in several instances, FERC has withheld the names of the Transmission Vegetation Management Standard violators, allegedly because these violations were included in CIP NOPs. In June of 2020, Pacific Gas & Electric pleaded guilty to 85 counts of felony involuntary manslaughter for the deaths in Paradise, California (the “Camp Fire”), due to its lack of Transmission Vegetation Management.<sup>3</sup> Examples relevant to this judicial review are NP11-1-000, NP11-128-000, NP11-137-000 and NP12-20-000 in

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<sup>3</sup> See: “PG&E pleads guilty in Camp Fire, deadliest in CA history.” Sacramento Bee, June 17, 2020. <https://www.sacbee.com/news/california/fires/article243571597.html>.

which violations of standard FAC003-1 (Transmission Vegetation Management) were included with CIP violations and the names of the violators withheld from the public. *See* 3d Decl. of Michael Mabee [ECF No. 57-2].

23. If the violations are truly mitigated, why are FERC and NERC asserting that releasing the names of the violators would endanger anyone? Either the violations were mitigated there is no danger in releasing the names, or FERC and NERC are misleading the public and Congress about the mitigation.

**One More Example of FERC's Misapplication of FOIA Exemptions:**

24. In addition to my previous statements, I wish to highlight one more example of FERC's misapplication of the FOIA exemptions in this matter.
25. On July 6, 2010, NERC submitted nine NOPs to the FERC. These NOPs included docket numbers NP10-136-000 and NP10-138-000. The identities of the violators in these two NOPs were withheld from the public. The public version of these two NOPs are attached as Exhibit 1 and Exhibit 2, respectively.
26. On August 5, 2010, FERC issued a Notice stating: "Take notice that the Commission will not further review, on its own motion, the following Notices of Penalty" which included docket numbers NP10-136-000 and NP10-138-000. These notices, referred to throughout this matter

as a “notice of no further review” finalizes NERC’s Notices of Penalty as a matter of law. A copy of FERC’s August 5, 2010 Notice is attached as Exhibit 3.

27. On January 12, 2029, I filed a FOIA request which was identified by FERC as FOIA number 2019-0030 (also known in some documents as 2019-30). This FOIA request was for the identities of 1,384 UREs. These 1,384 are encompassed within 190 FERC docket numbers as some dockets contain multiple UREs. My FOIA request included docket numbers NP10-136-000 and NP10-138-000. My January 12, 2019, FOIA request is attached as Exhibit 4.

28. On September 3, 2019, FERC released the name of the violator in docket NP10-138-000 to me. A copy of FERC’s September 3, 2019, response letter is attached as Exhibit 5. While FERC’s response letter did not cite NP10-138-000, the name of the URE was disclosed in the released documents, and I assume that FERC excluded mention of docket NP10-138-000 in its response letter in error. I have attached the portion of the released documents relevant to docket NP10-138-000 as Exhibit 6.

29. On January 29, 2021, FERC denied my request for the name of the violator in docket NP10-136-000. FERC’s Response letter is attached as Exhibit 7.

30. Over 14.5 years have elapsed since the NOP was filed with FERC and FERC issued its “no further review” notice.

31. In both docket numbers NP10-136-000 and NP10-138-000, NERC represented in its NOP that the violations had been mitigated at the time of the NOP's filing with FERC on July 6, 2010.
32. In both docket numbers NP10-136-000 and NP10-138-000, FERC issued a "no further review" notice, accepting the NOP as a matter of law.
33. In both docket numbers NP10-136-000 and NP10-138-000, the UREs were cited for violation of the same standard: CIP-004-1 (Cyber Security — Personnel & Training). A copy of Standard CIP-004-1 is attached as Exhibit 8.
34. Standard CIP-004-1 "requires that personnel having authorized cyber or authorized unescorted physical access to Critical Cyber Assets, including contractors and service vendors, have an appropriate level of personnel risk assessment, training, and security awareness."
35. NERC Represented to FERC in docket number NP10-136-000 that the URE mitigated the violation:
- The Registered Entity mitigated this violation by training the affected personnel and improving its cyber security training documentation and tracking system.
  - The Registered Entity now requires written and signed confirmation of PRA completion and no longer allows verbal or e-mail confirmations of PRAs. Also, the Registered Entity

modified its card-authorization access form to include additional approval signatures to verify the completion of the PRA.

36. NERC Represented to FERC in docket number NP10-138-000 that the URE mitigated the violation, stating in the NOP:

- The Registered Entity mitigated this violation by implementing an independent process for the reconciliation of terminations or transfers of employees with access to Critical Cyber Assets against changes to the maintained lists of employees with physical and electronic access to Critical Cyber Assets. Also it committed to removing all inappropriate employee access and to correct its HR practices so that its badge access lists are synchronized with HR records twice daily. The Registered Entity:
  1. provided additional training for managers regarding proper procedures for removing access to relevant cyber assets;
  2. changed internal process to notify an administrator and other personnel of changes processed by HR regarding personnel with access to critical cyber assets;
  3. removed access for terminated or transferred employees as determined by internal investigation or audit action;
  4. implemented a program change to migrate employee termination processing from a legacy mainframe application to its workforce hub;
  5. changed the synchronization of HR and badging system records from once daily to twice daily;
  6. reiterated to managers the need for timely access revocation;
  7. created automatic triggering of follow-up actions for identified anomalies;

8. reduced the number of personnel authorized to access the restricted area; and
9. enhanced the presentations and materials used by managers in the hiring, termination and transfer processes.

- Additionally, it took the following additional actions:

1. monitoring of the HR and badging system synchronization process to ensure proper and timely operation; and
2. daily termination reports are generated for use by access control personnel.

37. FERC has made no argument for withholding the name of the URE in docket number NP10-136-000, other than its conclusory statement in its denial letter that:

I conclude that disclosing the identities of the UREs associated with these dockets, would create a risk of harm or detriment to life, physical safety, or security because the specified UREs could become the target of a potentially bad actor. Therefore, the information is protected from disclosure under FOIA Exemption 7(F). See 5 U.S.C. § 552(b)(7)(F) (protecting law d reasonably be expected to endanger the ) [sic]. Additionally, the information is protected under FOIA Exemption 3. See Fixing America's Surface Transportation Act, Pub. L. No. 114-94, § 61003 (2015) (specifically exempting the disclosure of CEII and establishing applicability of FOIA Exemption 3, 5 U.S.C. § 552(b)(3)). Accordingly, the names of the UREs associated with the foregoing dockets will not be disclosed.

38. As more fully set forth in Plaintiff's Memorandum [ECF No. 57-9], FOIA Exemption 7(F) does not apply as there is no possible way that a violation which was mitigated over 14.5 years ago "could reasonably be expected to endanger the life or physical safety of any individual." Moreover, the fact that in similar violations, such as dockets NP10-136-000 and NP10-138-000 FERC released the name in NP10-138-000 but denied releasing the name in NP10-136-000 calls into question their FOIA processing. As noted in my previous

declarations, FERC also released the names of some UREs to other FOIA requestors or in other FERC dockets, but denied releasing the same names to me.

39. As more fully set forth in Plaintiff's Memorandum [ECF No. 57-9], FOIA Exemption 3 does not specifically apply to the names of violators in the NERC/FERC regulatory regime and, in fact, FERC's own regulations and orders state that normally the names and location of violators are NOT considered CEII and therefore would not be subject to Exemption 3.

40. I would like to note that in Mr. Kuehnle's amended declaration [ECF No. 65], he continues to make unsupported conclusory statements such as:

For each withheld URE, the risk presented is that the information would be useful for attackers in planning a cyberattack on critical infrastructure, taking unauthorized action to control, damage, degrade, or disable the critical energy infrastructure that make up the bulk power system. Even the most seemingly benign information and technical detail provides that advantage and the release of this information would be useful to an attacker in planning such an attack to be better targeted and more likely to succeed undetected as they achieve their goal.

41. FERC's "justification" for not releasing the name of the URE in NP10-136-000 for an administrative violation mitigated over 14.5 years ago, and which clearly would not endanger anybody at this point, fails under both FOIA exemptions 7(F) and 3. FERC's denial of my request to provide the violator's name associated with NP10-136-000 (and the other dockets relevant to this judicial review) is unjustified.

42. To assist the Court, the following are the documents being filed today that are helpful to the Court:

Exhibit 1: Public Notice of Penalty NP10-136-000



Exhibit 2: Public Notice of Penalty NP10-138-000

Exhibit 3: FERC No Further Review Order, including Dockets NP10-136-000 and NP10-138-000

Exhibit 4: Plaintiff's January 12, 2019 FOIA Request

Exhibit 5: "Second Release Letter" – FOIA-2019-30 (Sept. 3, 2019)

Exhibit 6: Enclosures from "Second Release Letter" – FOIA-2019-30 (Sept. 3, 2019)

Exhibit 7: "Seventeenth Determination Letter" – FOIA-2019-30 (Jan. 29, 2021)

Exhibit 8: NERC CIP-004-1

Exhibit 9: NERC Active Compliance Registry Matrix as of Dec. 9, 2024

In accordance with 28 U.S.C. § 1746, I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

DATED this 20th day of January, 2025 at Fort Worth, Texas.

/s/ Michael Mabee

Michael Mabee

**Exhibit 1**

To Declaration of Michael Mabee

Description:

Public Notice of Penalty NP10-136-000.



**PRIVILEGED AND CONFIDENTIAL INFORMATION  
HAS BEEN REMOVED FROM THIS PUBLIC VERSION**

**NORTH AMERICAN ELECTRIC  
RELIABILITY CORPORATION**

July 6, 2010

Ms. Kimberly Bose  
Secretary  
Federal Energy Regulatory Commission  
888 First Street, N.E.  
Washington, D.C. 20426

**Re: NERC Non-Public Notices of Penalty,  
FERC Docket No. NP10-\_-000**

Dear Ms. Bose:

The North American Electric Reliability Corporation (NERC) hereby provides this Notice of Penalty,<sup>1</sup> which includes one (1) Non-Public Exhibit pertaining to a NERC Registered Entity. The Notice of Penalty set forth in the Non-Public Exhibit contains, in whole or in part, violations of the CIP-002 through CIP-009 Reliability Standards. This filing is submitted in accordance with the Federal Energy Regulatory Commission's (Commission or FERC) rules, regulations and orders, as well as NERC Rules of Procedure including Appendix 4C (NERC Compliance Monitoring and Enforcement Program (CMEP)).<sup>2</sup>

The Non-Public Exhibit identifies the Reliability Standards at issue, the basis for the violation, the impact to reliability, and the ultimate disposition. A summary is set forth below:

NERC Violation ID*	Reliability Std.	Req. (R)	Approved VRF	Basis for Violation	Total Penalty (\$)
WECC200901682	CIP-004-1	2.3	Lower	Personnel for the Registered Entity received authorized cyber or authorized unescorted physical access to Critical Cyber Assets without receiving the requisite annual cyber security training.	7,000 <sup>3</sup>

<sup>1</sup> *Rules Concerning Certification of the Electric Reliability Organization; and Procedures for the Establishment, Approval, and Enforcement of Electric Reliability Standards* (Order No. 672), III FERC Stats. & Regs. ¶ 31,204 (2006); *Notice of New Docket Prefix "NP" for Notices of Penalty Filed by the North American Electric Reliability Corporation*, Docket No. RM05-30-000 (February 7, 2008). *See also* 18 C.F.R. Part 39 (2008). *Mandatory Reliability Standards for the Bulk-Power System*, FERC Stats. & Regs. ¶ 31,242 (2007) (Order No. 693), *reh'g denied*, 120 FERC ¶ 61,053 (2007) (Order No. 693-A). *See* 18 C.F.R. § 39.7(c)(2).

<sup>2</sup> *See* 18 C.F.R. § 39.7(c)(2).

<sup>3</sup> On December 15, 2009, WECC issued a Notice of Confirmed Violation and Proposed Penalty or Sanction (NOCV). The Registered Entity did not submit a response to the NOCV.

NERC Notice of Penalty  
July 6, 2010  
Page 2

**PRIVILEGED AND CONFIDENTIAL INFORMATION  
HAS BEEN REMOVED FROM THIS PUBLIC VERSION**

				<p>Four personnel with authorized cyber or authorized unescorted physical access to Critical Cyber Assets did not receive timely annual cyber security training. The employees missed the scheduled training sessions in December 2008 and January 2009 and make-up sessions were not conducted within the annual timeframe.</p> <p>The Registered Entity mitigated this violation by training the affected personnel and improving its cyber security training documentation and tracking system.</p>	
WECC200901681	CIP-004-1	3	Medium	<p>The Registered Entity did not complete a personnel risk assessment (PRA) for an employee within 30 days of the employee receiving authorized unescorted physical access to Critical Cyber Assets. A PRA was not conducted for one employee within 30 days of that employee being granting unescorted physical access to Critical Cyber Assets. According to the self-report, this specific employee was granted access to a control center, within the physical security perimeter.</p> <p>Access to the control center was granted on May 1, 2009 and the PRA was completed on June 15, 2009. Access was inadvertently granted due to a miscommunication between the Human Resources and Operations staff regarding the completion status of the individual's PRA. A written confirmation of the PRA completion was not provided to the designated authorized access grantor prior to the granting of access.</p> <p>The Registered Entity now requires written and signed confirmation of PRA completion and no longer allows verbal or e-mail confirmations of PRAs. Also, the Registered Entity modified its card-authorization access form to include additional approval signatures to verify the completion of the PRA.</p>	

\*Due to the confidential nature of the CIP-002 through CIP-009 violations, the Registered Entity's name is not identified.

### Request for Confidential Treatment

Information in and certain attachments to the instant Notice of Penalty include privileged and confidential information as defined by the Commission's regulations at 18 C.F.R. Part 388 and orders, as well as NERC Rules of Procedure including the NERC CMEP Appendix 4C. Specifically, this includes non-public information related to certain Reliability Standard violations, certain Regional Entity investigative files, Registered Entity sensitive business and

NERC Notice of Penalty  
July 6, 2010  
Page 3

**PRIVILEGED AND CONFIDENTIAL INFORMATION  
HAS BEEN REMOVED FROM THIS PUBLIC VERSION**

confidential information exempt from the mandatory public disclosure requirements of the Freedom of Information Act, 5 U.S.C. 552, and should be withheld from public disclosure.

In accordance with the Commission's Rules of Practice and Procedure, 18 C.F.R. § 388.112, a non-public version of the information redacted from the public filing is being provided under separate cover.

Because certain of the attached documents are deemed "confidential" by NERC, Registered Entities and Regional Entities, NERC requests that the confidential, non-public information be provided special treatment in accordance with the above regulation.

NERC Notice of Penalty  
July 6, 2010  
Page 4

**PRIVILEGED AND CONFIDENTIAL INFORMATION  
HAS BEEN REMOVED FROM THIS PUBLIC VERSION**

## Notices and Communications

Notices and communications with respect to this filing may be addressed to the following:

<p>Gerald W. Cauley President and Chief Executive Officer David N. Cook* Vice President and General Counsel North American Electric Reliability Corporation 116-390 Village Boulevard Princeton, New Jersey 08540-5721 (609)452-8060 (609) 452-9550 – facsimile gerry.cauley@nerc.net david.cook@nerc.net</p> <p>Steven Goodwill* General Counsel Western Electricity Coordinating Council 155 North 400 West, Suite 200 Salt Lake City, UT 84103 (801) 883-6857 (801) 883-6894 – facsimile SGoodwill@wecc.biz</p> <p>Christopher Luras* Manager of Compliance Enforcement Western Electricity Coordinating Council 155 North 400 West, Suite 200 Salt Lake City, UT 84103 (801) 883-6887 (801) 883-6894 – facsimile CLuras@wecc.biz</p> <p>*Persons to be included on the Commission’s service list are indicated with an asterisk. NERC requests waiver of the Commission’s rules and regulations to permit the inclusion of more than two people on the service list.</p>	<p>Rebecca J. Michael* Assistant General Counsel Holly A. Hawkins* Attorney V. Davis Smith* Attorney (admitted in IN; not admitted in D.C. or NJ) North American Electric Reliability Corporation 1120 G Street, N.W. Suite 990 Washington, D.C. 20005-3801 (202) 393-3998 (202) 393-3955 – facsimile rebecca.michael@nerc.net holly.haswkins@nerc.net davis.smith@nerc.net</p> <p>Louise McCarren* Chief Executive Officer Western Electricity Coordinating Council 155 North 400 West, Suite 200 Salt Lake City, UT 84103 (801) 883-6868 (801) 582-3918 – facsimile Louise@wecc.biz</p> <p>Constance White* Vice President of Compliance Western Electricity Coordinating Council 155 North 400 West, Suite 200 Salt Lake City, UT 84103 (801) 883-6885 (801) 883-6894 – facsimile CWhite@wecc.biz</p>
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NERC Notice of Penalty  
July 6, 2010  
Page 5

**PRIVILEGED AND CONFIDENTIAL INFORMATION  
HAS BEEN REMOVED FROM THIS PUBLIC VERSION**

## **Conclusion**

NERC respectfully requests that the Commission accept this Notice of Penalty as compliant with its rules, regulations and orders.

Respectfully submitted,

/s/ Rebecca J. Michael

Gerald W. Cauley  
President and Chief Executive Officer  
David N. Cook  
Vice President and General Counsel  
North American Electric Reliability Corporation  
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Attorney (admitted in IN;  
not admitted in D.C. or NJ)  
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**Exhibit 2**

To Declaration of Michael Mabee

Description:

Public Notice of Penalty NP10-138-000.





NORTH AMERICAN ELECTRIC  
RELIABILITY CORPORATION

PRIVILEGED AND CONFIDENTIAL INFORMATION HAS  
BEEN REMOVED FROM THIS PUBLIC VERSION

July 6, 2010

Ms. Kimberly Bose  
Secretary  
Federal Energy Regulatory Commission  
888 First Street, N.E.  
Washington, D.C. 20426

**Re: NERC Non-Public Notices of Penalty,  
FERC Docket No. NP10-\_-000**

Dear Ms. Bose:

The North American Electric Reliability Corporation (NERC) hereby provides this Notice of Penalty<sup>1</sup>, which includes one (1) Non-Public Exhibit pertaining to a NERC Registered Entity. The Notice of Penalty set forth in the Non-Public Exhibit contains, in whole or in part, violations of the CIP-002 through CIP-009 Reliability Standards that were resolved by Settlement Agreement. The Registered Entity admits to the violation and agrees to the penalty. This filing is submitted in accordance with the Federal Energy Regulatory Commission's (Commission or FERC) rules, regulations and orders, as well as NERC Rules of Procedure including Appendix 4C (NERC Compliance Monitoring and Enforcement Program (CMEP)).<sup>2</sup>

The Non-Public Exhibit identifies the Reliability Standards at issue, the basis for the violation and the impact to reliability, and the ultimate disposition. A summary is set forth below:

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<sup>1</sup> *Rules Concerning Certification of the Electric Reliability Organization; and Procedures for the Establishment, Approval, and Enforcement of Electric Reliability Standards* (Order No. 672), III FERC Stats. & Regs. ¶ 31,204 (2006); *Notice of New Docket Prefix "NP" for Notices of Penalty Filed by the North American Electric Reliability Corporation*, Docket No. RM05-30-000 (February 7, 2008). *See also* 18 C.F.R. Part 39 (2008). *Mandatory Reliability Standards for the Bulk-Power System*, FERC Stats. & Regs. ¶ 31,242 (2007) (Order No. 693), *reh'g denied*, 120 FERC ¶ 61,053 (2007) (Order No. 693-A). *See* 18 C.F.R. § 39.7(c)(2).

<sup>2</sup> *See* 18 C.F.R. § 39.7(c)(2).

NERC Notice of Penalty  
July 6, 2010  
Page 2

**PRIVILEGED AND CONFIDENTIAL INFORMATION  
HAS BEEN REMOVED FROM THIS PUBLIC VERSION**

NERC Violation ID*	Reliability Std.	Req. (R)	Approved VRF <sup>3</sup>	Basis for Violation	Total Penalty (\$)
RFC200900134	CIP-004-1	4.2	Medium	<p>The Registered Entity did not revoke physical access rights to Critical Cyber Assets within seven days for personnel who no longer required access. The Registered Entity discovered seven instances where records could not be located to demonstrate badge deactivations occurred in a timely manner for personnel who no longer required access to Critical Cyber Assets. Subsequently, the Registered Entity submitted a letter in which it identified two additional instances in which revocation was similarly delayed and occurred in the same time frame as the original seven. Therefore, the Registered Entity self-reported a total of nine instances where access badges were not deactivated in a timely manner, following termination of those employees.</p> <p>Specifically, the Registered Entity historically relied on the submittal of an electronic form to the badge office as the primary means of requesting revocation of badge access. It also permitted requests via telephone or e-mail, instead of the electronic form, to the badge office. In the incidents discovered during the audit, the responsible supervisors for the affected personnel failed to notify the badge office via any of the above mentioned methods that the badge access should be revoked.</p> <p>Additionally, at the time of the occurrences, the Registered Entity utilized an automated program designed to run once every 24 hours, extracting termination information from the Human Resources (HR) data mart and passing that data to its badge system. This process resulted in the automatic revocation of physical access privileges for terminated employees. This method served as a back-up to the process of submitting an electronic form, e-mail or</p>	5,000

<sup>3</sup> Violation Risk Factors (VRF) are the current FERC approved assignments for the Reliability Standards.

NERC Notice of Penalty  
July 6, 2010  
Page 3

**PRIVILEGED AND CONFIDENTIAL INFORMATION  
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				<p>telephone call to the badge office requesting physical access revocation.<sup>4</sup></p> <p>Also according to the Mitigation Plan, the investigation performed by the Registered Entity revealed two time periods, April 2, 2008 through August 15, 2008 and November 20, 2008 through January 9, 2009, when the HR to badge system interface was not synchronizing. As a result, there were no automatic physical access revocations or electronic access revocation forms submitted for the nine cases identified during these two time periods. Specifically, the earliest date it failed to terminate physical access to Critical Cyber Assets was identified as May 26, 2008, and instead of access being removed within seven days, access was removed on July 8, 2008. The last date it failed to terminate physical access to Critical Cyber Assets was identified as December 29, 2008, and instead of access being removed within seven days, access was removed on January 8, 2009.</p> <p>According to a subsequent letter, there were additional instances, not mentioned in the Mitigation Plan, in which it failed to revoke physical access in a timely manner. The Registered Entity failed to terminate access to Critical Cyber Assets on November 30, 2008, and instead of access being removed within seven days, access was removed on January 14, 2008.<sup>5</sup></p> <p>Electronic access rights were not at issue in this instance as the employees in question never had electronic access to Critical Cyber Assets.</p> <p>The Registered Entity mitigated this violation by implementing an independent process for the reconciliation of terminations or transfers of employees with access to Critical Cyber Assets</p>	
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<sup>4</sup> This system covered the revocation of access for employees, contractors, terminations and transfers.

<sup>5</sup> In the Mitigation Plan submitted and completed by the Registered Entity, efforts to retrain and reinforce the need for timely access removal was performed. The Registered Entity also implemented additional procedures to correct the syncing problems between HR and the badging system. Both of the additional incidents reported in the October 19, 2009 letter occurred during the same timeframe of the initially self-reported items. The Registered Entity reported that the mitigating activities that have been completed also addressed the cause of these newly discovered incidents. No additional instances have been identified since the completion of the Mitigation Plan.

NERC Notice of Penalty  
 July 6, 2010  
 Page 4

**PRIVILEGED AND CONFIDENTIAL INFORMATION  
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				<p>against changes to the maintained lists of employees with physical and electronic access to Critical Cyber Assets. Also it committed to removing all inappropriate employee access and to correct its HR practices so that its badge access lists are synchronized with HR records twice daily. The Registered Entity:</p> <ol style="list-style-type: none"> <li>1. provided additional training for managers regarding proper procedures for removing access to relevant cyber assets;</li> <li>2. changed internal process to notify an administrator and other personnel of changes processed by HR regarding personnel with access to critical cyber assets;</li> <li>3. removed access for terminated or transferred employees as determined by internal investigation or audit action;</li> <li>4. implemented a program change to migrate employee termination processing from a legacy mainframe application to its workforce hub;</li> <li>5. changed the synchronization of HR and badging system records from once daily to twice daily;</li> <li>6. reiterated to managers the need for timely access revocation;</li> <li>7. created automatic triggering of follow-up actions for identified anomalies;</li> <li>8. reduced the number of personnel authorized to access the restricted area; and</li> <li>9. enhanced the presentations and materials used by managers in the hiring, termination and transfer processes.</li> </ol>	
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NERC Notice of Penalty  
July 6, 2010  
Page 5

**PRIVILEGED AND CONFIDENTIAL INFORMATION  
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				<p>Additionally, it took the following additional actions:</p> <ol style="list-style-type: none"> <li>1. monitoring of the HR and badging system synchronization process to ensure proper and timely operation; and</li> <li>2. daily termination reports are generated for use by access control personnels.</li> </ol>	
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\*Due to the confidential nature of the CIP-002 through CIP-009 violations, the Registered Entity's name is not identified.

### **Request for Confidential Treatment**

Information in and certain attachments to the instant Notice of Penalty include privileged and confidential information as defined by the Commission's regulations at 18 C.F.R. Part 388 and orders, as well as NERC Rules of Procedure including the NERC CMEP Appendix 4C. Specifically, this includes non-public information related to certain Reliability Standard violations, certain Regional Entity investigative files, Registered Entity sensitive business and confidential information exempt from the mandatory public disclosure requirements of the Freedom of Information Act, 5 U.S.C. 552, and should be withheld from public disclosure.

In accordance with the Commission's Rules of Practice and Procedure, 18 C.F.R. § 388.112, a non-public version of the information redacted from the public filing is being provided under separate cover.

Because certain of the attached documents are deemed "confidential" by NERC, Registered Entities and Regional Entities, NERC requests that the confidential, non-public information be provided special treatment in accordance with the above regulation.

NERC Notice of Penalty  
July 6, 2010  
Page 6

**PRIVILEGED AND CONFIDENTIAL INFORMATION  
HAS BEEN REMOVED FROM THIS PUBLIC VERSION**

## Notices and Communications

Notices and communications with respect to this filing may be addressed to the following:

<p>Gerald W. Cauley President and Chief Executive Officer David N. Cook* Vice President and General Counsel North American Electric Reliability Corporation 116-390 Village Boulevard Princeton, New Jersey 08540-5721 (609)452-8060 (609) 452-9550 – facsimile gerry.cauley@nerc.net david.cook@nerc.net</p> <p>Timothy R. Gallagher* President and Chief Executive Officer ReliabilityFirst Corporation 320 Springside Drive, Suite 320 Akron, Ohio 44333 (330) 456-2488 tim.gallagher@rfirst.org</p> <p>Raymond J. Palmieri* Vice President and Director of Compliance ReliabilityFirst Corporation 320 Springside Drive, Suite 320 Akron, Ohio 44333 (330) 456-2488 ray.palmieri@rfirst.org</p> <p>*Persons to be included on the Commission's service list are indicated with an asterisk. NERC requests waiver of the Commission's rules and regulations to permit the inclusion of more than two people on the service list.</p>	<p>Rebecca J. Michael* Assistant General Counsel Holly A. Hawkins* Attorney V. Davis Smith* Attorney (admitted in IN; not admitted in D.C. or NJ) North American Electric Reliability Corporation 1120 G Street, N.W. Suite 990 Washington, D.C. 20005-3801 (202) 393-3998 (202) 393-3955 – facsimile rebecca.michael@nerc.net holly.hawkins@nerc.net davis.smith@nerc.net</p> <p>Robert K. Wargo* Manager of Compliance Enforcement ReliabilityFirst Corporation 320 Springside Drive, Suite 320 Akron, Ohio 44333 (330) 456-2488 bob.wargo@rfirst.org</p> <p>Michael D. Austin* Compliance Enforcement Specialist ReliabilityFirst Corporation 320 Springside Drive, Suite 320 Akron, Ohio 44333 (330) 456-2488 mike.austin@rfirst.org</p>
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NERC Notice of Penalty  
July 6, 2010  
Page 7

**PRIVILEGED AND CONFIDENTIAL INFORMATION  
HAS BEEN REMOVED FROM THIS PUBLIC VERSION**

## **Conclusion**

NERC respectfully requests that the Commission accept this Notice of Penalty as compliant with its rules, regulations and orders.

Respectfully submitted,

/s/ Rebecca J. Michael

Gerald W. Cauley  
President and Chief Executive Officer  
David N. Cook  
Vice President and General Counsel  
North American Electric Reliability Corporation  
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Attorney  
V. Davis Smith  
Attorney (admitted in IN;  
not admitted in D.C. or NJ)  
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**Exhibit 3**

To Declaration of Michael Mabee

Description:

FERC No Further Review Order, including Dockets NP10-136-000 and NP10-138-000.



UNITED STATES OF AMERICA  
FEDERAL ENERGY REGULATORY COMMISSION

North American Electric Reliability Corporation	Docket Nos. NP10-119-000
	NP10-120-000
	NP10-121-000
	NP10-122-000
	NP10-123-000
	NP10-124-000
	NP10-125-000
	NP10-126-000
	NP10-127-000
	NP10-128-000
	NP10-129-000
	NP10-130-000
	NP10-131-000
	NP10-132-000
	NP10-133-000
	NP10-134-000
	NP10-135-000
	NP10-136-000
	NP10-137-000
	NP10-138-000
	NP10-139-000
	NP10-142-000

NOTICE

(August 5, 2010)

Take notice that the Commission will not further review, on its own motion, the following Notices of Penalty:<sup>1</sup>

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<sup>1</sup> The Secretary is issuing this notice as to Docket Nos. NP10-121-000, NP10-123-000, NP10-130-000, and NP10-134-000 pursuant to authority the Commission delegated in Order No. 728. *See Delegations for Notices of Penalty*, FERC Stats. & Regs. ¶ 31,298 (2009). Pursuant to sections 375.311(u) and (v) of the Commission's regulations, 18 C.F.R. §§ 375.311(u) and (v) (2010), by separate order the Director of the Office of Enforcement is extending the time period for the Commission's consideration of Docket Nos. NP10-140-000 and NP10-141-000 for the purpose of directing NERC and the Regional Entities to provide further information for our consideration.

Docket No. NP10-119-000, *et al.*

2

<u>Docket No.</u>	<u>Filing Date</u>	<u>Registered Entity</u>
NP10-119-000	July 6, 2010	Citizens Electric Corporation
NP10-120-000	July 6, 2010	E.ON U.S. Services Inc.
NP10-121-000	July 6, 2010	Reedy Creek Improvement District
NP10-122-000	July 6, 2010	The Empire District Electric Company
NP10-123-000	July 6, 2010	Board of Public Utilities, City of McPherson, Kansas
NP10-124-000	July 6, 2010	Elk Hills Power, LLC
NP10-125-000	July 6, 2010	Covanta York Renewable Energy, LLC
NP10-126-000	July 6, 2010	Lincoln Generating Facility, LLC
NP10-127-000	July 6, 2010	SRW Cogeneration Limited Partnership
NP10-128-000	July 6, 2010	National Grid Generation, LLC
NP10-129-000	July 6, 2010	Benton County Wind Farm, LLC
NP10-130-000	July 6, 2010	Unidentified Registered Entity
NP10-131-000	July 6, 2010	Unidentified Registered Entity
NP10-132-000	July 6, 2010	Camp Grove Wind Farm, LLC
NP10-133-000	July 6, 2010	Hermiston Generating Co., L.P.
NP10-134-000	July 6, 2010	Unidentified Registered Entity
NP10-135-000	July 6, 2010	Unidentified Registered Entity
NP10-136-000	July 6, 2010	Unidentified Registered Entity
NP10-137-000	July 6, 2010	Unidentified Registered Entity
NP10-138-000	July 6, 2010	Unidentified Registered Entity

Docket No. NP10-119-000, *et al.*

3

NP10-139-000 July 6, 2010

Unidentified Registered Entity

NP10-142-000 July 6, 2010

NAES Corporation – Lincoln Generating  
Facility

By direction of the Commission.

Nathaniel J. Davis, Sr.,  
Deputy Secretary.

Document Content(s)

NP10-119-000.DOC.....1-3

**Exhibit 4**

To Declaration of Michael Mabee

Description:

Plaintiff's January 12, 2019 FOIA Request.

Michael Mabee  
8 Westgate Road  
Mont Vernon, NH 03057  
(516) 808-0883  
CivilDefenseBook@gmail.com

Accepted: January 16, 2019  
(Similar to FOIA-2019-19)  
Track 3  
Due Date: February 14, 2019

January 12, 2019

Leonard Tao,  
Director and Chief FOIA Officer  
Federal Energy Regulatory Commission  
888 First Street, NE  
Washington, DC 20426

**Subject: Request under the Freedom of Information Act (FOIA), 5 U.S.C. § 552.**

Dear Mr. Tao:

I request records under the Freedom of Information Act, which are described below.<sup>1</sup> Further, as more fully set forth below, I also request a fee waiver as I have no commercial interest in the described records and it is in the public interest for the Federal Energy Regulatory Commission (FERC) to disclose these records to the public.

**Description of records sought:**

I seek the "NERC Notice of Penalty" version which includes the name of the registered entity (and which has been previously withheld from the public) for the following docket numbers:

Date	FERC Docket Number	Region	Registered Entity	Entities
7/6/2010	NP10-140-000	RFC	Unidentified Registered Entity	1
7/6/2010	NP10-139-000	WECC	Unidentified Registered Entity	1
7/6/2010	NP10-138-000	RFC	Unidentified Registered Entity	1
7/6/2010	NP10-137-000	WECC	Unidentified Registered Entity	1
7/6/2010	NP10-136-000	WECC	Unidentified Registered Entity	1
7/6/2010	NP10-135-000	WECC	Unidentified Registered Entity	1
7/6/2010	NP10-134-000	SPP	Unidentified Registered Entity	1
7/6/2010	NP10-131-000	SERC	Unidentified Registered Entity	1
7/6/2010	NP10-130-000	SERC	Unidentified Registered Entity	1
7/30/2010	NP10-159-000	WECC	Unidentified Registered Entity	1
9/13/2010	NP10-160-000	WECC	Unidentified Registered Entity	1
10/7/2010	NP11-5-000	SERC	Unidentified Registered Entity	1
10/7/2010	NP11-4-000	FRCC	Unidentified Registered Entity	1
10/7/2010	NP11-3-000	SERC	Unidentified Registered Entity	1
10/7/2010	NP11-2-000	WECC	Unidentified Registered Entity	1
10/7/2010	NP11-1-000	WECC	Unidentified Registered Entity	1

<sup>1</sup> This is a new request. I submitted a previous request for a different set of records on December 17, 2018 (FOIA-2019-0019)

FOIA Request

2

Date	FERC Docket Number	Region	Registered Entity	Entities
11/5/2010	NP11-22-000	SERC	Unidentified Registered Entity	1
11/5/2010	NP11-21-000	RFC	Unidentified Registered Entity	1
11/30/2010	NP11-56-000	SERC	Unidentified Registered Entity	1
11/30/2010	NP11-47-000	SERC	Unidentified Registered Entity	1
12/22/2010	NP11-81-000	MRO, SPP	Unidentified Registered Entities 2	2
12/22/2010	NP11-79-000	FRCC	Unidentified Registered Entity	1
12/22/2010	NP11-76-000	SERC	Unidentified Registered Entity	1
12/22/2010	NP11-72-000	SERC	Unidentified Registered Entity	1
12/22/2010	NP11-70-000	WECC	Unidentified Registered Entity	1
12/22/2010	NP11-64-000	WECC	Unidentified Registered Entity	1
12/22/2010	NP11-63-000	WECC	Unidentified Registered Entity	1
12/22/2010	NP11-59-000	RFC	Unidentified Registered Entity	1
1/31/2011	NP11-102-000	WECC	Unidentified Registered Entity	1
1/31/2011	NP11-98-000	WECC	Unidentified Registered Entity	1
2/1/2011	NP11-104-000	Various	Unidentified Registered Entities	6
2/23/2011	NP11-128-000	WECC	Unidentified Registered Entity	1
2/23/2011	NP11-127-000	FRCC	Unidentified Registered Entity	1
2/23/2011	NP11-125-000	SPP, RFC	Unidentified Registered Entity	1
2/23/2011	NP11-124-000	RFC	Unidentified Registered Entity	1
2/23/2011	NP11-116-000	FRCC	Unidentified Registered Entity	1
2/23/2011	NP11-111-000	MRO	Unidentified Registered Entity	1
2/23/2011	NP11-106-000	RFC	Unidentified Registered Entity	1
2/28/2011	NP11-133-000	Various	Unidentified Registered Entities	5
3/30/2011	NP11-161-000	WECC	Unidentified Registered Entity	1
3/30/2011	NP11-157-000	SERC	Unidentified Registered Entity	1
3/30/2011	NP11-156-000	SERC	Unidentified Registered Entity	1
3/30/2011	NP11-155-000	WECC	Unidentified Registered Entity	1
3/30/2011	NP11-150-000	MRO	Unidentified Registered Entity	1
3/30/2011	NP11-149-000	RFC	Unidentified Registered Entity	1
3/30/2011	NP11-146-000	RFC	Unidentified Registered Entities	3
3/30/2011	NP11-145-000	WECC	Unidentified Registered Entity	1
3/30/2011	NP11-143-000	SERC	Unidentified Registered Entity	1
3/30/2011	NP11-140-000	WECC	Unidentified Registered Entity	1
3/30/2011	NP11-137-000	WECC	Unidentified Registered Entity	1
3/30/2011	NP11-136-000	WECC	Unidentified Registered Entity	1
3/31/2011	NP11-162-000	TRE, NPCC	Unidentified Registered Entities	2
4/29/2011	NP11-181-000	FRCC, NPCC	Unidentified Registered Entities	6
4/29/2011	NP11-180-000	WECC	Unidentified Registered Entity	1
4/29/2011	NP11-179-000	MRO	Unidentified Registered Entity	1
4/29/2011	NP11-178-000	WECC	Unidentified Registered Entity	1
4/29/2011	NP11-176-000	WECC	Unidentified Registered Entity	1
4/29/2011	NP11-175-000	WECC	Unidentified Registered Entity	1

Date	FERC Docket Number	Region	Registered Entity	Entities
4/29/2011	NP11-174-000	RFC	Unidentified Registered Entity	1
4/29/2011	NP11-167-000	WECC	Unidentified Registered Entity	1
4/29/2011	NP11-166-000	SPP, TRE	Unidentified Registered Entity	1
5/26/2011	NP11-199-000	Various	Unidentified Registered Entities	3
5/26/2011	NP11-198-000	SPP	Unidentified Registered Entity	1
5/26/2011	NP11-193-000	WECC	Unidentified Registered Entity	1
5/26/2011	NP11-192-000	WECC	Unidentified Registered Entity	1
5/26/2011	NP11-189-000	FRCC	Unidentified Registered Entity	1
5/26/2011	NP11-188-000	SPP	Unidentified Registered Entity	1
5/26/2011	NP11-184-000	RFC	Unidentified Registered Entity	1
5/26/2011	NP11-182-000	WECC	Unidentified Registered Entity	1
6/29/2011	NP11-226-000	RFC	Unidentified Registered Entity	1
6/29/2011	NP11-225-000	RFC	Unidentified Registered Entity	1
6/29/2011	NP11-223-000	SPP	Unidentified Registered Entity	1
6/29/2011	NP11-218-000	WECC	Unidentified Registered Entity	1
6/29/2011	NP11-213-000	WECC	Unidentified Registered Entity	1
6/29/2011	NP11-212-000	WECC	Unidentified Registered Entity	1
6/29/2011	NP11-211-000	WECC	Unidentified Registered Entity	1
6/29/2011	NP11-206-000	NPCC	Unidentified Registered Entity	3
6/29/2011	NP11-205-000	WECC	Unidentified Registered Entity	1
6/29/2011	NP11-204-000	WECC	Unidentified Registered Entity	1
7/28/2011	NP11-251-000	WECC	Unidentified Registered Entity	1
7/28/2011	NP11-250-000	WECC	Unidentified Registered Entity	1
7/28/2011	NP11-249-000	WECC	Unidentified Registered Entity	1
7/28/2011	NP11-248-000	WECC	Unidentified Registered Entity	1
7/28/2011	NP11-247-000	RFC	Unidentified Registered Entity	1
7/28/2011	NP11-243-000	RFC	Unidentified Registered Entity	1
7/28/2011	NP11-237-000	RFC	Unidentified Registered Entity	3
7/28/2011	NP11-234-000	WECC	Unidentified Registered Entity	1
7/28/2011	NP11-233-000	WECC	Unidentified Registered Entity	1
7/28/2011	NP11-230-000	RFC	Unidentified Registered Entity	1
7/28/2011	NP11-229-000	WECC	Unidentified Registered Entity	1
7/29/2011	NP11-253-000	Various	Unidentified Registered Entities	8
8/31/2011	NP11-266-000	Various	Unidentified Registered Entities	5
8/31/2011	NP11-264-000	SPP	Unidentified Registered Entity	1
8/31/2011	NP11-263-000	TRE	Unidentified Registered Entity	1
8/31/2011	NP11-262-000	SPP	Unidentified Registered Entity	1
8/31/2011	NP11-261-000	RFC	Unidentified Registered Entity	1
9/30/2011	RC11-6-000	Various	Unidentified Registered Entities	59
9/30/2011	NP11-270-000	Various	Unidentified Registered Entities	21
9/30/2011	NP11-269-000	WECC	Unidentified Registered Entity	1
10/31/2011	RC12-1-000	Various	Unidentified Registered Entities	33



Date	FERC Docket Number	Region	Registered Entity	Entities
10/31/2011	NP12-2-000	Various	Unidentified Registered Entities	16
10/31/2011	NP12-1-000	RFC	Unidentified Registered Entities	3
11/30/2011	RC12-2-000	Various	Unidentified Registered Entities	30
11/30/2011	NP12-5-000	RF, WECC	Unidentified Registered Entities	12
11/30/2011	NP12-4-000	WECC	Unidentified Registered Entity	1
11/30/2011	NP12-3-000	WECC	Unidentified Registered Entity	1
12/30/2011	RC12-6-000	Various	Unidentified Registered Entities	40
12/30/2011	NP12-10-000	Various	Unidentified Registered Entities	21
12/30/2011	NP12-9-000	RFC	Unidentified Registered Entity	1
1/31/2012	RC12-7-000	Various	Unidentified Registered Entities	30
1/31/2012	NP12-12-000	Various	Unidentified Registered Entities	18
1/31/2012	NP12-11-000	WECC	Unidentified Registered Entity	1
2/29/2012	RC12-8-000	Various	Unidentified Registered Entities	24
2/29/2012	NP12-18-000	Various	Unidentified Registered Entities	23
2/29/2012	NP12-17-000	SPP RE	Unidentified Registered Entity	1
2/29/2012	NP12-16-000	WECC	Unidentified Registered Entity	1
3/30/2012	RC12-10-000	Various	Unidentified Registered Entities	12
3/30/2012	NP12-22-000	Various	Unidentified Registered Entities	15
3/30/2012	NP12-20-000	WECC	Unidentified Registered Entity	1
4/30/2012	RC12-11-000	Various	Unidentified Registered Entities	18
4/30/2012	NP12-26-000	Various	Unidentified Registered Entities	18
4/30/2012	NP12-25-000	RFC	Unidentified Registered Entity	1
5/30/2012	RC12-12-000	Various	Unidentified Registered Entities	40
5/30/2012	NP12-29-000	WECC	Unidentified Registered Entity	1
5/30/2012	NP12-27-000	Various	Unidentified Registered Entities	20
6/29/2012	RC12-13-000	Various	Unidentified Registered Entities	40
6/29/2012	NP12-36-000	Various	Unidentified Registered Entities	15
7/31/2012	RC12-14-000	Various	Unidentified Registered Entities	30
7/31/2012	NP12-40-000	Various	Unidentified Registered Entities	15
7/31/2012	NP12-38-000	WECC	Unidentified Registered Entity	1
7/31/2012	NP12-37-000	WECC	Unidentified Registered Entities	4
8/31/2012	RC12-15-000	Various	Unidentified Registered Entities	38
8/31/2012	NP12-44-000	Various	Unidentified Registered Entities	16
8/31/2012	NP12-43-000	WECC	Unidentified Registered Entity	1
9/28/2012	RC12-16-000	Various	Unidentified Registered Entities	41
9/28/2012	NP12-47-000	Various	Unidentified Registered Entities	14
9/28/2012	NP12-46-000	WECC	Unidentified Registered Entity	1
9/28/2012	NP12-45-000	FRCC	Unidentified Registered Entity	1
10/31/2012	RC13-1-000	Various	Unidentified Registered Entities	44
10/31/2012	NP13-5-000	Various	Unidentified Registered Entities	19
10/31/2012	NP13-4-000	RFC	Unidentified Registered Entities	3
10/31/2012	NP13-1-000	WECC	Unidentified Registered Entity	1

Date	FERC Docket Number	Region	Registered Entity	Entities
11/30/2012	RC13-2-000	Various	Unidentified Registered Entities	25
11/30/2012	NP13-6-000	WECC	Unidentified Registered Entity	1
12/31/2012	RC13-3-000	Various	Unidentified Registered Entities	25
12/31/2012	NP13-19-000	SERC	Unidentified Registered Entity	1
12/31/2012	NP13-18-000	SPP	Unidentified Registered Entity	1
12/31/2012	NP13-17-000	RFC	Unidentified Registered Entities	3
12/31/2012	NP13-16-000	WECC	Unidentified Registered Entity	1
12/31/2012	NP13-12-000	Various	Unidentified Registered Entities	21
12/31/2012	NP13-11-000	SPP	Unidentified Registered Entity	1
1/31/2013	RC13-5-000	Various	Unidentified Registered Entities	22
1/31/2013	NP13-23-000	Various	Unidentified Registered Entities	22
1/31/2013	NP13-22-000	WECC	Unidentified Registered Entity	1
2/28/2013	RC13-6-000	Various	Unidentified Registered Entities	27
2/28/2013	NP13-27-000	Various	Unidentified Registered Entities	14
2/28/2013	NP13-24-000	WECC	Unidentified Registered Entity	3
3/27/2013	NP13-30-000	RFC	Unidentified Registered Entity	3
3/27/2013	NP13-29-000	Various	Unidentified Registered Entities	10
3/27/2013	NP13-28-000	Various	Unidentified Registered Entity	1
4/30/2013	RC13-8-000	Various	Unidentified Registered Entities	50
4/30/2013	NP13-33-000	Various	Unidentified Registered Entities	18
4/30/2013	NP13-32-000	NERC	Unidentified Registered Entity	1
5/30/2013	NP13-34-000	Texas RE	Unidentified Registered Entity	1
5/30/2013	RC13-9-000	Various	Unidentified Registered Entities	53
5/30/2013	NP13-38-000	WECC	Unidentified Registered Entity	1
5/30/2013	NP13-39-000	Various	Unidentified Registered Entities	16
6/27/2013	RC13-10-000	Various	Unidentified Registered Entities	52
6/27/2013	NP13-41-000	Various	Unidentified Registered Entities	20
7/31/2013	NP13-47-000	RFC, SERC	Unidentified Registered Entities	2
7/31/2013	NP13-46-000	Various	Unidentified Registered Entities	18
7/31/2013	NP13-45-000	WECC	Unidentified Registered Entity	1
8/30/2013	NP13-51-000	Various	Unidentified Registered Entities	18
9/30/2013	NP13-57-000	Various	Unidentified Registered Entities	12
9/30/2013	NP13-55-000	WECC	Unidentified Registered Entity	1
10/30/2013	NP14-5-000	RFC	Unidentified Registered Entity	1
10/30/2013	NP14-4-000	RF, SERC	Unidentified Registered Entities	16
11/27/2013	NP14-6-000	Various	Unidentified Registered Entities	14
12/30/2013	NP14-22-000	WECC	Unidentified Registered Entity	1
12/30/2013	NP14-20-000	SERC	Unidentified Registered Entity	1
12/30/2013	NP14-19-000	WECC	Unidentified Registered Entity	1
12/30/2013	NP14-18-000	SERC	Unidentified Registered Entity	1
12/30/2013	NP14-17-000	WECC	Unidentified Registered Entity	1
12/30/2013	NP14-16-000	SERC	Unidentified Registered Entity	1

Date	FERC Docket Number	Region	Registered Entity	Entities
12/30/2013	NP14-14-000	Various	Unidentified Registered Entities	18
12/31/2013	NP14-26-000	SERC	Unidentified Registered Entity	1
12/31/2013	NP14-25-000	SERC	Unidentified Registered Entity	1
12/31/2013	NP14-24-000	SERC	Unidentified Registered Entity	1
12/31/2013	NP14-23-000	SPP RE	Unidentified Registered Entity	1
12/31/2013	NP14-21-000	SERC	Unidentified Registered Entity	1

In the instances where there was a “Spreadsheet NOP” or a “FFT” spreadsheet I request a copy of the spreadsheet that lists the name(s) of the entity subject to the regulatory action as well as the “Notice of Penalty.” There is a total of 190 docket numbers covered under this request, with a total of 1384 “Unidentified Registered Entities.”

**The records sought are not Critical Energy Infrastructure Information (CEII) or otherwise classified to protect national security:**

I note that FERC Order No. 833 holds that the Commission’s practice is that information that “simply give[s] the general location of the critical infrastructure” or simply provides the name of the facility is not Critical Energy Infrastructure Information (CEII).<sup>2</sup> I am not seeking any CEII. I simply ask for disclosure of the identities of the “Unidentified Registered Entities” in the above dockets.

There is no national security reason or FOIA exemption that should prevent disclosure of the identity of this violator of reliability standards to the public, because the NERC Notice of Penalty claims that the cybersecurity vulnerabilities have been remedied.

Mere disclosure of the identity of the violating entity, without disclosure of the details of any remedied cybersecurity violations, will not provide adversaries information of any value but instead will likely reduce future violations. Disclosure of the identity of violators will prompt other utilities to be more diligent in order to avoid adverse publicity. The possibility of public shaming is a key component of the mandatory system of electric reliability standards established by Congress under Section 215 of the Federal Power Act and further codified in the Code of Federal Regulations. For example, when a utility has caused a blackout, FERC has had no issue in identifying the offending utilities and the amount of the fines. Would it not be better to identify reliability standard violators and therefore avoid blackouts?

I lastly note that the public has already been forced to wait years for this information, allowing electric utilities to hide behavior that causes profound risk to the public interest.

**Under FERC’s regulations, the names of the entities must be disclosed:**

18 CFR § 39.7 (b)(4) provides that: “Each violation or alleged violation shall be treated as nonpublic until the matter is filed with the Commission as a notice of penalty or resolved by an admission that the user, owner or operator of the Bulk-Power System violated a Reliability Standard or by a settlement or other negotiated disposition.” [Emphasis added.]

Further, 18 CFR § 39.7(d)(1) provides that a notice of penalty by the Electric Reliability Organization shall consist of, *inter alia*: “The name of the entity on whom the penalty is imposed.”

<sup>2</sup> Order No. 833 at pg. 17. Also see 18 C.F.R. §388.113(c)(1)(iv).

The regulations are very clear that the name of the entity on whom the penalty is imposed is to be disclosed. Yet, somehow this is not the practice at NERC and the records I am requesting have had the names of the registered entities hidden from the public.

**The records sought would not reveal trade secrets and commercial or financial information obtained from a person and privileged or confidential:**

I note that it has been practice for FERC and NERC to disclose the identities of some entities who have been subject to regulatory fines by NERC. Therefore, those entities violating reliability standards have not been considered privileged or confidential information, solely on the basis of being a violator.

I also note that it is inconsistent with a well-functioning democracy for monetary penalties to be assessed against regulated entities whose identities are then held as secrets. I urge the Commission to reconsider the implications of allowing NERC, the FERC-designated Electric Reliability Organization (ERO), to have delegated authority to assess fines for wrongdoing and then to keep the identities of wrongdoers from public view. I know of no other federal regulator that allows this odious practice.

**Request for Waiver of Fees:**

I am a private citizen with expertise in emergency preparedness and critical infrastructure protection. I maintain a blog where I intend to disseminate this information<sup>3</sup>. I accept no advertising on my blog and derive no revenue from writing or posting my blog articles.

As set forth fully below, I am entitled to a waiver of fees as I meet all the requirements of 18 C.F.R. §388.109(c).

Requirement: In accordance with 18 C.F.R. §388.109(c)(1), “(1) Any fee described in this section may be reduced or waived if the requester demonstrates that disclosure of the information sought is: (i) In the public interest because it is likely to contribute significantly to public understanding of the operations or activities of the government, and (ii) Not primarily in the commercial interest of the requester.”

Answer: Disclosure of this information will inform the public as to the actions the government and the designated ERO have taken to insure the security of the bulk power system. There has been a great deal of media attention and government notices regarding recent cyberattacks and cybersecurity breaches to the electric grid.<sup>4</sup> Disclosure of the requested information is critical to the public’s understanding of how FERC and the ERO holds regulated entities accountable to compliance with regulatory standards for cybersecurity.

I have no commercial interest in these records and will use these records in research and information dissemination to the public.

Requirement: In accordance with 18 C.F.R. §388.109(c)(2) “The Commission will consider the following criteria to determine the public interest standard:”

Answer: I will answer each criterion in turn.

---

<sup>3</sup> <https://michaelmabee.info/category/mikes-blog/> (accessed April 13, 2018).

<sup>4</sup> See for example: US-CERT Alert (TA18-074A) <https://www.us-cert.gov/ncas/alerts/TA18-074A> (accessed March 15, 2018); Gizmodo: “FBI and DHS Warn That Russia Has Been Poking at Our Energy Grid.” <https://apple.news/AHv5RWYqbSf-EI-yla355Jw> (accessed March 15, 2018); Washington Free Beacon: “Russia Implicated in Ongoing Hack on U.S. Grid.” <https://apple.news/AGs6ieh6wSP-1tQkUFttREA> (accessed March 15, 2018); Slate: “What Does It Mean to Hack an Electrical Grid?” <https://apple.news/Au5gy7bTITDSovpvzg5j79w>

Criterion: (i) “Whether the subject of the requested records concerns the operations or activities of the government”

Answer: The protection of the critical infrastructure, including the bulk power system, is a clear function of the federal government.<sup>5</sup> The regulation of the critical infrastructures by the federal government and the transparency of the process – including the identities of entities that violate reliability standards– concerns the operations or activities of the government.

Criterion: (ii) “Whether the disclosure is likely to contribute to an understanding of government operations or activities”

Answer: According to NERC, many of “[t]hese violations posed a serious or substantial risk to the reliability of the bulk power system (BPS).” The entities in question risked the reliable operation of the bulk power system and therefore the public has a right to examine this incident and the behavior and actions of the violating entity.

Criterion: (iii) “Whether disclosure of the requested information will contribute to public understanding”

Answer: As previously noted, there has been a great deal of public attention, press articles and increased awareness to the threat of cyberattacks against the bulk power system. The identity of entities that place the public at risk by violating cybersecurity standards is critical to the public understanding of the effectiveness of existing standards.

Criterion: (iv) “Whether the disclosure is likely to contribute significantly to public understanding of government operations or facilities.”

Answer: Under Section 215 of the Federal Power Act, regulation of the bulk power system is clearly a government operation. The public needs to understand how reliability standards are being enforced.

Requirement: In accordance with 18 C.F.R. §388.109(c)(3) “The Commission will consider the following criteria to determine the commercial interest of the requester:”

Answer: I will answer each criterion in turn.

Criterion: (i) Whether the requester has a commercial interest that would be furthered by the requested disclosure.

Answer: No. The requester a private citizen and has no commercial interest in the information.

And, if so: criterion: (ii) Whether the magnitude of the identified commercial interest of the requester is sufficiently large, in comparison with the public interest in disclosure, that disclosure is primarily in the commercial interest of the requester.

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<sup>5</sup> Executive Order 13800 “Strengthening the Cybersecurity of Federal Networks and Critical Infrastructure.” May 11, 2017. <https://www.gpo.gov/fdsys/pkg/FR-2017-05-16/pdf/2017-10004.pdf> (accessed March 24, 2018); Presidential Policy Directive 21 (PPD-21) – Critical Infrastructure Security and Resilience. February 12, 2013. <https://obamawhitehouse.archives.gov/the-press-office/2013/02/12/presidential-policy-directive-critical-infrastructure-security-and-resil> (accessed March 24, 2018).

FOIA Request

9

Answer: Not applicable since the requester has no commercial interest in the information.

The records may be provided to me electronically at this email address: [CivilDefenseBook@gmail.com](mailto:CivilDefenseBook@gmail.com).

Sincerely,

A handwritten signature in blue ink, appearing to read 'mabe', is positioned above the printed name.

Michael Mabee

**Exhibit 5**

To Declaration of Michael Mabee

Description:

“Second Release Letter” - FOIA-2019-30 (September 3, 2019).



FEDERAL ENERGY REGULATORY COMMISSION  
WASHINGTON, D.C. 20426

SEP 03 2019

Re: Second Release Letter  
FOIA No. FY19-30

**VIA EMAIL AND REGULAR MAIL**

Michael Mabee  
8 Westgate Road  
Mont Vernon, NH 03057  
CivilDefenseBook@gmail.com

Dear Mr. Mabee:

This is a response to your correspondence received on January 16, 2019, in which you requested information pursuant to the Freedom of Information Act (FOIA),<sup>1</sup> and the Federal Energy Regulatory Commission's (Commission) FOIA regulations, 18 C.F.R. § 388.108 (2018).

By letter dated June 13, 2019, I advised the submitter and the concerned Unidentified Registered Entities (URE) that a copy of the public version of the Notice of Penalty associated with Docket Nos. NP10-130, NP10-131, NP10-137, NP10-138, and NP10-140, along with the name of the URE inserted on the first page, would be disclosed to you no sooner than five calendar days from the date of my letter. *See* 18 C.F.R. § 388.112(e). The five-day notice period has elapsed and I am now releasing these public NOPs with the associated URE names on the first page to you.

Ordinarily, any appeal from a FOIA determination must be filed within 90 days of the date of issuance as provided by the FOIA and 18 C.F.R. § 388.110(a)(1) of the Commission's regulations. However, because your request is being processed on a rolling basis, the Commission will hold your appeal rights in abeyance pending a final determination. This will allow you to file a single appeal at the conclusion of our processing of your request.

If you decide to appeal, this appeal must be in writing, addressed to James P. Danly, General Counsel, Federal Energy Regulatory Commission, 888 First Street, NE, Washington, D.C. 20426, and clearly marked "Freedom of Information Act Appeal."

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<sup>1</sup> 5 U.S.C. § 552, *as amended* by the FOIA Improvement Act of 2016, Pub. L. No. 114-185, 130 Stat. 538 (2016).



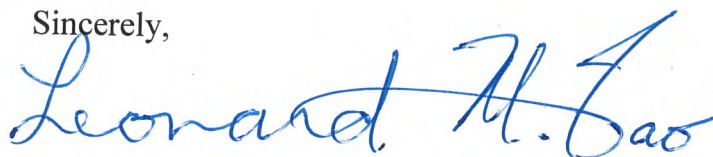
FOIA No. FY19-30

- 2 -

Please include a copy to Charles A. Beamon, Associate General Counsel, General and Administrative Law, at the same address.

You also have the right to seek dispute resolution services from the FOIA Public Liaison of the agency or the Office of Government Information Services (OGIS). Using OGIS services does not affect your right to pursue your appeal. You may contact OGIS by mail at Office of Government Information Services, National Archives and Records Administration, Room 2510, 8601 Adelphi Road, College Park, MD 20740-6001; email at [ogis@nara.gov](mailto:ogis@nara.gov); telephone at 301-837-1996; facsimile at 301-837-0348; or toll-free at 1-877-684-6448.

Sincerely,



Leonard M. Tao  
Director  
Office of External Affairs

cc

Edwin G. Kichline, Esq.  
North American Electric Reliability Corporation  
1325 G Street N.W. Suite 600  
Washington, DC 20005  
[edwin.kichline@nerc.net](mailto:edwin.kichline@nerc.net)

Sonia Mendonca, Esq.  
North American Electric Reliability Corporation  
1325 G Street N.W. Suite 600  
Washington, DC 20005  
[edwin.kichline@nerc.net](mailto:edwin.kichline@nerc.net)

(Enclosures)

**Exhibit 6**

To Declaration of Michael Mabee

Description:

ENCLOSURES - "Second Release Letter" - FOIA-2019-30 (September 3, 2019).



NORTH AMERICAN ELECTRIC  
RELIABILITY CORPORATION

PRIVILEGED AND CONFIDENTIAL INFORMATION HAS  
BEEN REMOVED FROM THIS PUBLIC VERSION

NP10-138  
Duke Energy Co.

July 6, 2010

Ms. Kimberly Bose  
Secretary  
Federal Energy Regulatory Commission  
888 First Street, N.E.  
Washington, D.C. 20426

**Re: NERC Non-Public Notices of Penalty,  
FERC Docket No. NP10-\_-000**

Dear Ms. Bose:

The North American Electric Reliability Corporation (NERC) hereby provides this Notice of Penalty<sup>1</sup>, which includes one (1) Non-Public Exhibit pertaining to a NERC Registered Entity. The Notice of Penalty set forth in the Non-Public Exhibit contains, in whole or in part, violations of the CIP-002 through CIP-009 Reliability Standards that were resolved by Settlement Agreement. The Registered Entity admits to the violation and agrees to the penalty. This filing is submitted in accordance with the Federal Energy Regulatory Commission's (Commission or FERC) rules, regulations and orders, as well as NERC Rules of Procedure including Appendix 4C (NERC Compliance Monitoring and Enforcement Program (CMEP)).<sup>2</sup>

The Non-Public Exhibit identifies the Reliability Standards at issue, the basis for the violation and the impact to reliability, and the ultimate disposition. A summary is set forth below:

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<sup>1</sup> *Rules Concerning Certification of the Electric Reliability Organization; and Procedures for the Establishment, Approval, and Enforcement of Electric Reliability Standards* (Order No. 672), III FERC Stats. & Regs. ¶ 31,204 (2006); *Notice of New Docket Prefix "NP" for Notices of Penalty Filed by the North American Electric Reliability Corporation*, Docket No. RM05-30-000 (February 7, 2008). See also 18 C.F.R. Part 39 (2008). *Mandatory Reliability Standards for the Bulk-Power System*, FERC Stats. & Regs. ¶ 31,242 (2007) (Order No. 693), *reh'g denied*, 120 FERC ¶ 61,053 (2007) (Order No. 693-A). See 18 C.F.R. § 39.7(c)(2).

<sup>2</sup> See 18 C.F.R. § 39.7(c)(2).

**Exhibit 7**

To Declaration of Michael Mabee

Description:

Exhibit 7 "Seventeenth Determination Letter" - FOIA-2019-30 (January 29, 2021)

Federal Energy Regulatory Commission  
Washington, D.C. 20426  
January 29, 2021

Re: FOIA FY19-30 and FOIA  
FY19-19 (Rolling)  
Response Letter – Denial

**VIA ELECTRONIC MAIL ONLY**

Michael Mabee  
6937 Nine Mile Bridge Road  
Fort Worth, TX 76135  
[CivilDefenseBook@gmail.com](mailto:CivilDefenseBook@gmail.com)

Dear Mr. Mabee:

This is a rolling response to your correspondence received in January 2019, in which you requested information pursuant to the Freedom of Information Act (FOIA), and the Federal Energy Regulatory Commission's (Commission) FOIA regulations. *See* 5 U.S.C. § 552 (2018); 18 C.F.R. § 388.108 (2020). Your request is for the names of the Unidentified Registered Entities (UREs) associated with various public dockets, including: NP10-159; NP10-160; NP11-1; NP11-4; NP11-218; NP11-234; NP10-135; NP10-136; NP17-13; and NP17-26. Based on staff's internal assessment, disclosure of the UREs associated with these dockets is not appropriate. Accordingly, as discussed further below, the identities will be withheld pursuant to Exemption 3 and Exemption 7(F).

Identities of UREs

Before making a determination as to whether this information is appropriate for release under FOIA, a case-by-case assessment of the requested information must consider the following: the nature of the Critical Infrastructure Protection (CIP) violation, including whether there is a Technical Feasibility Exception involved that does not allow the Unidentified Registered Entity to fully meet the CIP requirements; whether vendor-related information is contained in the Notices of Penalty (NOP); whether mitigation is complete; the content of the public and non-public versions of the NOP; the extent to which the disclosure of the identity of the URE and other information would be useful to someone seeking to cause harm; whether a successful audit has occurred since the violation(s); whether the violation(s) was administrative or technical in nature; and the length of time that has elapsed since the filing of the public NOP. An application of these factors will dictate whether a particular FOIA exemption, including 7(F) and/or Exemption 3, is appropriate. *See Garcia v. U.S. DOJ*, 181 F. Supp. 2d 356, 378 (S.D.N.Y. 2002) (“In evaluating the validity of an agency's invocation of Exemption 7(F), the court should within limits, defer to the agency's assessment of danger.”) (citation and internal quotations omitted).

FOIA FY19-30

- 2 -

Based on the application of the various factors discussed above, I conclude that disclosing the identities of the UREs associated with these dockets, would create a risk of harm or detriment to life, physical safety, or security because the specified UREs could become the target of a potentially bad actor. Therefore, the information is protected from disclosure under FOIA Exemption 7(F). *See* 5 U.S.C. § 552(b)(7)(F) (protecting law enforcement information where release “could reasonably be expected to endanger the life or physical safety of any individual.”). Additionally, the information is protected under FOIA Exemption 3. *See* Fixing America's Surface Transportation Act, Pub. L. No. 114-94, § 61003 (2015) (specifically exempting the disclosure of CEII and establishing applicability of FOIA Exemption 3, 5 U.S.C. § 552(b)(3)). Accordingly, the names of the UREs associated with the foregoing dockets will not be disclosed.

On November 18, 2019, you filed suit in the U.S. District Court for the District of Columbia asserting claims in connection with this FOIA request. *See Mabee v. Fed. Energy Reg. Comm'n.*, Civil Action No. 19-3448 (KBJ) (D.D.C.). Because this FOIA request is currently in litigation, this letter does not contain information regarding administrative appeal of the response to the FOIA request.

For any further assistance or to discuss any aspect of your request, you may contact Assistant United States Attorney April D. Seabrook by email at [april.seabrook@usdoj.gov](mailto:april.seabrook@usdoj.gov), by phone at (202) 252-2525, or by mail at United States Attorney's Office – Civil Division, U.S. Department of Justice, 555 Fourth Street, N.W., Washington, D.C. 20530.

Sincerely,

**LINDSEE** Digitally signed by  
LINDSEE GENTRY  
**GENTRY** Date: 2021.01.29  
16:19:47 -05'00'

Lindsee Gentry  
Director  
Office of External Affairs

FOIA FY19-30

- 3 -

Cc Mr. Peter Sorenson, Esq.  
Counsel for Mr. Mabee  
[petesorenson@gmail.com](mailto:petesorenson@gmail.com)

James M. McGrane  
Senior Counsel  
North American Electric Reliability Corporation  
1325 G Street N.W. Suite 600  
Washington, D.C. 20005  
[James.McGrane@nerc.net](mailto:James.McGrane@nerc.net)

**Exhibit 8**

To Declaration of Michael Mabee

Description:

NERC CIP-004-1



**Standard CIP-004-1 — Cyber Security — Personnel and Training**

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**A. Introduction**

1. **Title:** Cyber Security — Personnel & Training
2. **Number:** CIP-004-1
3. **Purpose:** Standard CIP-004 requires that personnel having authorized cyber or authorized unescorted physical access to Critical Cyber Assets, including contractors and service vendors, have an appropriate level of personnel risk assessment, training, and security awareness. Standard CIP-004 should be read as part of a group of standards numbered Standards CIP-002 through CIP-009. Responsible Entities should interpret and apply Standards CIP-002 through CIP-009 using reasonable business judgment.
4. **Applicability:**
  - 4.1. Within the text of Standard CIP-004, “Responsible Entity” shall mean:
    - 4.1.1 Reliability Coordinator.
    - 4.1.2 Balancing Authority.
    - 4.1.3 Interchange Authority.
    - 4.1.4 Transmission Service Provider.
    - 4.1.5 Transmission Owner.
    - 4.1.6 Transmission Operator.
    - 4.1.7 Generator Owner.
    - 4.1.8 Generator Operator.
    - 4.1.9 Load Serving Entity.
    - 4.1.10 NERC.
    - 4.1.11 Regional Reliability Organizations.
  - 4.2. The following are exempt from Standard CIP-004:
    - 4.2.1 Facilities regulated by the U.S. Nuclear Regulatory Commission or the Canadian Nuclear Safety Commission.
    - 4.2.2 Cyber Assets associated with communication networks and data communication links between discrete Electronic Security Perimeters.
    - 4.2.3 Responsible Entities that, in compliance with Standard CIP-002, identify that they have no Critical Cyber Assets.
5. **Effective Date:** June 1, 2006

**B. Requirements**

The Responsible Entity shall comply with the following requirements of Standard CIP-004:

- R1.** Awareness — The Responsible Entity shall establish, maintain, and document a security awareness program to ensure personnel having authorized cyber or authorized unescorted physical access receive on-going reinforcement in sound security practices. The program shall include security awareness reinforcement on at least a quarterly basis using mechanisms such as:
  - Direct communications (e.g., emails, memos, computer based training, etc.);
  - Indirect communications (e.g., posters, intranet, brochures, etc.);
  - Management support and reinforcement (e.g., presentations, meetings, etc.).

**Standard CIP-004-1 — Cyber Security — Personnel and Training**

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- R2.** Training — The Responsible Entity shall establish, maintain, and document an annual cyber security training program for personnel having authorized cyber or authorized unescorted physical access to Critical Cyber Assets, and review the program annually and update as necessary.
- R2.1.** This program will ensure that all personnel having such access to Critical Cyber Assets, including contractors and service vendors, are trained within ninety calendar days of such authorization.
- R2.2.** Training shall cover the policies, access controls, and procedures as developed for the Critical Cyber Assets covered by CIP-004, and include, at a minimum, the following required items appropriate to personnel roles and responsibilities:
- R2.2.1.** The proper use of Critical Cyber Assets;
- R2.2.2.** Physical and electronic access controls to Critical Cyber Assets;
- R2.2.3.** The proper handling of Critical Cyber Asset information; and,
- R2.2.4.** Action plans and procedures to recover or re-establish Critical Cyber Assets and access thereto following a Cyber Security Incident.
- R2.3.** The Responsible Entity shall maintain documentation that training is conducted at least annually, including the date the training was completed and attendance records.
- R3.** Personnel Risk Assessment — The Responsible Entity shall have a documented personnel risk assessment program, in accordance with federal, state, provincial, and local laws, and subject to existing collective bargaining unit agreements, for personnel having authorized cyber or authorized unescorted physical access. A personnel risk assessment shall be conducted pursuant to that program within thirty days of such personnel being granted such access. Such program shall at a minimum include:
- R3.1.** The Responsible Entity shall ensure that each assessment conducted include, at least, identity verification (e.g., Social Security Number verification in the U.S.) and seven-year criminal check. The Responsible Entity may conduct more detailed reviews, as permitted by law and subject to existing collective bargaining unit agreements, depending upon the criticality of the position.
- R3.2.** The Responsible Entity shall update each personnel risk assessment at least every seven years after the initial personnel risk assessment or for cause.
- R3.3.** The Responsible Entity shall document the results of personnel risk assessments of its personnel having authorized cyber or authorized unescorted physical access to Critical Cyber Assets, and that personnel risk assessments of contractor and service vendor personnel with such access are conducted pursuant to Standard CIP-004.
- R4.** Access — The Responsible Entity shall maintain list(s) of personnel with authorized cyber or authorized unescorted physical access to Critical Cyber Assets, including their specific electronic and physical access rights to Critical Cyber Assets.
- R4.1.** The Responsible Entity shall review the list(s) of its personnel who have such access to Critical Cyber Assets quarterly, and update the list(s) within seven calendar days of any change of personnel with such access to Critical Cyber Assets, or any change in the access rights of such personnel. The Responsible Entity shall ensure access list(s) for contractors and service vendors are properly maintained.
- R4.2.** The Responsible Entity shall revoke such access to Critical Cyber Assets within 24 hours for personnel terminated for cause and within seven calendar days for personnel who no longer require such access to Critical Cyber Assets.

**Standard CIP-004-1 — Cyber Security — Personnel and Training**

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**C. Measures**

The following measures will be used to demonstrate compliance with the requirements of Standard CIP-004:

- M1.** Documentation of the Responsible Entity's security awareness and reinforcement program as specified in Requirement R1.
- M2.** Documentation of the Responsible Entity's cyber security training program, review, and records as specified in Requirement R2.
- M3.** Documentation of the personnel risk assessment program and that personnel risk assessments have been applied to all personnel who have authorized cyber or authorized unescorted physical access to Critical Cyber Assets, as specified in Requirement R3.
- M4.** Documentation of the list(s), list review and update, and access revocation as needed as specified in Requirement R4.

**D. Compliance****1. Compliance Monitoring Process****1.1. Compliance Monitoring Responsibility**

- 1.1.1** Regional Reliability Organizations for Responsible Entities.
- 1.1.2** NERC for Regional Reliability Organization.
- 1.1.3** Third-party monitor without vested interest in the outcome for NERC.

**1.2. Compliance Monitoring Period and Reset Time Frame**

Annually.

**1.3. Data Retention**

- 1.3.1** The Responsible Entity shall keep personnel risk assessment documents in accordance with federal, state, provincial, and local laws.
- 1.3.2** The Responsible Entity shall keep all other documentation required by Standard CIP-004 from the previous full calendar year.
- 1.3.3** The compliance monitor shall keep audit records for three calendar years.

**1.4. Additional Compliance Information**

- 1.4.1** Responsible Entities shall demonstrate compliance through self-certification or audit, as determined by the Compliance Monitor.
- 1.4.2** Instances where the Responsible Entity cannot conform to its cyber security policy must be documented as exceptions and approved by the designated senior manager or delegate(s). Duly authorized exceptions will not result in non-compliance. Refer to CIP-003 Requirement R3.

**2. Levels of Noncompliance****2.1. Level 1:**

- 2.1.1** Awareness program exists, but is not conducted within the minimum required period of quarterly reinforcement; or,
- 2.1.2** Training program exists, but records of training either do not exist or reveal that personnel who have access to Critical Cyber Assets were not trained as required; or,

**Standard CIP-004-1 — Cyber Security — Personnel and Training**

- 2.1.3 Personnel risk assessment program exists, but documentation of that program does not exist; or,
  - 2.1.4 List(s) of personnel with their access rights is available, but has not been reviewed and updated as required.
  - 2.1.5 One personnel risk assessment is not updated at least every seven years, or for cause; or,
  - 2.1.6 One instance of personnel (employee, contractor or service provider) change other than for cause in which access to Critical Cyber Assets was no longer needed was not revoked within seven calendar days.
- 2.2. Level 2:**
- 2.2.1 Awareness program does not exist or is not implemented; or,
  - 2.2.2 Training program exists, but does not address the requirements identified in Standard CIP-004; or,
  - 2.2.3 Personnel risk assessment program exists, but assessments are not conducted as required; or,
  - 2.2.4 One instance of personnel termination for cause (employee, contractor or service provider) in which access to Critical Cyber Assets was not revoked within 24 hours.
- 2.3. Level 3:**
- 2.3.1 Training program exists, but has not been reviewed and updated at least annually; or,
  - 2.3.2 A personnel risk assessment program exists, but records reveal program does not meet the requirements of Standard CIP-004; or,
  - 2.3.3 List(s) of personnel with their access control rights exists, but does not include service vendors and contractors.
- 2.4. Level 4:**
- 2.4.1 No documented training program exists; or,
  - 2.4.2 No documented personnel risk assessment program exists; or,
  - 2.4.3 No required documentation created pursuant to the training or personnel risk assessment programs exists.

**E. Regional Differences**

None identified.

**Version History**

Version	Date	Action	Change Tracking
1	01/16/06	D.2.2.4 — Insert the phrase “for cause” as intended. “One instance of personnel termination for cause...”	03/24/06
1	06/01/06	D.2.1.4 — Change “access control rights” to “access rights.”	06/05/06

**Exhibit 9**

To Declaration of Michael Mabee

Description:

NERC Active Compliance Registry Matrix as of December 9, 2024.



NERC Active Compliance Registry Matrix as of December 9, 2024

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR11516	ACE O&M, LLC	MRO			GO	GOP										
NCR11645	AE Power Services LLC	MRO				GOP										
NCR01056	AEP as Agent for AEP OK Transco., PSCO, and SWEPCO	MRO		DP	GO	GOP			RP				TO	TOP	TP	
NCR12314	AEP Public Service Company of Oklahoma - Rock Falls	MRO			GO	GOP										
NCR11679	AES US Renewables	MRO			GO	GOP										
NCR00959	Alexandria Light & Power	MRO											TO			
NCR11785	Algonquin Power Co.	MRO			GO	GOP										
NCR12062	Alliance for Cooperative Energy Services Power Marketing LLC	MRO				GOP										
NCR00961	Alliant Energy - East	MRO	BA	DP	GO	GOP			RP							
NCR00962	Alliant Energy - West	MRO	BA	DP	GO	GOP			RP							
NCR10248	Ameren Missouri	MRO			GO	GOP										
NCR00685	American Transmission Co. LLC	MRO											TO	TOP	TP	
NCR01060	Arkansas Electric Cooperative Corporation	MRO		DP	GO	GOP			RP				TO			
NCR10002	Atlantic Municipal Utilities (Board Of Waterworks & Electric Power Plant Trustees)	MRO											TO			
NCR10259	Avangrid Renewables	MRO			GO	GOP										
NCR12300	AWAM - Great Pathfinder Wind	MRO				GOP										
NCR11548	Balko Wind, LLC	MRO			GO											
NCR00102	Basin Electric Power Cooperative	MRO		DP	GO	GOP			RP				TO		TP	
NCR12018	BHER Market Operations, LLC	MRO				GOP										
NCR11886	Blue Cloud Wind Energy, LLC	MRO			GO	GOP										
NCR12277	Blue Hill Wind Energy	MRO			GO	GOP										
NCR01061	Board Of Public Utilities (Kansas City KS)	MRO		DP	GO	GOP			RP				TO	TOP	TP	
NCR10319	Board Of Public Utilities, City Of McPherson, Kansas	MRO		DP	GO	GOP							TO			
NCR01062	Borger Energy Associates LLC	MRO			GO	GOP										
NCR11563	Buckeye Wind Energy, LLC	MRO			GO	GOP										
NCR11354	Canadian Hills Wind, LLC	MRO			GO	GOP										
NCR12223	Canadian Solar O&M (U.S.) LLC	MRO				GOP										
NCR05059	Caprock Wind LLC	MRO			GO	GOP										
NCR00969	Cedar Falls Utilities	MRO		DP					RP				TO		TP	
NCR10104	Cedarburg Light & Water Utility	MRO		*DP-UFLS												
NCR00970	Central Iowa Power Cooperative	MRO		DP					RP				TO		TP	TSP
NCR11163	Central Nebraska Public Power and Irrigation District, The	MRO			GO	GOP							TO			
NCR11734	Central Power Electric Cooperative, Inc.	MRO		*DP-UFLS									TO			
NCR12165	Cherry-Todd Electric Cooperative	MRO											TO			
NCR10316	CHI Power, Inc.	MRO			GO	GOP										
NCR11240	Cimarron Windpower II, LLC	MRO			GO											
NCR00972	City Of Ames Electric Services	MRO		DP									TO		TP	
NCR00982	City of Escanaba	MRO		*DP-UFLS												
NCR00974	City Of Grand Island, NE	MRO		DP	GO	GOP			RP				TO		TP	

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR10227	City Of Ottawa	MRO											TO			
NCR11771	City of Saskatoon	MRO		DP												
NCR01081	City Utilities Of Springfield, MO	MRO		DP	GO	GOP			RP				TO	TOP	TP	
NCR11926	Clearway Renewable Operation & Maintenance LLC	MRO			GO	GOP										
NCR11151	Coffeyville Municipal Light & Power	MRO		DP									TO			
NCR10122	Constellation Energy Generation, LLC – Midwest Region	MRO			GO	GOP										
NCR00977	Corn Belt Power Cooperative	MRO		DP					RP				TO	TOP	TP	
NCR12074	Coyote Ridge Wind, LLC	MRO			GO											
NCR11810	CP Bloom Wind, LLC	MRO			GO	GOP										
NCR11081	CPV Keenan II Renewable Energy Company, LLC	MRO			GO	GOP										
NCR11964	Crocker Wind Farm, LLC	MRO			GO	GOP										
NCR00979	Dairyland Power Cooperative	MRO	BA	DP	GO	GOP			RP				TO	TOP	TP	
NCR12181	Dairyland Power Cooperative - RockGen	MRO			GO	GOP										
NCR12105	Dakota Range III, LLC	MRO			GO	GOP										
NCR11179	Dempsey Ridge Wind Farm, LLC	MRO			GO	GOP										
NCR11241	Deriva Energy Services, LLC	MRO				GOP										
NCR00980	Detroit Lakes Public Utilities	MRO		DP												
NCR12091	Deuel Harvest Wind Energy, LLC	MRO				GOP										
NCR11250	Dogwood Power Management, LLC	MRO			GO											
NCR11992	East Fork Wind Project, LLC	MRO			GO	GOP										
NCR11733	East River Electric Power Cooperative, Inc	MRO		*DP-UFLS									TO			
NCR01227	East Texas Electric Cooperative Inc.	MRO		DP					RP				TO		TP	
NCR01092	Eastman Cogeneration Limited Partnership	MRO			GO	GOP										
NCR11662	EDP Renewables North America LLC	MRO			GO	GOP										
NCR00658	Evergy, Inc.	MRO		DP	GO	GOP			RP				TO	TOP	TP	
NCR11158	Fenton Power Partners 1, LLC	MRO			GO	GOP										
NCR11314	Flat Ridge 2 Wind Energy LLC	MRO			GO	GOP										
NCR12356	Flat Ridge 3 Wind Energy LLC	MRO			GO	GOP										
NCR10312	Flat Ridge Wind Energy, LLC	MRO			GO	GOP										
NCR12088	Frontier Windpower II, LLC	MRO			GO											
NCR11692	Frontier Windpower, LLC	MRO			GO											
NCR11972	Glacier's Edge Wind Project, LLC	MRO			GO	GOP										
NCR11444	Glencoe Light and Power Commission	MRO											TO		TP	
NCR12217	Golden South Wind LP	MRO			GO	GOP										
NCR10020	Golden Spread Electric Cooperative, Inc.	MRO			GO				RP							
NCR11153	Golden Spread Panhandle Wind Ranch, LLC	MRO			GO											
NCR12122	Grand Electric Cooperative	MRO		*DP-UFLS									TO			
NCR01101	Grand River Dam Authority	MRO		DP	GO	GOP			RP				TO	TOP	TP	
NCR11682	Grande Prairie Wind, LLC	MRO			GO	GOP										
NCR12301	Great Pathfinder Wind, LLC	MRO			GO											
NCR11070	Great Plains Windpark, LLC	MRO			GO	GOP										
NCR00992	Great River Energy	MRO	BA	DP	GO	GOP			RP				TO	TOP	TP	
NCR11687	Great Western Wind Energy, LLC	MRO			GO	GOP										
NCR01103	Green Country Energy, LLC	MRO			GO											
NCR01104	Green Country Operating Services, LLC	MRO				GOP										
NCR10105	Hartford Electric	MRO		*DP-UFLS												
NCR00993	Hastings Utilities	MRO		DP	GO	GOP			RP				TO		TP	
NCR12201	Haystack Wind Project, LLC	MRO			GO											

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR00997	Hutchinson Utilities Commission	MRO		DP					RP				TO			
NCR01072	Independence Power & Light (Independence, Missouri)	MRO		DP	GO	GOP			RP				TO	TOP	TP	
NCR10244	Invenergy Cannon Falls, LLC	MRO			GO	GOP										
NCR12203	Invenergy Services - Traverse	MRO				GOP										
NCR11718	Invenergy Services LLC	MRO				GOP										
NCR12280	Invenergy Services-Balko	MRO				GOP										
NCR12075	Invenergy Services-Coyote Ridge	MRO				GOP										
NCR12146	Invenergy Services-Maverick	MRO				GOP										
NCR12101	Invenergy Services-Sundance	MRO				GOP										
NCR12076	Invenergy Services-Tatanka	MRO				GOP										
NCR12191	Iron Star Wind Project, LLC	MRO			GO	GOP										
NCR11257	Ironwood Windpower, LLC	MRO			GO											
NCR10400	ITC Great Plains, LLC	MRO											TO	TOP	TP	
NCR10192	ITC Midwest	MRO											TO	TOP	TP	
NCR12180	Jayhawk Wind LLC	MRO			GO	GOP										
NCR10106	Jefferson Utilities	MRO		*DP-UFLS												
NCR01109	Kansas Electric Power Cooperative, Inc.	MRO							RP							
NCR10107	Kaukauna Utilities	MRO		DP												
NCR12049	King Plains Wind Project, LLC	MRO			GO	GOP										
NCR11617	Kingfisher Wind, LLC	MRO			GO	GOP										
NCR12525	Kiowa County Solar Project, LLC	MRO											TO			
NCR01113	Kiowa Power Partners, LLC	MRO			GO	GOP										
NCR12330	L&O Power Cooperative	MRO		*DP-UFLS												
NCR11180	Lakefield Wind Project, LLC	MRO			GO	GOP										
NCR12099	Lakota Ridge, LLC	MRO			GO	GOP										
NCR06047	Lea County Electric Cooperative, Inc.	MRO		DP									TO			
NCR10301	Lea Power Partners, LLC	MRO			GO	GOP										
NCR12290	Ledyard Windpower, LLC	MRO			GO											
NCR01001	Lincoln Electric System	MRO		DP	GO	GOP			RP				TO	TOP	TP	
NCR10022	LSP-Cottage Grove, LP	MRO			GO	GOP										
NCR00818	Madison Gas And Electric Company	MRO	BA	DP	GO	GOP			RP							
NCR01003	Manitoba Hydro	MRO	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR01006	Marshall Municipal Utilities	MRO											TO			
NCR01007	Marshfield Utilities	MRO			GO	GOP										
NCR12147	Maverick Wind Project, LLC	MRO			GO											
NCR11739	McKenzie Electric Cooperative	MRO		DP									TO			
NCR11893	Meadowlark Wind I LLC (New Frontier)	MRO			GO	GOP										
NCR11984	MEC Holdings, LLC	MRO			GO	GOP										
NCR10108	Menasha Utilities	MRO		DP												
NCR11772	Meridian Limited Partnership	MRO			GO	GOP										
NCR00824	MidAmerican Energy Company	MRO	BA	DP	GO	GOP			RP				TO	TOP	TP	
NCR00826	Midcontinent Independent System Operator, Inc.	MRO	BA				PA / PC	RC	RP						TP	TSP
NCR01118	Midwest Energy, Inc.	MRO		DP									TO	TOP	TP	
NCR11990	Miller Bros. Solar, LLC	MRO				GOP										
NCR12279	Milligan Wind Farm, LLC	MRO			GO	GOP										
NCR01012	Minnesota Municipal Power Agency	MRO			GO	GOP										
NCR00674	Minnesota Power (Allete, Inc.)	MRO	BA	DP	GO	GOP			RP				TO	TOP	TP	
NCR01013	Minnkota Power Cooperative, Inc.	MRO		DP	GO	GOP			RP				TO	TOP	TP	TSP



NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR03044	MISO-MBHydro Contingency Reserve Sharing Group	MRO								RSG						
NCR01014	Missouri Basin Municipal Power Agency (MRES)	MRO			GO	GOP			RP				TO		TP	
NCR01015	Montana-Dakota Utilities Company	MRO	BA	DP	GO	GOP			RP				TO	TOP	TP	
NCR01016	Moorhead Public Service	MRO		DP									TO			
NCR11740	Mountrail-Williams Electric Cooperative	MRO		DP									TO			
NCR00303	Municipal Energy Agency Of Nebraska	MRO							RP							
NCR00967	Muscatine Power & Water (Board Of Water, Electric & Communications)	MRO	BA	DP	GO	GOP			RP				TO	TOP	TP	
NCR06054	NAES Corporation - Dogwood	MRO				GOP										
NCR11236	NAES Corporation - Goodman Energy Center	MRO			GO	GOP										
NCR12202	NAES Corporation - Haystack Wind Project	MRO				GOP										
NCR01018	Nebraska Public Power District	MRO		DP	GO	GOP			RP				TO	TOP	TP	
NCR10019	NextEra Energy Resources, LLC	MRO			GO	GOP										
NCR12235	Nexus Line, LLC	MRO											TO			
NCR12059	Nobles 2 Energy Center	MRO			GO	GOP										
NCR11721	North Battleford Power L.P.	MRO			GO	GOP										
NCR12428	North Bend Wind Project, LLC	MRO			GO	GOP										
NCR12464	North Fork Solar Project, LLC	MRO			GO											
NCR11684	North Star Solar PV, LLC	MRO			GO											
NCR11607	Northeast Texas Electric Cooperative - HCPP	MRO			GO											
NCR01124	Northeast Texas Electric Cooperative, Inc	MRO		DP					RP							
NCR01020	Northern States Power (Xcel Energy)	MRO	BA	DP	GO	GOP			RP				TO	TOP	TP	
NCR11741	Northwest Iowa Power Cooperative	MRO		DP									TO			
NCR01021	NorthWestern Energy Public Service	MRO		DP	GO	GOP			RP				TO		TP	
NCR12371	NRG Energy Services LLC - HCPP	MRO				GOP										
NCR11642	NRG Energy Services-Golden Spread	MRO			GO											
NCR11730	NRG Energy Services-Panhandle Wind Ranch (GOP)	MRO			GO											
NCR10110	Oconomowoc Utilities	MRO		*DP-UFLS												
NCR01130	Oklahoma Gas And Electric Co.	MRO		DP	GO	GOP			RP				TO	TOP	TP	
NCR04108	Oklahoma Municipal Power Authority	MRO		DP	GO	GOP			RP							
NCR12308	Old Gold Energy Center, LLC	MRO			GO	GOP										
NCR00860	Omaha Public Power District	MRO		DP	GO	GOP			RP				TO	TOP	TP	
NCR11485	Oneta Power, LLC	MRO			GO	GOP										
NCR12355	Onward Energy ROC LLC	MRO				GOP										
NCR01023	Otter Tail Power Company	MRO	BA	DP	GO	GOP			RP				TO	TOP	TP	
NCR01135	Paragould Light & Water Commission	MRO		DP												
NCR11808	Pattern Operators LP	MRO			GO	GOP										
NCR12030	Plum Creek Wind, LLC	MRO			GO	GOP										
NCR10111	Plymouth Utilities	MRO		*DP-UFLS												
NCR11953	Prairie Breeze Wind Energy II LLC	MRO			GO	GOP										
NCR11954	Prairie Breeze Wind Energy III LLC	MRO			GO	GOP										
NCR11460	Prairie Breeze Wind Energy LLC	MRO			GO	GOP										
NCR12234	Rainbow Energy Center, LLC	MRO			GO	GOP										
NCR10304	Red Hills Wind Project, L.L.C.	MRO			GO	GOP										
NCR11823	Red Pine Wind Project, LLC	MRO			GO	GOP										
NCR10112	Reedsburg Utility Commission	MRO		*DP-UFLS												
NCR10113	River Falls Municipal Utilities	MRO		*DP-UFLS												
NCR01027	Rochester Public Utilities	MRO		DP	GO	GOP			RP				TO	TOP	TP	TSP
NCR11560	Roosevelt Wind Project, LLC	MRO			GO	GOP										

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR12153	Rosebud Electric Cooperative	MRO											TO			
NCR11743	Roughrider Electric Cooperative, Inc	MRO		DP												
NCR12482	RWE Clean Energy, LLC	MRO			GO	GOP										
NCR01029	Saskatchewan Power Corporation	MRO	BA	DP	GO	GOP	PA / PC	RC	RP				TO	TOP	TP	TSP
NCR12100	Shaokatan Hills, LLC	MRO			GO	GOP										
NCR11742	Sheridan Electric Cooperative, Inc.	MRO											TO			
NCR11586	Slate Creek Wind, LLC	MRO			GO	GOP										
NCR11919	Solomon Forks Wind Project, LLC	MRO			GO	GOP										
NCR11685	SOLV Energy, LLC	MRO				GOP										
NCR01030	Southern Minnesota Municipal Power Agency	MRO	BA						RP				TO		TP	
NCR11695	Southern Power Company	MRO			GO	GOP										
NCR01143	Southwest Power Pool, Inc.	MRO	BA				PA / PC	RC		RSG						TSP
NCR01144	Southwestern Power Administration	MRO	BA						RP				TO	TOP	TP	
NCR01145	Southwestern Public Service Co. (Xcel Energy)	MRO		DP	GO	GOP			RP				TO	TOP	TP	
NCR11322	Spearville 3, LLC	MRO			GO	GOP										
NCR11323	Spinning Spur Wind, LLC	MRO			GO	GOP										
NCR11722	Spy Hill Power L.P.	MRO			GO	GOP										
NCR11270	St. Joseph Windfarm Inc.	MRO			GO	GOP										
NCR11269	St. Leon Wind Energy LP	MRO			GO	GOP										
NCR11901	Stoneray Power Partners, LLC	MRO			GO	GOP										
NCR12102	Sundance Wind Project, LLC	MRO			GO											
NCR01148	Sunflower Electric Power Corporation	MRO		DP	GO	GOP			RP				TO	TOP	TP	
NCR12352	Sunflower Energy Center, LLC	MRO			GO	GOP										
NCR11702	Sunflower Wind Project, LLC	MRO			GO											
NCR12381	Sweetland Wind Farm, LLC	MRO			GO	GOP										
NCR12077	Tatanka Ridge Wind, LLC	MRO			GO											
NCR10245	Tatanka Wind Power, LLC	MRO			GO	GOP										
NCR00633	Tenaska Gateway Partners Ltd	MRO			GO	GOP										
NCR01155	The Empire District Electric Company	MRO		DP	GO	GOP			RP				TO	TOP	TP	
NCR12289	Thunderhead Wind Energy, LLC	MRO			GO	GOP										
NCR12419	TransAlta Holdings U.S. Inc.	MRO			GO	GOP										
NCR11539	Transource Missouri, LLC	MRO											TO			
NCR12205	Traverse Wind Project, LLC	MRO			GO											
NCR10102	Tri-State Generation And Transmission Association Inc. - Transmission	MRO											TO	TOP	TP	TSP
NCR12048	Triple H Wind Project, LLC	MRO			GO	GOP										
NCR12380	Umbriel Solar, LLC	MRO			GO	GOP										
NCR11738	Upper Missouri Power Cooperative	MRO		*DP-UFLS									TO			
NCR01033	Upper Peninsula Power Company	MRO	BA	DP					RP							
NCR11880	Upstream Wind Energy, LLC	MRO			GO	GOP										
NCR01357	USACE - Kansas City District	MRO			GO	GOP							TO			
NCR06037	USACE - Little Rock District	MRO			GO	GOP							TO			
NCR00978	USACE - Omaha District	MRO			GO	GOP							TO			
NCR06038	USACE - Tulsa District	MRO			GO	GOP							TO			
NCR12094	Wapello Solar LLC	MRO			GO											
NCR11159	Wapsipinicon Wind Project, LLC	MRO			GO	GOP										
NCR12154	West River Electric Association	MRO											TO			
NCR05464	Western Area Power Administration - Rocky Mountain Region	MRO											TO	TOP	TP	
NCR01036	Western Area Power Administration - Upper Great Plains East	MRO	BA						RP				TO	TOP	TP	

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR01160	Western Farmers Electric Cooperative	MRO		DP	GO	GOP			RP				TO	TOP	TP	
NCR12331	White Rock Wind West, LLC	MRO											TO			
NCR12474	Wild Springs Solar, LLC	MRO			GO	GOP										
NCR01038	Willmar Municipal Utilities	MRO											TO			
NCR12037	Willow Creek Wind Power LLC	MRO			GO	GOP										
NCR00951	Wisconsin Electric Power Company	MRO			GO	GOP										
NCR00952	Wisconsin Public Service Corporation	MRO			GO	GOP										
NCR01042	Wisconsin Rapids Water Works & Lighting Commission	MRO		*DP-UFLS												
NCR01040	WPPI Energy	MRO							RP							
NCR11679	AES US Renewables	NPCC			GO	GOP										
NCR11791	Alcoa Power Generating Inc. -Long Sault Division	NPCC											TO	TOP		
NCR11387	Allegany Generating Station LLC	NPCC			GO	GOP										
NCR07076	AP (Curtis Palmer) LLC	NPCC			GO	GOP										
NCR12232	Arthur Kill Power, LLC	NPCC			GO	GOP										
NCR11112	Astoria Energy II LLC	NPCC			GO	GOP										
NCR00538	Astoria Energy, LLC	NPCC			GO	GOP										
NCR07012	Astoria Generating Company L.P.	NPCC			GO	GOP										
NCR10259	Avangrid Renewables	NPCC			GO	GOP										
NCR12409	Ball Hill Wind, LLC	NPCC			GO	GOP										
NCR12295	Baron Winds, LLC	NPCC			GO	GOP										
NCR11246	Bayonne Energy Center	NPCC			GO	GOP										
NCR07015	Bear Swamp Power Company LLC	NPCC			GO											
NCR10351	Berkshire Power Company, LLC	NPCC			GO	GOP										
NCR11559	Berlin Station, LLC	NPCC			GO	GOP										
NCR12230	Bethlehem Energy Center	NPCC			GO	GOP										
NCR11675	Blue Sky West, LLC	NPCC			GO	GOP										
NCR12406	Bluestone Wind, LLC	NPCC			GO	GOP										
NCR00503	BNY Power Operations, LLC	NPCC				GOP										
NCR11873	Bowline, LLC	NPCC			GO	GOP										
NCR07034	Bozrah Light and Power Company	NPCC		*DP-UFLS									TO			
NCR07022	Braintree Electric Light Department	NPCC		DP	GO	GOP							TO			
NCR03035	Bridgeport Energy, LLC	NPCC			GO	GOP										
NCR12229	Bridgeport Harbor	NPCC			GO	GOP										
NCR11647	Brookfield Power US Asset Management LLC	NPCC				GOP										
NCR11324	Brookfield White Pine Hydro, LLC	NPCC			GO											
NCR10237	Brooklyn Navy Yard Cogeneration Partners, L.P.	NPCC			GO											
NCR11459	Bucksport Generation LLC	NPCC			GO	GOP										
NCR07024	Burlington Electric Department	NPCC		*DP-UFLS												
NCR10353	Caithness Long Island, LLC	NPCC			GO	GOP										
NCR00006	Calpine Corporation	NPCC			GO	GOP										
NCR12033	Canal Generating LLC	NPCC			GO	GOP										
NCR10330	Canandaigua Power Partners, LLC	NPCC			GO	GOP										
NCR10354	Carr Street Generating Station, LP	NPCC			GO	GOP										
NCR10355	Carthage Energy, LLC	NPCC			GO	GOP										
NCR12139	Cassadaga Wind LLC	NPCC			GO	GOP										
NCR10382	Castleton Power, LLC	NPCC			GO											
NCR07028	Central Hudson Gas & Electric Corporation	NPCC		DP									TO	TOP	TP	
NCR07029	Central Maine Power Company	NPCC		DP									TO	TOP	TP	TSP

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR07031	Chicopee Municipal Lighting Plant	NPCC		DP									TO			
NCR11133	Connecticut Transmission Municipal Electric Energy Cooperative	NPCC											TO			
NCR07046	Consolidated Edison Co of NY, Inc	NPCC		DP	GO	GOP							TO	TOP	TP	
NCR00778	Constellation Energy Generation, LLC - Constellation Nuclear	NPCC			GO	GOP										
NCR04057	Constellation Energy Generation, LLC - Constellation Power	NPCC			GO	GOP										
NCR11892	Copenhagen Wind Farm, LLC	NPCC			GO	GOP										
NCR12225	Cos Cob Power	NPCC			GO	GOP										
NCR10011	Covanta SEMASS LLC	NPCC			GO	GOP										
NCR11828	CPV Towantic, LLC	NPCC			GO	GOP										
NCR11827	CPV Valley, LLC	NPCC			GO	GOP										
NCR11965	Cricket Valley Energy Center, LLC	NPCC			GO	GOP										
NCR07055	Cross Sound Cable Company, LLC.	NPCC											TO			TSP
NCR11487	Danskammer Energy, LLC	NPCC			GO	GOP										
NCR07056	Danvers Electric Division	NPCC		DP												
NCR02922	Dartmouth Power Associates, LP	NPCC			GO	GOP										
NCR12226	Devon Power	NPCC			GO	GOP										
NCR07065	Dominion Energy Nuclear Connecticut, Inc.	NPCC			GO	GOP										
NCR10369	Eagle Point Energy Center, LLC	NPCC			GO	GOP										
NCR11662	EDP Renewables North America LLC	NPCC			GO	GOP										
NCR11061	Empire Generating Co, LLC	NPCC			GO											
NCR10358	Erie Boulevard Hydropower, LP	NPCC			GO											
NCR00540	Essential Power Newington, LLC	NPCC			GO	GOP										
NCR11727	Evergreen Gen Lead LLC	NPCC			GO	GOP										
NCR11582	Evergreen Wind Power II, LLC	NPCC			GO	GOP										
NCR07176	Eversource Energy Service Company	NPCC		DP									TO	TOP	TP	TSP
NCR11042	Fairport Municipal Commision	NPCC		DP												
NCR12009	FirstLight MA Hydro LLC	NPCC			GO	GOP										
NCR07086	Fitchburg Gas and Electric Light Company	NPCC		DP									TO			
NCR07090	Fortistar North Tonawanda	NPCC			GO											
NCR11710	GenConn Energy LLC	NPCC			GO	GOP										
NCR07102	Granite State Electric Company	NPCC		*DP-UFLS												
NCR07220	Great River Hydro, LLC	NPCC			GO	GOP										
NCR07103	Green Mountain Power Corporation	NPCC		DP												
NCR11753	Greenidge Generation LLC	NPCC			GO	GOP										
NCR07035	Groton Utilities	NPCC		DP									TO			
NCR11853	GSP Merrimack LLC	NPCC			GO	GOP										
NCR11852	GSP Newington LLC	NPCC			GO	GOP										
NCR11851	GSP Schiller LLC	NPCC			GO	GOP										
NCR11766	Helix Maine Wind Development, LLC	NPCC			GO	GOP										
NCR11796	Helix Ravenswood, LLC	NPCC			GO	GOP										
NCR07106	Hingham Municipal Lighting Plant	NPCC		*DP-UFLS												
NCR12140	Holec Indian Point 3, LLC	NPCC			GO	GOP										
NCR07107	Hudson Light and Power Department	NPCC		DP												
NCR07109	HYDRO ONE NETWORKS INC.	NPCC		DP									TO	TOP	TP	
NCR07111	Hydro-Quebec (HQ)	NPCC		DP	GO	GOP	PA / PC		RP				TO		TP	TSP
NCR07112	Hydro-Québec Reliability Coordinator for Québec (HQCF)	NPCC	BA					RC						TOP		
NCR10360	Indeck - Corinth, LP	NPCC			GO	GOP										
NCR10361	Indeck - Olean, LP	NPCC			GO	GOP										

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR10362	Indeck - Oswego, LP	NPCC			GO	GOP							TO			
NCR10363	Indeck - Yerkess, LP	NPCC			GO	GOP										
NCR10364	Indeck Energy Services of Silver Springs, LP	NPCC			GO	GOP										
NCR00124	Ipswich Municipal Light Department	NPCC		*DP-UFLS												
NCR07124	ISO-NE	NPCC	BA				PA / PC	RC	RP	RSG				TOP	TP	TSP
NCR07229	JGT2 Energy LLC	NPCC			GO	GOP										
NCR11436	Kendall Green Energy Holdings, LLC	NPCC			GO	GOP										
NCR11126	Kleen Energy Systems, LLC.	NPCC			GO											
NCR00164	Littleton Electric Light Department	NPCC		*DP-UFLS												
NCR07132	Lockport Energy Associates	NPCC			GO											
NCR07133	Long Island Power Authority	NPCC		DP									TO	TOP	TP	
NCR12138	LS Power Grid New York Corporation I	NPCC											TO		TP	
NCR10133	Luminant Energy Company, LLC	NPCC				GOP										
NCR07134	Maine Electric Power Company	NPCC											TO		TP	
NCR11900	Manchester Street, L.L.C.	NPCC			GO	GOP										
NCR07135	Mansfield Municipal Electric Department	NPCC		*DP-UFLS												
NCR00208	Marblehead Municipal Light Department	NPCC		*DP-UFLS												
NCR11793	Marco DM Holdings, LLC	NPCC			GO											
NCR07136	Mass. Municipal Wholesale Electric Company	NPCC			GO	GOP							TO			
NCR11044	Massena Electric Department	NPCC		*DP-UFLS												
NCR10251	Middleborough Gas and Electric Department	NPCC		*DP-UFLS									TO			
NCR07144	Millennium Power Company, LLC	NPCC			GO	GOP										
NCR07045	MIRA Dissolution Authority	NPCC			GO	GOP										
NCR07037	Mohegan Tribal Utility Authority	NPCC											TO			
NCR12227	Montville Power	NPCC			GO	GOP										
NCR12247	MPH AL PIERCE, LLC	NPCC			GO	GOP										
NCR11535	NAES Corporation - Beaver Falls	NPCC				GOP										
NCR11705	NAES Corporation - Castleton Power	NPCC				GOP										
NCR11561	NAES Corporation - Cogen Technologies Linden Venture	NPCC			GO	GOP										
NCR11795	NAES Corporation - Dighton	NPCC				GOP										
NCR11729	NAES Corporation - Empire	NPCC				GOP										
NCR07167	NAES Corporation - Lockport	NPCC				GOP										
NCR11794	NAES Corporation - Milford	NPCC				GOP										
NCR07169	NAES Corporation - North Tonawanda	NPCC				GOP										
NCR11536	NAES Corporation - Syracuse	NPCC				GOP										
NCR11127	NAES Corporation-Kleen Energy Systems, LLC.	NPCC				GOP										
NCR12248	Narragansett Electric Company d/b/a/ Rhode Island Energy	NPCC		DP									TO	TOP	TP	TSP
NCR07128	National Grid Generation LLC	NPCC			GO	GOP										
NCR11171	National Grid USA	NPCC		DP									TO		TP	TSP
NCR10342	NE Renewable Power Tamworth, LLC	NPCC			GO	GOP										
NCR10298	NE Renewable Power West Enfield, LLC	NPCC			GO	GOP										
NCR00130	Neptune Regional Transmission System, LLC	NPCC											TO			
NCR07154	New Athens Generating Company, LLC	NPCC			GO	GOP										
NCR07155	New Brunswick Power Corporation	NPCC	BA		GO	GOP	PA / PC	RC	RP	RSG			TO	TOP		TSP
NCR07159	New England Power Company	NPCC												TOP		
NCR07091	New Hampshire Transmission, LLC	NPCC											TO			TSP
NCR12231	New Haven Harbor	NPCC			GO	GOP										
NCR07160	New York Independent System Operator	NPCC	BA				PA / PC	RC	RP					TOP	TP	TSP

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR07161	New York Power Authority	NPCC			GO	GOP							TO		TP	
NCR11639	New York Transco LLC	NPCC											TO		TP	
NCR10019	NextEra Energy Resources, LLC	NPCC			GO	GOP										
NCR12183	NextEra Energy Transmission New York	NPCC											TO		TP	
NCR07163	Niagara Mohawk Power Corporation	NPCC												TOP		
NCR00126	North Attleborough Electric Department	NPCC		*DP-UFLS												
NCR12010	Northfield Mountain LLC	NPCC			GO	GOP										
NCR07038	Norwich Public Utilities	NPCC		*DP-UFLS									TO			
NCR00415	Norwood Municipal Light Department	NPCC		DP									TO			
NCR07178	Nova Scotia Power Inc.	NPCC	BA	DP	GO	GOP	PA / PC		RP	RSG			TO	TOP	TP	TSP
NCR11709	NRG Northeast	NPCC			GO	GOP										
NCR12315	Number Three Wind LLC	NPCC			GO	GOP										
NCR07181	NYSEG	NPCC		DP									TO	TOP	TP	
NCR11797	Ocean State Power, LLC	NPCC			GO	GOP										
NCR07184	Ontario IESO	NPCC	BA				PA / PC	RC	RP					TOP	TP	TSP
NCR07186	Orange and Rockland Utilities, Inc.	NPCC		DP									TO	TOP	TP	
NCR12228	Oswego/Middletown Power	NPCC			GO	GOP										
NCR07191	Peabody Municipal Light Plant	NPCC		DP	GO	GOP										
NCR07195	Pittsfield Generating Company LP	NPCC			GO	GOP										
NCR11405	Plainfield Renewable Energy, LLC	NPCC			GO	GOP										
NCR11045	Plattsburgh Municipal Lighting Department	NPCC		DP												
NCR10370	Power City Partners, LP	NPCC			GO	GOP										
NCR07206	Reading Municipal Light Department	NPCC		DP												
NCR11514	ReEnergy Livermore Falls	NPCC			GO	GOP										
NCR10352	ReEnergy Stratton	NPCC			GO	GOP										
NCR10371	Rensselaer Generating LLC	NPCC			GO	GOP										
NCR11200	Rhode Island State Energy Center, LP	NPCC			GO	GOP										
NCR07207	Rochester Gas and Electric	NPCC		DP									TO		TP	
NCR11341	Roseton Generating LLC	NPCC			GO	GOP										
NCR11130	Rumford Power LLC	NPCC			GO	GOP										
NCR12482	RWE Clean Energy, LLC	NPCC			GO	GOP										
NCR11046	Salamanca Board of Public Utilities	NPCC		*DP-UFLS												
NCR11819	Salem Harbor Development LP	NPCC			GO	GOP										
NCR07208	Saranac Power Partners, L.P.	NPCC			GO	GOP										
NCR11534	SBF New York, L.L.C.	NPCC			GO											
NCR10051	Selkirk Cogen Partners, L.P.	NPCC			GO	GOP										
NCR10372	Seneca Power Partners, LP	NPCC			GO	GOP										
NCR10299	Sheldon Energy, LLC	NPCC			GO	GOP										
NCR10252	Shrewsbury Electric and Cable Operations	NPCC		*DP-UFLS									TO			
NCR11792	South Hadley Electric Light Department	NPCC		*DP-UFLS												
NCR10373	Sterling Power Partners	NPCC			GO	GOP										
NCR11408	Stony Creek Energy LLC	NPCC			GO	GOP										
NCR11152	Tanner Street Generation, LLC	NPCC			GO	GOP										
NCR07214	Taunton Municipal Lighting Plant	NPCC		DP	GO	GOP							TO			
NCR07217	The City of Holyoke Gas and Electric Department	NPCC		DP									TO			
NCR11649	Third Taxing District of East Norwalk	NPCC											TO			
NCR12481	Three Corners Solar, LLC	NPCC			GO	GOP										
NCR11129	Tiverton Power LLC	NPCC			GO	GOP										

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR07041	Town of Wallingford Dept of Utilities	NPCC		DP									TO			
NCR07222	United Illuminating Company	NPCC		DP									TO		TP	TSP
NCR07223	Unitil Energy Systems, Inc.	NPCC		DP												
NCR07224	Vermont Electric Cooperative	NPCC		DP												
NCR07227	Vermont Public Power Supply Authority	NPCC			GO	GOP										
NCR07228	Vermont Transco, LLC	NPCC											TO	TOP	TP	TSP
NCR07013	Versant Power	NPCC		DP									TO		TP	
NCR11041	Village of Arcade	NPCC		DP									TO			
NCR11043	Village of Lake Placid	NPCC		*DP-UFLS												
NCR11047	Village of Solvay Electric Dept.	NPCC		DP												
NCR00200	Vistra Corp.	NPCC			GO											
NCR10253	Wakefield Municipal Gas and Light Department	NPCC		*DP-UFLS												
NCR11102	Wallingford Energy LLC	NPCC			GO	GOP										
NCR10339	Waterbury Generation, LLC	NPCC			GO	GOP										
NCR10374	Waterside Power, LLC	NPCC			GO	GOP										
NCR12058	Weaver Wind, LLC	NPCC			GO	GOP										
NCR07230	Wellesley Municipal Light Plant	NPCC		*DP-UFLS												
NCR07233	Westfield Gas & Electric Light Department	NPCC		DP												
NCR02923	Wheelabrator Bridgeport, L.P.	NPCC			GO	GOP										
NCR10172	Wheelabrator Millbury Inc.	NPCC			GO	GOP										
NCR10033	Wheelabrator Saugus J.V.	NPCC			GO	GOP										
NCR10221	Wheelabrator Westchester Inc.	NPCC			GO	GOP										
NCR12357	A.B. Wind LLC	RF			GO	GOP										
NCR11516	ACE O&M, LLC	RF			GO	GOP										
NCR12082	ACT Power Services, LLC	RF				GOP										
NCR12432	Adams Solar LLC	RF			GO											
NCR11645	AE Power Services LLC	RF				GOP										
NCR11401	AEP Generation Resources Inc.	RF				GOP										
NCR11178	AES Laurel Mountain, LLC	RF			GO	GOP										
NCR11679	AES US Renewables	RF			GO	GOP										
NCR00667	Alcoa Power Generating Inc.	RF			GO	GOP										
NCR11785	Algonquin Power Co.	RF			GO	GOP										
NCR08039	Allegheny Ridge Wind Farm, LLC	RF			GO	GOP										
NCR12062	Alliance for Cooperative Energy Services Power Marketing LLC	RF				GOP										
NCR10337	Alliant Energy - East	RF			GO	GOP										
NCR12302	Amazon Data Services, Inc.	RF		DP												
NCR00680	American Bituminous Power Partners, L.P.	RF			GO	GOP										
NCR00682	American Electric Power Service Corporation as agent for Appalachian Power Company, Indiana Michigan Power Company, Kentucky Power Company, Kingsport Power Company, Ohio Power Company, Wheeling Power Company, AEP Ohio Transmission Company, AEP Appalachian Transmission Company, AEP West Virginia Transmission Company, AEP Indiana Michigan Transmission Company and AEP Kentucky Transmission Company, Inc.	RF		DP	GO	GOP			RP				TO	TOP	TP	
NCR00683	American Municipal Power Inc.	RF			GO	GOP			RP							
NCR00685	American Transmission Co. LLC	RF											TO	TOP	TP	
NCR11899	AMP Transmission, L.L.C.	RF											TO			
NCR12456	Arche Energy Project	RF			GO											
NCR11760	Armstrong Power, LLC	RF			GO	GOP										
NCR12190	Assembly Solar III, LLC	RF			GO											
NCR12188	Assembly Solar, LLC	RF			GO											

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR00688	Atlantic City Electric Company	RF		DP									TO			
NCR11659	Aurora Generation, LLC	RF			GO	GOP										
NCR10259	Avangrid Renewables	RF			GO	GOP										
NCR00689	Baltimore Gas and Electric Company	RF		DP									TO			
NCR12022	Beech Ridge Energy II LLC	RF				GOP										
NCR11040	Beech Ridge Energy LLC	RF			GO	GOP										
NCR12313	Bellflower Solar 1, LLC	RF			GO											
NCR00170	Benton County Wind Farm, LLC	RF			GO	GOP										
NCR12214	Bergen Generating Station	RF			GO	GOP										
NCR12018	BHER Market Operations, LLC	RF				GOP										
NCR11973	Big Level Wind LLC	RF			GO	GOP										
NCR12426	Big Plain Solar, LLC	RF			GO	GOP										
NCR00690	Big Sandy Peaker Plant, LLC	RF			GO											
NCR11461	Big Sky Wind, LLC	RF			GO	GOP										
NCR11910	Birdsboro Power LLC	RF			GO											
NCR11289	Bishop Hill Energy LLC	RF			GO	GOP										
NCR12042	Bitter Ridge Wind Farm, LLC	RF			GO	GOP										
NCR12055	Blooming Grove Wind Energy Center LLC	RF			GO	GOP										
NCR11647	Brookfield Power US Asset Management LLC	RF				GOP										
NCR11837	Buchanan Generation, LLC	RF			GO	GOP										
NCR00700	Buckeye Power, Inc.	RF			GO											
NCR12209	Burlington Generating Station	RF			GO	GOP										
NCR11225	Butler Rural Electric Cooperative, Inc.	RF		DP												
NCR12288	Calhoun Solar Energy LLC	RF			GO	GOP										
NCR00006	Calpine Corporation	RF			GO	GOP										
NCR11732	CAM Industrial Solutions - Oregon Clean Energy	RF				GOP										
NCR11813	CAM Industrial Solutions - St. Joseph Energy Center	RF				GOP										
NCR11870	CAM Industrial Solutions-Clean Energy Future-Lordstown	RF				GOP										
NCR00214	Camp Grove Wind Farm, LLC	RF			GO	GOP										
NCR12223	Canadian Solar O&M (U.S.) LLC	RF				GOP										
NCR11786	Carroll County Energy, LLC	RF			GO	GOP										
NCR11949	CGX Operating Services, LLC	RF				GOP										
NCR12420	Chalk Point Power, LLC	RF			GO	GOP										
NCR11820	Chambersburg Energy, LLC	RF			GO	GOP										
NCR10316	CHI Power, Inc.	RF			GO	GOP										
NCR00712	City of Cleveland, Dept. of Public Utilities, Division of Cleveland Public Power	RF		DP									TO			
NCR02000	City of Columbus, Ohio, Department of Public Utilities, Division of Water And Power	RF		DP												
NCR00713	City of Dover	RF		DP												
NCR00716	City of Greenfield	RF		DP												
NCR00718	City of Lansing by its Board of Water and Light	RF		DP	GO	GOP			RP				TO	TOP	TP	
NCR02710	City of Niles Light Department	RF		DP												
NCR00721	City of Rochelle	RF		DP												
NCR00722	City of Vineland New Jersey	RF		*DP-UFLS												
NCR06019	City of Westerville Electric Division	RF		DP												
NCR11869	Clean Energy Future-Lordstown, LLC	RF			GO	GOP										
NCR11926	Clearway Renewable Operation & Maintenance LLC	RF			GO	GOP										
NCR00724	Cloverland Electric Cooperative	RF		*DP-UFLS												
NCR00727	CMS Generation Michigan Power, L. L. C.	RF			GO	GOP										



NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR08012	Coldwater Board of Public Utilities	RF		DP												
NCR11335	Colver Green Energy, LLC	RF			GO	GOP										
NCR10229	Commonwealth Chesapeake Company, LLC	RF			GO											
NCR08013	Commonwealth Edison Company	RF		DP									TO			
NCR00778	Constellation Energy Generation, LLC - Constellation Nuclear	RF			GO	GOP										
NCR04057	Constellation Energy Generation, LLC - Constellation Power	RF			GO	GOP										
NCR11216	Constellation Maryland Peaker Fleet	RF			GO	GOP										
NCR00740	Consumers Energy Company	RF	BA	DP	GO	GOP			RP							
NCR00742	Cordova Energy Company, LLC	RF			GO	GOP										
NCR00743	Covanta Delaware	RF			GO	GOP										
NCR11952	CPV Fairview, LLC	RF			GO	GOP										
NCR12421	CPV Maple Hill Solar, LLC	RF			GO	GOP										
NCR11706	CPV Maryland, LLC	RF			GO	GOP										
NCR11585	CPV Shore, LLC	RF			GO	GOP										
NCR12332	CPV Three Rivers, LLC	RF			GO	GOP										
NCR10209	Crete Energy Venture, LLC	RF			GO	GOP										
NCR08014	Cuyahoga Falls Electric System	RF		DP												
NCR00751	Dearborn Industrial Generation, L. L. C.	RF			GO	GOP										
NCR11173	Delaware City Refining Company LLC	RF			GO	GOP										
NCR12069	Delaware Municipal Electric Corporation, Inc.	RF			GO	GOP										
NCR00752	Delmarva Power & Light Company	RF		DP									TO			
NCR12041	Deriva Energy Services, LLC	RF				GOP										
NCR12422	Dickerson Power, LLC	RF			GO	GOP										
NCR11878	Dominion Energy Generation Marketing, Inc.	RF				GOP										
NCR12066	Dominion Solar Projects VII, Inc.	RF			GO	GOP										
NCR00753	DTE Electric Company	RF	BA	DP	GO	GOP			RP							
NCR12192	DTE Sustainable Generation Holdings LLC	RF			GO	GOP										
NCR00761	Duke Energy Corporation	RF	BA	DP	GO	GOP			RP				TO	TOP	TP	
NCR12333	Dunn's Bridge I Solar Generation LLC	RF			GO	GOP										
NCR00762	Duquesne Light Company	RF		DP									TO			
NCR11228	Eagle Point Power Generation, LLC	RF			GO	GOP										
NCR11686	Eastern Shore Solar, LLC	RF			GO	GOP										
NCR00768	Easton Utilities Commission	RF		*DP-UFLS												
NCR08051	Ebensburg Power Company	RF			GO	GOP										
NCR10305	EcoGrove Wind LLC	RF			GO	GOP										
NCR11662	EDP Renewables North America LLC	RF			GO	GOP										
NCR11378	Elwood Energy, LLC	RF			GO	GOP										
NCR00212	Essential Power OPP, LLC	RF			GO	GOP										
NCR00251	Essential Power Rock Springs, LLC	RF			GO	GOP							TO			
NCR12410	Ethos Energy Performance Center	RF				GOP										
NCR11898	Fairless Energy, L.L.C.	RF			GO	GOP										
NCR11315	FirstEnergy Utilities as agent for American Transmission Systems, Incorporated; The Cleveland Electric Illuminating Company; FirstEnergy Pennsylvania Electric Company; Jersey Central Power & Light Company; Keystone Appalachian Transmission Company; Mid-Atlantic Interstate Transmission; Monongahela Power Company; Ohio Edison Company; The Potomac Edison Company; The Toledo Edison Company; and Trans-Allegheny Interstate Line Company	RF		DP	GO	GOP							TO			
NCR00376	Forked River Power LLC	RF			GO	GOP										
NCR03040	Fowler Ridge II Wind Farm LLC	RF			GO	GOP										

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR10308	Fowler Ridge III Wind Farm LLC	RF			GO	GOP										
NCR10307	Fowler Ridge Wind Farm LLC	RF			GO	GOP										
NCR12423	Fox Squirrel Solar LLC	RF			GO	GOP										
NCR11821	Gans Energy, LLC	RF			GO	GOP										
NCR11945	Garrison Energy Center, LLC	RF			GO	GOP										
NCR11871	GenOn Mid-Atlantic, LLC	RF			GO	GOP										
NCR11747	GEV - Linden VFT	RF											TO			
NCR11696	GEV - Marcus Hook	RF			GO	GOP										
NCR11029	Grand Ridge Energy II LLC	RF			GO	GOP										
NCR11030	Grand Ridge Energy III LLC	RF			GO	GOP										
NCR11031	Grand Ridge Energy IV LLC	RF			GO	GOP										
NCR10306	Grand Ridge Energy LLC	RF			GO	GOP										
NCR11235	Gratiot County Wind LLC	RF			GO	GOP										
NCR11958	Grays Ferry Cogeneration Partnership	RF			GO	GOP										
NCR11971	Green River Wind Farm Phase 1, LLC	RF			GO	GOP										
NCR12298	Guernsey Power Station	RF			GO	GOP										
NCR12274	Hallador Energy	RF			GO	GOP										
NCR11611	Hamilton Liberty LLC	RF			GO	GOP										
NCR11654	Hamilton Patriot LLC	RF			GO	GOP										
NCR12014	Hazleton Generation, LLC	RF			GO											
NCR11749	Helix Ironwood LLC	RF			GO	GOP										
NCR12393	Heritage Mountain	RF			GO											
NCR12395	Heritage Portland	RF			GO											
NCR12396	Heritage Sayreville	RF			GO											
NCR12397	Heritage Shawville	RF			GO											
NCR12398	Heritage Titus	RF			GO											
NCR12399	Heritage Tolna	RF			GO											
NCR12390	Heritage Brunot Island	RF			GO											
NCR12391	Heritage Gilbert	RF			GO											
NCR12392	Heritage Hunterstown	RF			GO											
NCR12394	Heritage New Castle	RF			GO											
NCR11141	Heritage Single Units	RF			GO											
NCR11969	Hickory Run Energy, LLC	RF			GO											
NCR12384	High Point Solar LLC	RF			GO	GOP										
NCR12285	Highland North, LLC	RF			GO	GOP										
NCR12133	Hill Top Energy, LLC	RF			GO											
NCR12108	Hillcrest Solar I, LLC	RF			GO											
NCR11297	Homer City Generation, L.P.	RF			GO	GOP										
NCR00794	Hoosier Energy REC, Inc.	RF	BA	DP	GO	GOP			RP				TO	TOP	TP	
NCR12436	Horus West Virginia 1, LLC	RF			GO											
NCR11366	Hudson Transmission Partners, LLC	RF											TO			
NCR11840	Hummel Station, LLC	RF			GO	GOP										
NCR11859	Hunterstown Combined Cycle Generating Station	RF			GO	GOP										
NCR12257	Indeck Niles, LLC.	RF			GO											
NCR00796	Indiana Municipal Power Agency	RF			GO	GOP			RP							
NCR00798	Indianapolis Power & Light Company d/b/a AES Indiana	RF	BA	DP	GO	GOP			RP				TO	TOP	TP	
NCR11513	Invenergy Nelson LLC	RF			GO	GOP										
NCR12448	Invenergy Services-Assembly	RF				GOP										

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR12329	Invenergy Services-Meadow Lake	RF				GOP										
NCR00803	ITC Transmission	RF											TO	TOP	TP	
NCR12237	Jackson Generation, LLC	RF			GO	GOP										
NCR12210	Kearney Generating Station	RF			GO	GOP										
NCR11712	Kelly Creek Wind, LLC	RF			GO	GOP										
NCR11968	KeyCon Operating, LLC	RF			GO	GOP										
NCR12211	Keys Energy Center	RF			GO	GOP										
NCR11475	KMC Thermo, LLC ("Brandywine Power Facility")	RF			GO	GOP										
NCR11836	Lackawanna Energy Center LLC	RF			GO	GOP										
NCR00168	Lakewood Cogeneration, LP	RF			GO	GOP										
NCR11788	Lee County Generating Station, LLC	RF			GO	GOP										
NCR11724	Lightstone Generation LLC	RF			GO	GOP										
NCR10208	Lincoln Generating Facility, LLC	RF			GO	GOP										
NCR12213	Linden Combined Cycle	RF			GO	GOP										
NCR12212	Linden Peaking Generating Station	RF			GO	GOP										
NCR12054	Lone Tree Wind, LLC	RF			GO	GOP										
NCR11208	Longview Power LLC	RF			GO	GOP										
NCR11107	LSP University Park, LLC	RF			GO	GOP										
NCR10133	Luminant Energy Company, LLC	RF				GOP										
NCR12417	Madison Fields Solar Project, LLC	RF			GO											
NCR12506	Mammoth North, LLC	RF			GO								TO			
NCR12327	Meadow Lake Solar Park LLC	RF			GO											
NCR11311	Mehoopany Wind Energy LLC	RF			GO	GOP										
NCR11909	Mendota Hills, LLC	RF			GO	GOP										
NCR00820	METC	RF											TO	TOP	TP	
NCR08023	Michigan Electric Coordinated Systems	RF	BA													
NCR11409	Michigan Power LP	RF			GO	GOP										
NCR00822	Michigan Public Power Agency	RF		DP					RP							
NCR00826	Midcontinent Independent System Operator, Inc.	RF	BA				PA / PC	RC	RP						TP	TSP
NCR10282	Midland Cogeneration Venture, Limited Partnership	RF			GO	GOP										
NCR11990	Miller Bros. Solar, LLC	RF				GOP										
NCR03044	MISO-MBHydro Contingency Reserve Sharing Group	RF								RSG						
NCR11842	Montpelier Generating Station, LLC	RF			GO	GOP										
NCR10283	Morris Cogeneration, LLC	RF			GO	GOP										
NCR11832	Moxie Freedom LLC	RF			GO	GOP										
NCR11437	MRP Elgin LLC	RF			GO											
NCR03009	MRP Rocky Road LLC	RF			GO											
NCR00836	Municipal Light and Power - City of Anderson	RF		DP												
NCR00839	NAES Corporation - Big Sandy	RF				GOP										
NCR11911	NAES Corporation - Birdsboro Power	RF				GOP										
NCR10234	NAES Corporation - Chesapeake	RF				GOP										
NCR11562	NAES Corporation - East Coast Power Linden Holdings	RF			GO	GOP										
NCR12015	NAES Corporation - Hazleton Generation	RF				GOP										
NCR11970	NAES Corporation - Hickory Run	RF				GOP										
NCR12134	NAES Corporation - Hill Top Energy	RF				GOP										
NCR11017	NAES Corporation - Lawrence	RF				GOP										
NCR12168	NAES Corporation - Long Ridge Energy Generation	RF			GO	GOP										
NCR12465	NAES Corporation - Oregon Clean Energy	RF				GOP										

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR11767	NAES Corporation - Red Oak	RF			GO	GOP										
NCR11728	NAES Corporation - Wabash River Highland	RF				GOP										
NCR11564	NAES Corporation - Whitewater	RF				GOP										
NCR00849	NAES Corporation - Wolf Hills	RF				GOP										
NCR11018	NAES Corporation - Worthington	RF				GOP										
NCR12400	NAES Corporation Heritage Brunot Island	RF				GOP										
NCR12401	NAES Corporation Heritage Gilbert	RF				GOP										
NCR12402	NAES Corporation Heritage Hunterstown	RF				GOP										
NCR12404	NAES Corporation Heritage Mountain	RF				GOP										
NCR12403	NAES Corporation Heritage New Castle	RF				GOP										
NCR12385	NAES Corporation Heritage Portland	RF				GOP										
NCR12386	NAES Corporation Heritage Sayreville	RF				GOP										
NCR12387	NAES Corporation Heritage Shawville	RF				GOP										
NCR12405	NAES Corporation Heritage Single Units	RF				GOP										
NCR12388	NAES Corporation Heritage Titus	RF				GOP										
NCR12389	NAES Corporation Heritage Tolna	RF				GOP										
NCR11527	NAES Corporation-Newark Energy Center	RF				GOP										
NCR00130	Neptune Regional Transmission System, LLC	RF											TO			
NCR10295	New Covert Generating Company, LLC	RF			GO	GOP										
NCR11526	Newark Energy Center, LLC	RF			GO											
NCR10019	NextEra Energy Resources, LLC	RF			GO	GOP										
NCR12045	NextEra Energy Transmission MidAtlantic Indiana, Inc.	RF											TO			
NCR12040	North Allegheny Wind, LLC	RF			GO											
NCR00852	Northampton Generating Company, LP	RF			GO	GOP										
NCR11553	Northeastern Rural Electric Membership Cooperative	RF		DP												
NCR02611	Northern Indiana Public Service Company LLC	RF	BA	DP	GO	GOP			RP				TO	TOP	TP	
NCR11879	Northwest Ohio Wind, LLC	RF			GO	GOP										
NCR12096	NovaSource Power Services	RF				GOP										
NCR11715	NRG East	RF			GO	GOP										
NCR11843	O.H. Hutchings CT, LLC	RF			GO	GOP										
NCR11831	Ohio Power Partners LLC	RF			GO	GOP										
NCR00857	Ohio Valley Electric Corporation	RF			GO	GOP							TO			
NCR00859	Old Dominion Electric Cooperative	RF		DP	GO	GOP										
NCR12496	Omnis Pleasants, LLC	RF			GO	GOP										
NCR11731	Oregon Clean Energy, LLC	RF			GO											
NCR11808	Pattern Operators LP	RF			GO	GOP										
NCR08026	PECO Energy Company	RF		DP									TO			
NCR12258	PIC Group Inc.-Indeck Niles	RF				GOP										
NCR11569	Pilot Hill Wind, LLC	RF			GO	GOP										
NCR11861	Pioneer Transmission, LLC	RF											TO			
NCR00879	PJM Interconnection, LLC	RF	BA				PA / PC	RC	RP					TOP	TP	TSP
NCR00881	Potomac Electric Power Company	RF		DP									TO			
NCR00884	PPL Electric Utilities Corporation	RF		DP									TO			
NCR00895	PSEG Nuclear LLC	RF			GO	GOP										
NCR00896	Public Service Electric & Gas Company	RF		DP									TO			
NCR11308	Raven FS Property Holdings LLC	RF			GO	GOP										
NCR12027	Republic Transmission, LLC	RF											TO		TP	
NCR12437	Richland Stryker Generation, LLC	RF			GO	GOP										

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR00909	Richmond Power and Light	RF		DP												
NCR03012	Riverside Generating Company, LLC	RF			GO	GOP										
NCR11660	Rockford Generation, LLC	RF			GO	GOP										
NCR00863	Rockland Electric Company	RF		DP									TO			
NCR00420	Rolling Hills Generating, LLC	RF			GO	GOP										
NCR12482	RWE Clean Energy, LLC	RF			GO	GOP										
NCR00911	Safe Harbor Water Power Corporation	RF			GO											
NCR00838	Sapphire Power Marketing LLC	RF			GO	GOP										
NCR00912	Scrubgrass Reclamation Company, L.P.	RF			GO	GOP										
NCR11446	Seneca Generation, LLC	RF			GO	GOP										
NCR11615	Seward Generation, LLC	RF			GO	GOP										
NCR12208	Sewaren Generating Station	RF			GO	GOP										
NCR12240	Shell Chemicals Appalachia LLC	RF			GO	GOP										
NCR12264	Siemens Energy, Inc. - STJ	RF				GOP										
NCR12020	Silver Run Electric, LLC	RF											TO			
NCR08031	South Central Power Co.	RF		DP												
NCR12141	South Field Energy, LLC	RF			GO	GOP										
NCR00917	Southern Indiana Gas & Electric Company d/b/a CenterPoint Energy Indiana South	RF	BA	DP	GO	GOP			RP				TO	TOP	TP	
NCR00918	Southern Maryland Electric Cooperative, Inc.	RF		DP									TO			
NCR12021	Southern Power Company	RF			GO											
NCR11822	Springdale Energy, LLC	RF			GO	GOP										
NCR11812	St. Joseph Energy Center, LLC	RF			GO											
NCR00889	Susquehanna Nuclear, LLC	RF			GO	GOP										
NCR00925	T.E.S. Filer City Station Limited Partnership	RF			GO	GOP										
NCR11844	Tait Electric Generating Station, LLC	RF			GO	GOP										
NCR11362	Talen Generation, LLC	RF			GO	GOP										
NCR11882	Tenaska Pennsylvania Partners, LLC	RF			GO	GOP										
NCR08073	The City of Hamilton, Ohio	RF		DP												
NCR00748	The Dayton Power and Light Company d/b/a AES Ohio	RF		DP									TO			
NCR11925	Transource West Virginia, LLC	RF											TO			
NCR11759	Troy Energy, LLC	RF			GO	GOP										
NCR11280	Twin Ridges Wind Farm	RF			GO	GOP										
NCR04167	U.S. Department of Energy	RF											TO	TOP		
NCR00935	UGI Utilities, Inc.	RF		DP									TO			
NCR00936	University Park Energy, LLC	RF			GO	GOP										
NCR11716	Upper Michigan Energy Resources Corporation	RF		DP	GO	GOP			RP							
NCR11917	Vermillion Power, L.L.C.	RF			GO	GOP										
NCR12287	Virginia Electric and Power Company - Power Generation (RF)	RF			GO	GOP										
NCR00200	Vistra Corp.	RF			GO											
NCR00940	Wabash Valley Power Association, Inc.	RF		DP	GO								TO			
NCR11876	Walleye Power, LLC	RF			GO	GOP										
NCR11890	Walnut Ridge Wind, LLC	RF			GO	GOP										
NCR11391	West Deptford Energy, LLC	RF			GO	GOP										
NCR08077	Wheelabrator Falls Inc.	RF			GO	GOP										
NCR00417	Whiting Clean Energy, Inc.	RF			GO	GOP										
NCR11498	Wisconsin Electric Power - MIUP	RF	BA													
NCR00951	Wisconsin Electric Power Company	RF	BA	DP	GO	GOP			RP							
NCR00952	Wisconsin Public Service Corporation	RF	BA	DP	GO	GOP			RP							

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR00953	Wolf Hills Energy, LLC	RF			GO											
NCR00954	Wolverine Power Supply Cooperative, Inc.	RF		DP	GO	GOP							TO	TOP	TP	
NCR11845	Yankee Street, LLC	RF			GO	GOP										
NCR12093	Yards Creek Energy LLC	RF			GO	GOP										
NCR12326	Yellowbud Solar, LLC	RF			GO	GOP										
NCR12082	ACT Power Services, LLC	SERC				GOP										
NCR11679	AES US Renewables	SERC			GO	GOP										
NCR11050	AL Sandersville, LLC	SERC			GO	GOP										
NCR11833	AL Solar A, LLC	SERC			GO											
NCR12312	AL Solar D, LLC	SERC			GO											
NCR01165	Alabama Municipal Electric Authority	SERC			GO	GOP										
NCR01166	Alabama Power Company	SERC		DP	GO								TO			
NCR12135	Albany Green Energy	SERC			GO	GOP										
NCR11785	Algonquin Power Co.	SERC			GO	GOP										
NCR12297	Allora Solar, LLC	SERC			GO											
NCR10248	Ameren Missouri	SERC			GO	GOP										
NCR01175	Ameren Services Company	SERC	BA	DP					RP				TO	TOP	TP	
NCR00683	American Municipal Power Inc.	SERC			GO	GOP										
NCR01060	Arkansas Electric Cooperative Corporation	SERC		DP	GO	GOP			RP				TO			
NCR01177	Associated Electric Cooperative, Inc.	SERC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR10259	Avangrid Renewables	SERC			GO	GOP										
NCR00003	Bartow, City of	SERC		*DP-UFLS												
NCR12520	Bayou Galion Solar Project, LLC	SERC			GO											
NCR12170	BayWa r.e. Operation Services LLC	SERC				GOP										
NCR00004	Beaches Energy Services of Jacksonville Beach	SERC		DP									TO	TOP		
NCR11606	Beauregard Electric Cooperative, Inc.	SERC											TO			
NCR12236	Beulah Solar, LLC	SERC			GO											
NCR12018	BHER Market Operations, LLC	SERC				GOP										
NCR12260	Big River Solar, LLC	SERC			GO											
NCR01180	Big Rivers Electric Corporation	SERC	BA	DP	GO	GOP			RP				TO	TOP	TP	
NCR11309	Bishop Hill Energy II LLC	SERC			GO	GOP										
NCR11856	Bishop Hill Energy III LLC	SERC			GO	GOP										
NCR12325	Black Bear Alabama Solar 1, LLC	SERC			GO											
NCR01183	Blue Ridge Electric Membership Corporation	SERC		DP												
NCR12310	Borex US Operations, LLC	SERC				GOP										
NCR01186	Brazos Electric Power Cooperative, Inc.	SERC		DP												
NCR11313	Broad River Energy, LLC	SERC			GO	GOP										
NCR11647	Brookfield Power US Asset Management LLC	SERC				GOP								TOP		
NCR11286	Brookfield Smoky Mountain Hydropower LLC	SERC			GO											
NCR12439	Bulldog Solar, LLC	SERC			GO											
NCR12307	Cabin Creek Solar, LLC	SERC			GO											
NCR11318	California Ridge Energy LLC	SERC			GO	GOP										
NCR00006	Calpine Corporation	SERC			GO	GOP										
NCR11986	Camilla Solar Energy LLC	SERC			GO	GOP										
NCR12223	Canadian Solar O&M (U.S.) LLC	SERC				GOP										
NCR12457	Cane Creek Solar, LLC	SERC			GO											
NCR11999	Cardinal Point LLC	SERC			GO	GOP										
NCR11846	Carolina Power Partners, LLC	SERC			GO	GOP										

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR12278	Carolinas Reserve Sharing Group (CRSG)	SERC								RSG						
NCR11479	Carville Energy LLC	SERC			GO	GOP										
NCR09001	Catalyst Old River Hydroelectric Limited Partnership	SERC			GO	GOP										
NCR01191	Central Electric Power Cooperative Inc	SERC		DP									TO			
NCR00065	Central Florida Tourism Oversight District	SERC		DP					RP							
NCR11466	Century Aluminum Company	SERC		DP												
NCR11191	Cherokee County Cogeneration Partners, LLC	SERC			GO	GOP										
NCR10316	CHI Power, Inc.	SERC			GO	GOP										
NCR11418	Choctaw Generation Limited Partnership, LLLP	SERC			GO	GOP										
NCR06033	City of Abbeville	SERC											TO			
NCR01068	City Of Alexandria	SERC		DP	GO	GOP			RP				TO			
NCR01195	City of Camden	SERC		*DP-UFLS												
NCR01071	City Of Clarksdale, Mississippi	SERC		*DP-UFLS	GO	GOP							TO			
NCR01196	City of Columbia, MO	SERC	BA	DP					RP				TO	TOP	TP	
NCR01199	City of Dalton d/b/a Dalton Utilities	SERC		DP									TO			
NCR11916	City of Henderson, KY, Utility Commission, DBA Henderson Municipal Power & Light	SERC	BA													
NCR00043	City of Lake Worth Beach	SERC		DP	GO	GOP			RP				TO			
NCR11010	City of Leesburg	SERC		*DP-UFLS												
NCR11091	City of North Little Rock Electric Department	SERC		DP	GO	GOP							TO			
NCR00054	City of Ocala Electric Utility	SERC		DP												
NCR01202	City of Orangeburg Department of Public Utilities	SERC		DP												
NCR01328	City of Springfield, IL - CVLP	SERC	BA	DP	GO	GOP			RP				TO	TOP	TP	
NCR11100	City of West Memphis (DP-UFLS)	SERC		*DP-UFLS												
NCR01082	City Water & Light - Jonesboro, Arkansas	SERC		DP												
NCR00499	Claiborne Electric Cooperative, Inc.	SERC		DP												
NCR01083	Cleco Corporate Holdings LLC	SERC	BA	DP	GO	GOP			RP				TO	TOP	TP	
NCR11622	Conetoe II Solar, LLC	SERC			GO											
NCR00778	Constellation Energy Generation, LLC - Constellation Nuclear	SERC			GO	GOP										
NCR10390	Constellation Energy Generation, LLC – South Region	SERC			GO	GOP										
NCR11092	Conway Corporation	SERC		DP												
NCR01315	Cooperative Energy	SERC	BA	DP	GO	GOP			RP				TO	TOP	TP	
NCR01210	Cottonwood Energy Company LP	SERC			GO											
NCR10054	Covanta Palm Beach Resource Recovery, LLC.	SERC			GO	GOP										
NCR01211	Covanta, Fairfax, Inc.	SERC			GO	GOP										
NCR12445	CPV Stagecoach Solar, LLC	SERC			GO	GOP										
NCR01212	Craven County Wood Energy Limited Partnership	SERC			GO	GOP										
NCR12493	Crossett Solar Energy LLC	SERC			GO											
NCR01169	Cube Hydro Carolinas, LLC	SERC	BA		GO	GOP	PA / PC						TO	TOP	TP	TSP
NCR11867	Cypress Creek O&M, LLC	SERC				GOP										
NCR11481	Decatur Energy Center, LLC	SERC			GO	GOP										
NCR12382	Delta's Edge Solar, LLC	SERC			GO											
NCR11915	DEPCOM Power	SERC				GOP										
NCR11608	Deriva Energy Services, LLC	SERC				GOP										
NCR12524	Deriva Energy Solar Holdings, LLC	SERC			GO	GOP										
NCR00416	Dixie Electric Membership Corporation	SERC		DP												
NCR11878	Dominion Energy Generation Marketing, Inc.	SERC				GOP										
NCR00915	Dominion Energy South Carolina, Inc.	SERC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR11860	Dorchester Biomass, LLC	SERC			GO	GOP										

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR11193	Doswell Limited Partnership	SERC			GO	GOP										
NCR12517	Double Black Diamond Solar Power, LLC	SERC			GO											
NCR09008	Dow – Sabine River Operations	SERC			GO	GOP										
NCR09037	Dow Hydrocarbons & Resources, LLC	SERC			GO	GOP										
NCR12182	Dressor Plains Solar, LLC	SERC			GO											
NCR01219	Duke Energy Carolinas, LLC	SERC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR00063	Duke Energy Florida, LLC	SERC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR01298	Duke Energy Progress, LLC	SERC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR01225	East Kentucky Power Cooperative	SERC		DP	GO	GOP							TO			
NCR01226	East Mississippi Electric Power Association	SERC		DP												
NCR01227	East Texas Electric Cooperative Inc.	SERC		DP					RP				TO		TP	
NCR12316	Eastover Solar, LLC	SERC			GO											
NCR11662	EDP Renewables North America LLC	SERC			GO	GOP										
NCR11355	ElectriCities of North Carolina, Inc.	SERC		DP					RP							
NCR01232	EnergyUnited Electric Membership Corporation	SERC		DP												
NCR01234	Entergy	SERC	BA	DP					RP				TO	TOP	TP	
NCR11167	Entergy - Fossil & Hydroelectric Generation	SERC			GO	GOP										
NCR11166	Entergy - Nuclear	SERC			GO	GOP										
NCR11657	ETEC – San Jacinto County Peaking Facility	SERC			GO											
NCR11658	EthosEnergy – Hardin County Peaking Facility	SERC				GOP										
NCR11993	EthosEnergy – Louisiana Station – EMCF	SERC				GOP										
NCR11655	EthosEnergy – San Jacinto County Peaking Facility	SERC				GOP										
NCR00128	ExxonMobil Corp - Baton Rouge	SERC			GO								TO			
NCR01239	ExxonMobil Oil Corporation - Beaumont Refinery	SERC			GO	GOP										
NCR01240	Fayetteville Public Works Commission	SERC		DP												
NCR12056	Fern Solar LLC	SERC			GO											
NCR00021	Florida Keys Electric Cooperative Assn	SERC		DP					RP							
NCR00022	Florida Municipal Power Agency	SERC			GO	GOP	PA / PC		RP						TP	
NCR00023	Florida Municipal Power Pool	SERC	BA													
NCR00024	Florida Power & Light Co.	SERC	BA	DP	GO	GOP	PA / PC	RC	RP				TO	TOP	TP	TSP
NCR00025	Florida Public Utilities Company	SERC		DP									TO			
NCR00026	Florida Reliability Coordinating Council, Inc.	SERC					PA / PC	RC								
NCR00464	Florida Reserve Sharing Group	SERC								RSG						
NCR12272	Ford County Wind LLC	SERC			GO	GOP										
NCR00027	Fort Pierce Utilities Authority	SERC		DP									TO			
NCR12440	Foxhound Solar, LLC	SERC			GO	GOP										
NCR11963	Franklin Biomass Plant	SERC			GO	GOP										
NCR01244	French Broad Electric Membership Corporation	SERC		DP												
NCR00032	Gainesville Regional Utilities	SERC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	
NCR01247	Georgia Power Company	SERC		DP	GO								TO			
NCR01248	Georgia System Operations Corporation	SERC												TOP		
NCR01249	Georgia Transmission Corporation	SERC		DP			PA / PC						TO		TP	TSP
NCR00069	GEV - Shady Hills	SERC			GO	GOP										
NCR11434	Gibson City Energy Center, LLC	SERC			GO	GOP										
NCR12174	Glacier Sands Wind Power, LLC	SERC			GO	GOP										
NCR11623	Green Power Solutions of Georgia, LLC	SERC			GO											
NCR12052	Greensville County Solar Project, LLC	SERC			GO	GOP										
NCR11977	Greenup Generation	SERC			GO	GOP										



NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR11393	Gridforce Energy Management, LLC	SERC												TOP		
NCR11783	GridLiance Holdco, LLC	SERC											TO		TP	
NCR12284	Gunsight Solar, LLC	SERC			GO											
NCR12446	Happy Solar 1, LLC	SERC			GO											
NCR00035	Hardee Power Partners Limited	SERC			GO	GOP										
NCR12083	Harts Mill Solar, LLC	SERC			GO											
NCR12478	Harvest Gold Solar Power, LLC	SERC			GO											
NCR10293	Haywood Electric Membership Corporation	SERC		DP												
NCR01254	Henderson Municipal Power & Light	SERC		DP									TO		TP	
NCR01255	Holland Energy, LLC	SERC			GO											
NCR00037	Homestead, City of	SERC	BA	DP			PA / PC		RP				TO	TOP	TP	
NCR11537	Hoopeston Wind, LLC	SERC			GO	GOP										
NCR00794	Hoosier Energy REC, Inc.	SERC		DP												
NCR12489	Horus Louisiana 1, LLC	SERC			GO											
NCR12023	Huntley Solar, LLC	SERC			GO											
NCR00795	Illinois Municipal Electric Agency	SERC		DP					RP							
NCR11768	Innovative Solar 37, LLC	SERC			GO	GOP										
NCR11782	Innovative Solar 42, LLC	SERC			GO											
NCR11624	Innovative Solar 46, LLC	SERC			GO											
NCR00040	JEA	SERC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR00041	Key West, City of (Keys Energy)	SERC		*DP-UFLS												
NCR00042	Kissimmee Utility Authority	SERC		DP									TO	TOP		
NCR01114	Lafayette Utilities System	SERC	BA	DP	GO	GOP							TO	TOP	TP	
NCR00044	Lakeland Electric	SERC		DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR12186	Lancaster Solar, LLC	SERC			GO											
NCR00045	Lee County Electric Cooperative, Inc	SERC		DP												
NCR01223	LG&E and KU Services Company as agent for Louisville Gas and Electric Company and Kentucky Utilities Company	SERC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR12505	Liberty County Solar Project, LLC	SERC			GO											
NCR12171	Lincoln Land Wind, LLC	SERC			GO	GOP										
NCR11076	Lone Star Transmission, LLC	SERC	BA											TOP		
NCR01116	Louisiana Energy & Power Authority	SERC	BA		GO	GOP										
NCR01265	Louisiana Generating, LLC	SERC	BA		GO	GOP			RP				TO			
NCR10133	Luminant Energy Company, LLC	SERC				GOP										
NCR11962	Madison Biomass Plant	SERC			GO	GOP										
NCR11066	Memphis Light, Gas and Water Division	SERC		DP									TO	TOP	TP	
NCR00167	Mid Georgia Cogen L.P.	SERC			GO	GOP										
NCR00826	Midcontinent Independent System Operator, Inc.	SERC	BA				PA / PC	RC	RP						TP	TSP
NCR12036	Midlands Solar LLC	SERC			GO											
NCR12155	Minonk Stewardship Wind, LLC	SERC			GO	GOP										
NCR03044	MISO-MBHydro Contingency Reserve Sharing Group	SERC								RSG						
NCR06050	Mississippi Delta Energy Agency	SERC							RP				TO			
NCR01273	Mississippi Power Company	SERC		DP	GO								TO			
NCR11482	Mobile Energy L L C	SERC			GO	GOP										
NCR11815	Moffett Solar 1, LLC	SERC			GO	GOP										
NCR12416	Moraine Sands Wind Power LLC	SERC			GO	GOP										
NCR11596	MPC Generating, LLC	SERC			GO	GOP										
NCR12449	MS Solar 6, LLC	SERC			GO											

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR12266	MS Sunflower Solar Project Company, LLC	SERC			GO											
NCR12259	Mulligan Solar, LLC	SERC			GO	GOP										
NCR01278	Municipal Electric Authority of Georgia	SERC					PA / PC		RP				TO		TP	TSP
NCR12150	Muscle Shoals Solar, LLC	SERC			GO											
NCR01283	NAES Corporation - Holland	SERC				GOP										
NCR12472	NAES Corporation – Holloman Solar	SERC			GO											
NCR12473	NAES Corporation – Ranchland Solar	SERC			GO											
NCR11932	NAES Corporation – Wansley #9	SERC			GO	GOP										
NCR11077	Nashville Electric Service	SERC		DP									TO		TP	
NCR11555	NC Renewable Power Lumberton LLC	SERC			GO	GOP										
NCR11700	New Creek Wind LLC	SERC			GO	GOP										
NCR00052	New Smyrna Beach, Utilities Commission of	SERC		DP					RP				TO	TOP		
NCR12366	Newport Solar, LLC	SERC			GO	GOP										
NCR10019	NextEra Energy Resources, LLC	SERC			GO	GOP										
NCR01279	North Carolina Electric Membership Corporation	SERC		DP	GO	GOP			RP							
NCR11016	Northeast Louisiana Power Cooperative Inc.	SERC		DP									TO			
NCR10281	Northern Virginia Electric Cooperative	SERC		DP												
NCR12096	NovaSource Power Services	SERC				GOP										
NCR11914	NRG Cottonwood Tenant LLC	SERC				GOP										
NCR11584	NRG Energy Services LLC - Plum Point	SERC				GOP										
NCR12362	Oak Trail Solar, LLC	SERC			GO	GOP										
NCR09019	Occidental Chemical Corporation	SERC			GO	GOP										
NCR01287	Oglethorpe Power Corporation	SERC			GO	GOP										
NCR00859	Old Dominion Electric Cooperative	SERC		DP	GO	GOP										
NCR12355	Onward Energy ROC LLC	SERC				GOP										
NCR12161	Origis Services, LLC	SERC				GOP										
NCR00057	Orlando Utilities Commission	SERC		DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR01290	Owensboro, KY Municipal Utilities	SERC		DP			PA / PC		RP				TO	TOP	TP	
NCR03041	Paducah Power System	SERC		DP												
NCR11979	Palmetto Plains Solar Project, LLC	SERC			GO											
NCR11808	Pattern Operators LP	SERC			GO	GOP										
NCR12303	Phobos Solar, LLC	SERC			GO											
NCR11805	PIC Group, Inc. – South Boston Energy, LLC	SERC				GOP										
NCR01292	Piedmont Electric Membership Corporation	SERC		DP												
NCR11477	Piedmont Green Power, LLC	SERC			GO	GOP										
NCR00879	PJM Interconnection, LLC	SERC	BA				PA / PC	RC	RP					TOP	TP	TSP
NCR10385	Plum Point Energy Associates (PPEA), LLC - GO	SERC			GO											
NCR11720	Potomac Energy Center, LLC	SERC			GO											
NCR10203	PowerSouth Energy Cooperative	SERC		DP	GO	GOP	PA / PC		RP				TO	TOP	TP	
NCR12518	Prairie Mist Solar Project, LLC	SERC			GO											
NCR09035	Prairie Power, Inc.	SERC		DP	GO	GOP			RP				TO		TP	
NCR11175	Prairie State Generating Company	SERC			GO	GOP										
NCR12131	Prairie State Solar, LLC	SERC			GO											
NCR12175	Prairie Wolf Solar LLC	SERC			GO	GOP										
NCR11002	Princeton Electric Plant Board	SERC		*DP-UFLS												
NCR01139	Public Service Commission Of Yazoo City	SERC		*DP-UFLS												
NCR12497	RES Americas - Crossett Solar Energy	SERC				GOP										
NCR12429	River Ferry Solar LLC	SERC			GO	GOP										

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR12482	RWE Clean Energy, LLC	SERC			GO	GOP										
NCR01305	Sabine River Authority of TX/LA	SERC			GO	GOP										
NCR11483	Santa Rosa Energy Center, LLC	SERC			GO	GOP										
NCR12296	Sapphire Sky Wind Energy LLC	SERC			GO	GOP										
NCR11994	Seabrook Solar, LLC	SERC			GO	GOP										
NCR12194	Searcy Solar, LLC	SERC			GO	GOP										
NCR00068	Seminole Electric Cooperative	SERC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR11629	Shelby County Energy Center, LLC	SERC			GO	GOP										
NCR12178	Siemens Energy, Inc. - PEC	SERC				GOP										
NCR01142	Sikeston Board Of Municipal Utilities	SERC		DP	GO	GOP							TO			
NCR11305	Smoky Mountain Transmission LLC	SERC											TO			
NCR11685	SOLV Energy, LLC	SERC				GOP										
NCR11367	South Boston Energy LLC	SERC			GO											
NCR01312	South Carolina Public Service Authority	SERC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR11816	Southampton Solar, LLC	SERC			GO	GOP										
NCR00070	Southeastern Power Administration	SERC	BA											TOP		
NCR01319	Southern Company Services, Inc. - Gen	SERC				GOP			RP							
NCR01320	Southern Company Services, Inc. - Trans	SERC	BA				PA / PC	RC						TOP	TP	TSP
NCR01321	Southern Illinois Power Cooperative	SERC	BA	DP	GO	GOP			RP				TO	TOP	TP	
NCR01322	Southern Power Company	SERC			GO				RP							
NCR10328	Southwest Louisiana Electric Membership Corporation	SERC		DP												
NCR01324	Southwestern Electric Cooperative, Inc.	SERC		DP												
NCR01325	SOWEGA Power LLC	SERC			GO	GOP										
NCR01330	Spruance Operating Services, LLC	SERC			GO											
NCR11988	SR Arlington II, LLC	SERC			GO											
NCR12294	SR Cedar Springs, LLC	SERC			GO											
NCR12286	SR Clay, LLC	SERC			GO											
NCR12299	SR DeSoto, LLC	SERC			GO											
NCR11987	SR Hazlehurst III, LLC	SERC			GO											
NCR12185	SR Lumpkin, LLC	SERC			GO											
NCR12184	SR Perry, LLC	SERC			GO											
NCR12071	SR Snipesville, LLC	SERC			GO											
NCR12039	SR Terrell, LLC	SERC			GO											
NCR11834	Summit Farms Solar, LLC	SERC			GO	GOP										
NCR12007	SunEnergy1	SERC			GO	GOP										
NCR00073	Tallahassee, City of	SERC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR00074	Tampa Electric Company	SERC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR03043	TEE Contingency Reserve Sharing Group	SERC								RSG						
NCR01335	Tenaska Alabama Partners, L.P.	SERC			GO	GOP										
NCR11995	Tenaska Clear Creek Wind, LLC	SERC			GO	GOP										
NCR00632	Tenaska Frontier Partners, Ltd	SERC			GO	GOP										
NCR01337	Tenaska Georgia Partners, L.P.	SERC			GO	GOP										
NCR01339	Tenaska Virginia Partners, L.P.	SERC			GO	GOP										
NCR01151	Tennessee Valley Authority	SERC	BA	DP	GO	GOP	PA / PC	RC	RP				TO	TOP	TP	TSP
NCR01152	Terrebonne Parish Consolidated Government	SERC		DP												
NCR11014	Tilton Energy, LLC	SERC			GO	GOP										
NCR12304	TN Solar 1, LLC	SERC			GO											
NCR01348	Town of Sharpsburg	SERC		*DP-UFLS												

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR01349	Town of Stantonsburg	SERC		*DP-UFLS												
NCR01350	Town of Waynesville	SERC		*DP-UFLS												
NCR12130	Trent River Solar, LLC	SERC			GO											
NCR12024	TWE Bowman Solar Project LLC	SERC			GO											
NCR12008	Twiggs County Solar, LLC	SERC			GO											
NCR01356	USACE - Charleston District	SERC			GO	GOP							TO			
NCR11109	USACE - Fort Worth District	SERC			GO	GOP							TO			
NCR01359	USACE - Mobile District	SERC			GO	GOP							TO	TOP		
NCR01360	USACE - Nashville District	SERC			GO	GOP							TO			
NCR01361	USACE - Savannah District	SERC			GO	GOP							TO	TOP		
NCR01364	USACE - Wilmington District	SERC			GO	GOP							TO			
NCR01365	VACAR South	SERC						RC								
NCR00078	Vandolah Power Company, LLC	SERC			GO	GOP										
NCR12012	Veolia Energy Operating Services, LLC	SERC				GOP										
NCR01214	Virginia Electric & Power Company d/b/a Dominion Energy Virginia – Electric Transmission	SERC		DP									TO			
NCR09006	Virginia Electric & Power Company d/b/a Dominion Energy Virginia – Nuclear	SERC			GO	GOP										
NCR09028	Virginia Electric & Power Company d/b/a Dominion Energy Virginia – Power Generation	SERC			GO	GOP										
NCR00200	Vistra Corp.	SERC			GO											
NCR00940	Wabash Valley Power Association, Inc.	SERC		DP									TO		TP	
NCR12491	Walnut Bend Solar	SERC			GO											
NCR01314	Walton Bainbridge Power Facility	SERC			GO	GOP										
NCR11598	Walton County Power, LLC	SERC			GO	GOP										
NCR01313	Walton Discover Power Facility	SERC			GO	GOP										
NCR11599	Washington County Power, LLC	SERC			GO	GOP										
NCR11625	WestRock Southeast, LLC	SERC				GOP										
NCR11978	Wilkinson Solar LLC	SERC			GO	GOP										
NCR00081	Winter Park, City of	SERC		*DP-UFLS												
NCR12413	224WB 8me LLC	Texas RE			GO											
NCR12167	225DD 8me LLC	Texas RE			GO											
NCR12086	2W Permian Solar, LLC	Texas RE			GO	GOP										
NCR12082	ACT Power Services, LLC	Texas RE				GOP										
NCR10001	Air Liquide Large Industries U.S., LP	Texas RE			GO	GOP										
NCR12516	Al Pastor BESS LLC	Texas RE			GO											
NCR11641	Alamo 6, LLC	Texas RE			GO	GOP										
NCR11785	Algonquin Power Co.	Texas RE			GO	GOP										
NCR12062	Alliance for Cooperative Energy Services Power Marketing LLC	Texas RE				GOP										
NCR12051	Amadeus Wind, LLC	Texas RE			GO	GOP										
NCR04006	American Electric Power Service Corp as agent for AEP Texas Inc. and Public Service Company of Oklahoma	Texas RE		DP	GO	GOP							TO	TOP	TP	
NCR11265	Anacacho Wind Farm, LLC	Texas RE			GO											
NCR12461	Anemoi Energy Storage, LLC	Texas RE			GO											
NCR12103	Anson Solar Center, LLC	Texas RE			GO	GOP										
NCR12509	AP Sunray LLC	Texas RE			GO											
NCR10259	Avangrid Renewables	Texas RE			GO	GOP										
NCR12115	Aviator Wind, LLC	Texas RE			GO	GOP										
NCR04008	Bandera Electric Cooperative, Inc.	Texas RE		DP									TO		TP	
NCR04011	BASF Corp	Texas RE			GO	GOP										
NCR04012	Bastrop Energy Partners, LP	Texas RE			GO	GOP										

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR12170	BayWa r.e. Operation Services LLC	Texas RE				GOP										
NCR11814	Bearkat Wind Energy I, LLC	Texas RE			GO	GOP										
NCR12502	Ben Milam Solar 1 LLC	Texas RE			GO											
NCR12510	Ben Milam Solar 2 LLC	Texas RE			GO											
NCR12503	Ben Milam Solar 3 LLC	Texas RE			GO											
NCR12018	BHER Market Operations, LLC	Texas RE				GOP										
NCR04112	BHER Power Resources, Inc.	Texas RE			GO	GOP										
NCR11809	Big Country Electric Cooperative, Inc	Texas RE		*DP-UFLS												
NCR04013	Bluebonnet Electric Co Op, Inc.	Texas RE		DP									TO		TP	
NCR11320	Bobcat Bluff Wind Project, LLC	Texas RE			GO	GOP										
NCR12483	Borden County Battery Energy Storage LLC	Texas RE			GO											
NCR12430	BPL Crown Solar, LLC	Texas RE			GO											
NCR12378	BPL Files Solar, LLC	Texas RE			GO											
NCR12431	BPL Sol Solar, LLC	Texas RE			GO											
NCR12239	Braes Bayou Generating, LLC	Texas RE			GO											
NCR12255	Braes Bayou II, LLC	Texas RE			GO											
NCR12269	Brazoria County Solar Project, LLC	Texas RE			GO											
NCR12242	Brazoria West Solar Project, LLC	Texas RE			GO	GOP										
NCR12513	Brazos Bend BESS LLC	Texas RE			GO											
NCR04015	Brazos Electric Power Co Op, Inc.	Texas RE		DP									TO	TOP	TP	
NCR10210	Brazos Wind Ventures, LLC	Texas RE			GO	GOP										
NCR12292	Briar Creek Solar 1, LLC	Texas RE			GO											
NCR11576	Briscoe Wind Farm, LLC	Texas RE			GO	GOP										
NCR12179	Broad Reach Power LLC	Texas RE			GO	GOP										
NCR12337	Brotman Generating, LLC	Texas RE			GO											
NCR12376	Brotman II, LLC	Texas RE			GO											
NCR04018	Brownsville Public Utilities Board	Texas RE		DP									TO	TOP	TP	
NCR04021	Brownsville Public Utilities Board Silas Ray	Texas RE			GO	GOP										
NCR11799	Bruenning's Breeze Wind Farm, LLC	Texas RE			GO											
NCR04022	Bryan Texas Utilities	Texas RE		DP									TO	TOP	TP	
NCR12136	BT Coniglio Solar, LLC	Texas RE			GO											
NCR12515	BT Connolly Storage, LLC	Texas RE			GO											
NCR12345	BT Cunningham Storage, LLC	Texas RE			GO											
NCR12270	BT Noble Solar, LLC	Texas RE			GO	GOP										
NCR12016	Buckthorn Wind Project, LLC	Texas RE			GO	GOP										
NCR04025	Buffalo Gap Wind Farm, LLC	Texas RE			GO	GOP										
NCR10290	Bull Creek Wind, LLC	Texas RE			GO	GOP										
NCR12484	Callisto I Energy Center LLC	Texas RE			GO											
NCR00006	Calpine Corporation	Texas RE			GO	GOP										
NCR11591	Cameron Wind I, LLC	Texas RE			GO	GOP										
NCR11944	Canadian Breaks LLC	Texas RE			GO	GOP										
NCR12223	Canadian Solar O&M (U.S.) LLC	Texas RE				GOP										
NCR12374	Castle Gap Wind Power, LLC	Texas RE			GO											
NCR12038	CED Crane Solar, LLC	Texas RE			GO	GOP										
NCR04028	CenterPoint Energy Houston Electric, LLC	Texas RE		DP									TO	TOP	TP	
NCR10175	Central Texas Electric Cooperative, Inc.	Texas RE		*DP-UFLS												
NCR12504	Century Oak Wind Project, LLC	Texas RE			GO	GOP										
NCR11807	Chamon Power LLC	Texas RE			GO	GOP										

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR11763	Chapman Ranch Wind I, LLC	Texas RE			GO	GOP										
NCR10316	CHI Power, Inc.	Texas RE			GO	GOP										
NCR12354	Childress Solar Park, LLC	Texas RE			GO											
NCR12157	Chisholm Grid, LLC	Texas RE			GO											
NCR04029	City of Austin dba Austin Energy	Texas RE		DP									TO	TOP	TP	
NCR04030	City of Austin dba Austin Energy	Texas RE			GO	GOP										
NCR04032	City of College Station	Texas RE		DP									TO	TOP	TP	
NCR04033	City of Garland	Texas RE		DP									TO	TOP	TP	
NCR04034	City of Garland	Texas RE				GOP										
NCR04035	City of Garland	Texas RE			GO											
NCR10004	City of Georgetown	Texas RE		*DP-UFLS												
NCR12106	City of Lubbock, acting through Lubbock Power and Light	Texas RE		DP									TO	TOP	TP	
NCR10189	City of San Marcos	Texas RE		*DP-UFLS												
NCR00769	Clearway Energy Operating LLC	Texas RE			GO											
NCR11926	Clearway Renewable Operation & Maintenance LLC	Texas RE			GO	GOP										
NCR11804	Coleman County Electric Cooperative, Inc.	Texas RE		*DP-UFLS												
NCR11862	Colorado Bend I Power, LLC	Texas RE			GO	GOP										
NCR12087	Concho Bluff LLC	Texas RE			GO											
NCR11790	Concho Valley Electric Cooperative, Inc.	Texas RE		*DP-UFLS												
NCR12323	Concho Valley Solar, LLC	Texas RE			GO											
NCR04057	Constellation Energy Generation, LLC - Constellation Power	Texas RE			GO	GOP										
NCR12492	Copperhead Solar, LLC	Texas RE			GO	GOP										
NCR12169	Corazon Energy, LLC	Texas RE			GO											
NCR11735	Cotton Plains Wind I, LLC	Texas RE			GO	GOP										
NCR04037	CPS Energy	Texas RE		DP	GO	GOP							TO	TOP	TP	
NCR12407	Crane 2 BESS, LLC	Texas RE			GO											
NCR11114	Cross Texas Transmission, LLC	Texas RE											TO	TOP	TP	
NCR12243	Crossett Power Management LLC	Texas RE			GO	GOP										
NCR12494	Cutlass Solar II, LLC	Texas RE			GO											
NCR12340	Cutlass Solar, LLC	Texas RE			GO	GOP										
NCR11867	Cypress Creek O&M, LLC	Texas RE				GOP										
NCR04049	Denton Municipal Electric	Texas RE		DP	GO	GOP							TO	TOP	TP	
NCR11915	DEPCOM Power	Texas RE				GOP										
NCR11032	Deriva Energy Services, LLC	Texas RE				GOP										
NCR11750	Dermott Wind, LLC	Texas RE			GO	GOP										
NCR12358	Desert Sky Wind Farm, LLC	Texas RE			GO	GOP										
NCR12149	East Blackland Solar Project 1 LLC	Texas RE			GO											
NCR01227	East Texas Electric Cooperative Inc.	Texas RE		*DP-UFLS												
NCR12462	Ebony Energy Storage, LLC	Texas RE			GO											
NCR12256	Ector County Generation LLC	Texas RE			GO	GOP										
NCR11662	EDP Renewables North America LLC	Texas RE			GO	GOP										
NCR11430	EIF Channelview Cogeneration, LLC	Texas RE			GO											
NCR12110	El Campo Wind, LLC	Texas RE			GO	GOP										
NCR12204	Elara Energy Project, LLC	Texas RE			GO											
NCR11698	Electra Wind, LLC	Texas RE			GO	GOP										
NCR04056	Electric Reliability Council of Texas, Inc.	Texas RE	BA				PA / PC	RC	RP					TOP		TSP
NCR10211	Electric Transmission Texas, LLC	Texas RE											TO			
NCR12377	Ellis Solar, LLC	Texas RE			GO											

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR12291	Elm Branch Solar 1, LLC	Texas RE			GO											
NCR12068	ENGIE Long Draw Solar LLC	Texas RE			GO	GOP										
NCR04058	Exxon Mobil Corporation	Texas RE			GO	GOP										
NCR12458	Five Wells Storage LLC	Texas RE			GO	GOP										
NCR11824	Flat Top Wind I, LLC	Texas RE			GO	GOP										
NCR10262	Floresville Electric Light & Power System	Texas RE		*DP-UFLS									TO			
NCR12218	Flower Valley II LLC	Texas RE			GO	GOP										
NCR11930	Fluvanna Wind Energy 2 LLC	Texas RE			GO	GOP										
NCR11769	Fluvanna Wind Energy LLC	Texas RE			GO	GOP										
NCR11950	Foard City Wind, LLC	Texas RE			GO	GOP										
NCR04059	Formosa Utility Venture, Ltd.	Texas RE			GO	GOP										
NCR12379	Fort Bend Solar, LLC	Texas RE			GO	GOP										
NCR12145	G.S.E. One LLC	Texas RE			GO											
NCR12114	Gambit Energy Storage, LLC	Texas RE			GO											
NCR04074	GEN TEX Power Corp	Texas RE			GO											
NCR04076	GEUS	Texas RE		*DP-UFLS	GO											
NCR12485	Giga Texas Energy, LLC	Texas RE			GO											
NCR10020	Golden Spread Electric Cooperative, Inc.	Texas RE			GO											
NCR11364	Goldthwaite Wind Energy LLC	Texas RE			GO	GOP										
NCR11510	Green Pastures Wind I, LLC.	Texas RE			GO	GOP										
NCR11573	Green Pastures Wind II, LLC	Texas RE			GO	GOP										
NCR12365	Gregory Power Holdings, LLC	Texas RE			GO	GOP										
NCR12132	Griffin Trail Wind, LLC	Texas RE			GO	GOP										
NCR04079	Guadalupe Valley Electric Co Op Inc.	Texas RE		DP									TO		TP	
NCR11631	Gunsight Mountain Wind Energy LLC	Texas RE			GO	GOP										
NCR00210	Hackberry Wind, LLC	Texas RE			GO	GOP										
NCR12433	Hanwha Convergence USA Corp.	Texas RE				GOP										
NCR12053	Heart of Texas Wind, LLC	Texas RE			GO	GOP										
NCR12467	Hecate Energy Frye Solar, LLC	Texas RE			GO											
NCR12097	HO Clarke Generating, LLC	Texas RE			GO											
NCR12172	HO Clarke II, LLC	Texas RE			GO											
NCR11991	Holstein Solar, LLC	Texas RE			GO											
NCR12447	Hopkins Energy, LLC	Texas RE			GO	GOP										
NCR12434	Horizon Solar, LLC	Texas RE			GO											
NCR11690	Horse Creek Wind, LLC	Texas RE			GO	GOP										
NCR12057	Impact Solar 1, LLC	Texas RE			GO											
NCR04082	Ingleside Cogeneration, LP	Texas RE			GO	GOP										
NCR11392	International Boundary and Water Commission, US Section	Texas RE			GO	GOP										
NCR11694	Invenergy Services LLC	Texas RE				GOP										
NCR12271	Invenergy Services-Brazoria County	Texas RE				GOP										
NCR12375	Invenergy Services-Castle Gap	Texas RE				GOP										
NCR12072	Invenergy Services-Lamesa II	Texas RE				GOP										
NCR12250	Invenergy Services-Sherbino II	Texas RE				GOP										
NCR12251	Invenergy Services-Trinity Hills	Texas RE				GOP										
NCR12427	IP Lumina II, LLC	Texas RE			GO											
NCR12415	IP Lumina, LLC	Texas RE			GO											
NCR12321	IP Radian, LLC	Texas RE			GO											
NCR12336	Jack County Power, LLC	Texas RE			GO	GOP										

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR12338	Johnson County Power, LLC	Texas RE			GO	GOP										
NCR12005	Jumbo Hill Wind Project, LLC	Texas RE			GO	GOP										
NCR12514	KCE TX 10, LLC	Texas RE			GO											
NCR12311	KCE TX 12, LLC	Texas RE			GO											
NCR12511	KCE TX 15, LLC	Texas RE			GO											
NCR12351	KCE TX 19/21, LLC	Texas RE			GO											
NCR11497	Keechi Wind, LLC	Texas RE			GO	GOP										
NCR10179	Kerrville Public Utility Board	Texas RE		*DP-UFLS												
NCR12454	King Creek Wind Farm 1, LLC	Texas RE			GO	GOP										
NCR12455	King Creek Wind Farm 2, LLC	Texas RE			GO	GOP										
NCR04088	Kiowa Power Partners, LLC	Texas RE			GO	GOP							TO			
NCR12060	La Chalupa, LLC	Texas RE			GO	GOP										
NCR11817	Lamar County Electric Cooperative Association	Texas RE		*DP-UFLS												
NCR11885	Lamesa II Solar, LLC	Texas RE			GO											
NCR11976	Lapetus Energy Project, LLC	Texas RE			GO											
NCR11863	LaPorte Power, LLC	Texas RE			GO	GOP										
NCR12067	Las Lomas Wind Project, LLC	Texas RE			GO	GOP										
NCR12092	Las Majadas Wind Project, LLC	Texas RE			GO	GOP										
NCR04091	LCRA Transmission Services Corporation	Texas RE											TO	TOP	TP	
NCR12441	Libra Storage LLC	Texas RE			GO	GOP										
NCR11897	Live Oak Wind Project, LLC	Texas RE			GO	GOP										
NCR11931	Lockett Windfarm, LLC	Texas RE			GO	GOP										
NCR11076	Lone Star Transmission, LLC	Texas RE											TO	TOP	TP	
NCR12442	Longbow Solar, LLC	Texas RE			GO											
NCR12495	Longhorn Storage LLC	Texas RE			GO	GOP										
NCR11503	Longhorn Wind Project, LLC	Texas RE			GO	GOP										
NCR11075	Loraine Windpark Project, LLC	Texas RE			GO	GOP										
NCR11267	Los Vientos Windpower IA, LLC	Texas RE			GO											
NCR11266	Los Vientos Windpower IB, LLC	Texas RE			GO											
NCR11538	Los Vientos Windpower III, LLC	Texas RE			GO											
NCR11635	Los Vientos Windpower IV, LLC	Texas RE			GO											
NCR11603	Los Vientos Windpower V, LLC	Texas RE			GO											
NCR04092	Lower Colorado River Authority	Texas RE				GOP										
NCR04093	Lower Colorado River Authority	Texas RE			GO											
NCR12499	LRE Operations Control Center	Texas RE				GOP										
NCR10133	Luminant Energy Company, LLC	Texas RE				GOP										
NCR10219	Luminant Generation Company, LLC	Texas RE			GO											
NCR11798	Lyntegar Electric Cooperative, Inc.	Texas RE		*DP-UFLS												
NCR12309	Madero Grid, LLC	Texas RE			GO											
NCR11242	Magic Valley Wind Farm I, LLC	Texas RE			GO											
NCR11493	Major Oak Power, LLC	Texas RE			GO	GOP										
NCR11701	Mariah del Norte LLC	Texas RE			GO	GOP										
NCR12281	Mark One Generating, LLC	Texas RE			GO											
NCR12129	Maryneal Windpower, LLC	Texas RE			GO											
NCR00087	McAdoo Wind Energy, LLC	Texas RE			GO	GOP										
NCR11511	Mesquite Creek Wind LLC	Texas RE			GO											
NCR11980	Mesteño Windpower, LLC	Texas RE			GO	GOP										
NCR11478	Miami Wind I, LLC	Texas RE			GO	GOP										



NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR11888	Midway Solar 1, LLC	Texas RE			GO											
NCR11894	Midway Wind, LLC	Texas RE			GO	GOP										
NCR11990	Miller Bros. Solar, LLC	Texas RE				GOP										
NCR11864	Mountain Creek Power, LLC	Texas RE			GO	GOP										
NCR12435	NAES - Goodnight I	Texas RE			GO	GOP										
NCR12244	NAES Corporation - Crossett Power Management	Texas RE				GOP										
NCR12219	NAES Corporation - Flower Valley II LLC	Texas RE				GOP										
NCR12367	NAES Corporation - Major Oak Power, LLC	Texas RE				GOP										
NCR11935	NAES Corporation - Nacogdoches	Texas RE			GO	GOP										
NCR12262	NAES Corporation - Swoose II LLC	Texas RE				GOP										
NCR12450	NAES Corporation - Astra Wind, LLC	Texas RE			GO	GOP										
NCR12339	NAES Corporation-Rayburn Energy Station	Texas RE			GO	GOP										
NCR11181	NAES Corporation-Sandy Creek Energy Station	Texas RE				GOP										
NCR12360	NAES Frontera Energy Center	Texas RE			GO	GOP										
NCR04101	New Braunfels Utilities	Texas RE		DP									TO		TP	
NCR10019	NextEra Energy Resources, LLC	Texas RE			GO	GOP										
NCR10276	Notrees Windpower, LP	Texas RE			GO											
NCR12096	NovaSource Power Services	Texas RE				GOP										
NCR10326	NRG Cedar Bayou Development Co, LLC	Texas RE			GO	GOP										
NCR12273	NRG Energy Services LLC-Petra Nova	Texas RE				GOP										
NCR11642	NRG Energy Services-Golden Spread	Texas RE			GO											
NCR10090	NRG Texas Power, LLC	Texas RE			GO	GOP										
NCR12032	Oberon Solar IA LLC	Texas RE			GO											
NCR10072	Odyssey Energy Altura Cogen, LLC	Texas RE			GO	GOP										
NCR11736	Old Settler Wind, LLC	Texas RE			GO	GOP										
NCR04109	Oncor Electric Delivery Company LLC	Texas RE		DP									TO	TOP	TP	
NCR12355	Onward Energy ROC LLC	Texas RE				GOP										
NCR12161	Origis Services, LLC	Texas RE				GOP										
NCR04110	Oxy Vinyls LP	Texas RE			GO	GOP										
NCR11974	Palmas Wind, LLC	Texas RE			GO	GOP										
NCR12383	Paris Farm Solar, LLC	Texas RE			GO											
NCR04138	Paris Generation, LP	Texas RE			GO	GOP										
NCR11808	Pattern Operators LP	Texas RE			GO	GOP										
NCR12412	Pearce Renewables	Texas RE				GOP										
NCR04111	Pedernales Elec Co Op Inc.	Texas RE		DP									TO	TOP	TP	
NCR12098	PES Remote Operations Center	Texas RE				GOP										
NCR11346	Petra Nova Power I LLC	Texas RE			GO											
NCR11934	Phoebe Energy Project, LLC	Texas RE			GO											
NCR11868	PHR Holdings LLC	Texas RE			GO	GOP										
NCR12317	Pisgah Ridge Solar, LLC	Texas RE			GO											
NCR11765	Port Comfort Power LLC	Texas RE			GO	GOP										
NCR12065	Prairie Hill Wind Project, LLC	Texas RE			GO	GOP										
NCR12031	Prospero Energy Project, LLC	Texas RE			GO											
NCR12152	Prospero Solar II, LLC	Texas RE			GO											
NCR11525	Quail Run Energy Partners, LP	Texas RE			GO											
NCR11947	Ranchero Wind Farm, LLC	Texas RE			GO	GOP										
NCR11838	Rattlesnake Power, LLC	Texas RE			GO	GOP										
NCR11547	Rattlesnake Wind I LLC	Texas RE			GO	GOP										

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR04113	Rayburn Country Electric Cooperative, Inc.	Texas RE		DP									TO	TOP	TP	
NCR12276	Rayos del Sol Solar Project, LLC	Texas RE			GO											
NCR12162	RE Maplewood LLC	Texas RE			GO											
NCR12043	RE Rambler LLC	Texas RE			GO											
NCR12490	Red Tailed Hawk Solar LLC	Texas RE			GO	GOP										
NCR12500	Remy Jade Generating, LLC	Texas RE			GO											
NCR12156	RES America Asset Management - Chisholm	Texas RE				GOP										
NCR12469	RES Americas - Frye Solar	Texas RE				GOP										
NCR11924	Rio Bravo Windpower, LLC	Texas RE			GO	GOP										
NCR03038	Rio Grande Electric Co Op	Texas RE		*DP-UFLS												
NCR11774	Rocksprings Val Verde Wind LLC	Texas RE			GO	GOP										
NCR12418	Rodeo Ranch Energy Storage, LLC	Texas RE			GO											
NCR11544	Route 66 Wind Power, LLC	Texas RE			GO											
NCR12341	RW Miller Power, LLC	Texas RE			GO	GOP										
NCR11605	RWE Clean Energy Asset Holdings, Inc.	Texas RE			GO	GOP										
NCR11383	RWE Clean Energy QSE, LLC	Texas RE				GOP										
NCR12482	RWE Clean Energy, LLC	Texas RE			GO	GOP										
NCR11981	Sage Draw Wind, LLC	Texas RE			GO	GOP										
NCR12263	Samson Solar Energy III LLC	Texas RE			GO	GOP										
NCR12241	Samson Solar Energy LLC	Texas RE			GO	GOP										
NCR00253	San Miguel Electric Cooperative, Inc.	Texas RE			GO	GOP										
NCR11646	San Roman Wind I, LLC	Texas RE			GO	GOP										
NCR11182	Sandy Creek Services, LLC	Texas RE			GO											
NCR11918	Santa Rita East Wind Energy LLC	Texas RE			GO	GOP										
NCR11841	Santa Rita Wind Energy LLC	Texas RE			GO	GOP										
NCR10321	Scurry County Wind II, LLC	Texas RE			GO	GOP										
NCR10027	Scurry County Wind, LP	Texas RE			GO	GOP										
NCR12189	SE Aragorn, LLC	Texas RE			GO											
NCR12109	SE Juno, LLC	Texas RE			GO											
NCR12177	SE Titan, LLC	Texas RE			GO											
NCR12487	Shakes Solar, LLC	Texas RE			GO											
NCR11566	Shannon Wind, LLC	Texas RE			GO	GOP										
NCR04119	Sharyland Utilities, L.L.C.	Texas RE											TO		TP	
NCR11206	Sherbino II Wind Farm LLC	Texas RE			GO											
NCR12282	Siemens Energy, Inc. - EIFC	Texas RE				GOP										
NCR11202	Signal Hill Generating LLC	Texas RE			GO	GOP										
NCR12246	SJRR Power LLC	Texas RE			GO	GOP										
NCR11982	Sky Global Power One, LLC	Texas RE			GO											
NCR11685	SOLV Energy, LLC	Texas RE				GOP										
NCR11310	South Houston Green Power, LLC	Texas RE			GO	GOP										
NCR11620	South Plains Wind Energy II, LLC	Texas RE			GO											
NCR11579	South Plains Wind Energy, LLC	Texas RE			GO	GOP										
NCR04124	South Texas Electric Cooperative, Inc.	Texas RE		DP	GO	GOP							TO	TOP	TP	
NCR11221	Southern Power Company	Texas RE			GO	GOP										
NCR11789	Southwest Texas Electric Cooperative, Inc.	Texas RE		*DP-UFLS												
NCR12275	Spark Power Renewables USA Inc.	Texas RE				GOP										
NCR12444	Sparta Solar, LLC	Texas RE			GO	GOP										
NCR11575	Spinning Spur Wind Three, LLC	Texas RE			GO	GOP										

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR11464	Spinning Spur Wind Two	Texas RE			GO	GOP										
NCR12438	St. Gall Energy Storage I LLC	Texas RE			GO											
NCR10224	Stanton Wind Energy, LLC	Texas RE			GO	GOP										
NCR11507	Stephens Ranch Wind Energy	Texas RE			GO	GOP										
NCR04127	STP Nuclear Operating Company	Texas RE			GO	GOP										
NCR12344	Strategic Services Operating	Texas RE				GOP										
NCR12443	Sun Valley Solar, LLC	Texas RE			GO	GOP										
NCR12411	Sun Valley Storage, LLC	Texas RE			GO	GOP										
NCR10183	Sweeny Cogeneration LLC	Texas RE			GO	GOP										
NCR04132	Sweetwater Wind 2, LLC	Texas RE			GO	GOP										
NCR04133	Sweetwater Wind 3 LLC	Texas RE			GO	GOP										
NCR04135	Sweetwater Wind 4 LLC	Texas RE			GO	GOP										
NCR02715	Sweetwater Wind 5, LLC	Texas RE			GO	GOP										
NCR12261	Swoose II LLC	Texas RE			GO	GOP										
NCR11891	Tahoka Wind, LLC	Texas RE			GO	GOP										
NCR12078	Taygete Energy Project, LLC	Texas RE			GO											
NCR11384	Taylor Electric Cooperative, Inc.	Texas RE		*DP-UFLS												
NCR12019	Tejas Power Generation, LLC	Texas RE			GO	GOP										
NCR11462	Temple Generation I, LLC	Texas RE			GO	GOP										
NCR11872	Temple Generation II, LLC	Texas RE			GO	GOP										
NCR04136	Tenaska Frontier Partners LTD	Texas RE			GO	GOP										
NCR04137	Tenaska Gateway Partners LTD	Texas RE			GO	GOP										
NCR11116	Texas Medical Center Central Heating and Cooling Services Corp	Texas RE			GO	GOP										
NCR11456	Texas Municipal Power Agency	Texas RE											TO			
NCR04143	Texas-New Mexico Power Co	Texas RE		DP									TO	TOP	TP	
NCR12283	TG East Wind Project, LLC	Texas RE			GO	GOP										
NCR12143	Topaz Generating, LLC	Texas RE			GO											
NCR12148	Topaz II, LLC	Texas RE			GO											
NCR12359	Trent Wind Farm, LLC	Texas RE			GO	GOP										
NCR12451	Tres Bahias Solar Power, LLC	Texas RE			GO											
NCR12220	Tres City Power, LLC	Texas RE			GO	GOP										
NCR12221	Tres Port Power, LLC	Texas RE			GO	GOP										
NCR11205	Trinity Hills Wind Farm LLC	Texas RE			GO											
NCR10277	Turkey Track Wind Energy Center	Texas RE			GO	GOP										
NCR11495	TX Hereford Wind, LLC	Texas RE			GO	GOP										
NCR11519	TX Jumbo Road Wind, LLC	Texas RE			GO	GOP										
NCR04156	USACE - Tulsa District	Texas RE			GO											
NCR12328	VESI Upton County BESS, LLC	Texas RE			GO	GOP										
NCR12206	Victoria Port Power II, LLC	Texas RE			GO	GOP										
NCR04159	Victoria WLE LP	Texas RE			GO	GOP										
NCR12158	Wagyu Solar, LLC	Texas RE			GO											
NCR04160	Weatherford Municipal Utility System	Texas RE		*DP-UFLS												
NCR12343	West Texas Solar Project II LLC	Texas RE			GO											
NCR01160	Western Farmers Electric Cooperative	Texas RE											TO		TP	
NCR12195	Western Trail Wind, LLC	Texas RE			GO	GOP										
NCR12306	Wharton County Generation LLC	Texas RE			GO	GOP										
NCR11784	Willow Springs Windfarm, LLC	Texas RE			GO	GOP										
NCR11074	Wind Energy Transmission Texas, LLC	Texas RE											TO	TOP	TP	

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR11865	Wolf Hollow I Power, LLC	Texas RE			GO	GOP										
NCR12342	Wolf Tank Storage LLC	Texas RE			GO											
NCR12476	Zier Solar, LLC	Texas RE			GO											
NCR12349	325MK 8me LLC	WECC			GO											
NCR11826	54KR 8ME LLC	WECC			GO											
NCR11634	62SK 8ME LLC	WECC			GO											
NCR11643	63SU 8ME LLC	WECC			GO											
NCR11921	64KT 8ME LLC	WECC			GO											
NCR12523	68SF 8me, LLC	WECC			GO											
NCR11516	ACE O&M, LLC	WECC			GO	GOP										
NCR12082	ACT Power Services, LLC	WECC				GOP										
NCR11645	AE Power Services LLC	WECC				GOP										
NCR11966	AES Alamitos Energy LLC	WECC			GO	GOP										
NCR05002	AES Alamitos, LLC	WECC			GO	GOP										
NCR12104	AES ES Alamitos, LLC	WECC			GO	GOP										
NCR11967	AES Huntington Beach Energy LLC	WECC			GO	GOP										
NCR05004	AES Huntington Beach, LLC	WECC			GO	GOP										
NCR11679	AES US Renewables	WECC			GO	GOP										
NCR11209	Agua Caliente Solar LLC	WECC			GO											
NCR10042	Alameda Municipal Power	WECC		DP												
NCR11785	Algonquin Power Co.	WECC			GO	GOP										
NCR11528	AltaGas Brush Energy Inc.	WECC			GO	GOP										
NCR05363	AltaGas Ripon Energy Inc.	WECC			GO	GOP										
NCR12369	Apex Solar LLC	WECC			GO											
NCR12424	Appaloosa Solar I, LLC	WECC			GO											
NCR05014	Aragonne Wind LLC	WECC			GO	GOP										
NCR05015	Arizona Electric Power Cooperative, Inc.	WECC			GO	GOP			RP				TO	TOP	TP	TSP
NCR05016	Arizona Public Service Company	WECC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR11426	Arlington Valley Solar Energy II, LLC	WECC			GO	GOP										
NCR03051	Arlington Valley, LLC	WECC			GO	GOP										
NCR03049	Arlington Valley, LLC - AVBA	WECC	BA													
NCR12334	Arrow Canyon Solar, LLC	WECC			GO	GOP										
NCR12373	Arroyo Energy Storage LLC	WECC			GO											
NCR11452	ASI Operations Inc	WECC			GO	GOP										
NCR10259	Avangrid Renewables	WECC	BA		GO	GOP										
NCR05020	Avista Corporation	WECC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR11118	Balancing Authority of Northern California	WECC	BA				PA / PC									
NCR11411	Barrick Goldstrike Mines Inc.	WECC			GO	GOP										
NCR05023	Basin Electric Power Cooperative	WECC		DP	GO	GOP	PA / PC		RP				TO		TP	TSP
NCR12118	Battle Mountain SP, LLC	WECC			GO	GOP										
NCR12521	Beaumont ESS, LLC	WECC			GO											
NCR12477	BigBeau Solar, LLC	WECC			GO	GOP										
NCR12215	Bighorn Solar 1, LLC	WECC			GO											
NCR05030	Black Hills Corporation	WECC		DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR11433	Blythe Energy Inc.	WECC			GO	GOP										
NCR12466	Blythe Mesa Solar II, LLC	WECC			GO											
NCR00086	Boise-Kuna Irrigation District	WECC			GO											
NCR05032	Bonneville Power Administration	WECC	BA				PA / PC		RP				TO	TOP	TP	TSP

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR05033	Bountiful City Light & Power	WECC		*DP-UFLS												
NCR11647	Brookfield Power US Asset Management LLC	WECC				GOP										
NCR10195	Brush Power	WECC			GO	GOP										
NCR05039	Burney Forest Products, A Joint Venture	WECC			GO	GOP										
NCR05047	California Department of Water Resources	WECC			GO	GOP			RP				TO		TP	
NCR11801	California Flats Solar, LLC	WECC			GO											
NCR05048	California Independent System Operator	WECC	BA				PA / PC	RC						TOP		TSP
NCR11940	Calpeak Power Panoche LLC	WECC			GO											
NCR11941	CalPeak Power Vaca-Dixon, LLC	WECC			GO											
NCR11439	CalPECo	WECC		DP												
NCR00006	Calpine Corporation	WECC			GO	GOP										
NCR12223	Canadian Solar O&M (U.S.) LLC	WECC				GOP										
NCR11680	Catalina Solar 2, LLC	WECC			GO											
NCR11484	Catalina Solar, LLC	WECC			GO	GOP										
NCR11775	CD Global Solar NC Sponsor, L.P.	WECC			GO											
NCR11161	Cedar Creek II, LLC	WECC			GO	GOP										
NCR10165	Cedar Creek Wind Energy, LLC	WECC			GO	GOP										
NCR11271	Cedar Point Wind, LLC	WECC			GO	GOP										
NCR11431	Centinela Solar Energy, LLC	WECC			GO	GOP										
NCR05067	City of Anaheim	WECC		DP					RP							
NCR05069	City of Azusa	WECC							RP							
NCR05072	City of Burbank	WECC		DP					RP							
NCR05074	City of Colton	WECC							RP							
NCR05079	City of Fort Collins	WECC		DP												
NCR05489	City of Gallup	WECC		DP												
NCR05081	City of Glendale	WECC		*DP-UFLS												
NCR05084	City of Idaho Falls Electric Light Division	WECC		DP	GO	GOP										
NCR05086	City of Longmont	WECC		DP												
NCR05087	City of Loveland, Colorado	WECC		DP												
NCR05089	City of Palo Alto	WECC		DP												
NCR05090	City of Pasadena Water and Power	WECC		DP					RP				TO		TP	
NCR05091	City of Redding	WECC		DP	GO	GOP			RP				TO	TOP	TP	
NCR05093	City of Riverside Public Utilities	WECC		*DP-UFLS					RP							
NCR05094	City of Roseville	WECC		DP					RP							
NCR11025	City of Spokane Waste-to-Energy Facility	WECC			GO	GOP										
NCR05096	City of St George	WECC		DP	GO	GOP										
NCR05097	City of Tacoma, Department of Public Utilities, Light Division	WECC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR05494	City of Ukiah	WECC		*DP-UFLS												
NCR11883	Clearway Energy Operating LLC - Fossil	WECC			GO											
NCR11926	Clearway Renewable Operation & Maintenance LLC	WECC			GO	GOP										
NCR10378	Colorado Energy Management - MPC	WECC				GOP										
NCR00339	Colorado Energy Management - RMP	WECC				GOP										
NCR11389	Colorado Highlands Wind, LLC	WECC			GO	GOP										
NCR05106	Colorado Springs Utilities	WECC		DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR05107	Colstrip Energy Limited Partnership	WECC			GO											
NCR10043	Columbia Basin Hydropower	WECC			GO	GOP										
NCR11665	Comanche Solar PV, LLC	WECC			GO											
NCR12498	Condor Energy Storage, LLC	WECC			GO											

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR11325	Constellation Energy Generation, LLC – Western Region	WECC			GO	GOP										
NCR05115	Continental Divide Electric Cooperative	WECC		DP												
NCR11290	Copper Mountain Solar 2, LLC	WECC			GO	GOP										
NCR11476	Copper Mountain Solar 3, LLC	WECC			GO	GOP										
NCR11666	Copper Mountain Solar 4, LLC	WECC			GO	GOP										
NCR12121	Copper Mountain Solar 5, LLC	WECC			GO	GOP										
NCR11226	CORE Electric Cooperative	WECC		DP									TO	TOP		
NCR05119	Coso Energy Developers	WECC			GO	GOP										
NCR05120	Coso Finance Partners	WECC			GO	GOP										
NCR05122	Coso Power Developers	WECC			GO	GOP										
NCR12090	Cove Mountain 1, LLC	WECC			GO											
NCR12089	Cove Mountain 2, LLC	WECC			GO											
NCR05123	Cowlitz County PUD No. 1	WECC		DP	GO								TO		TP	
NCR05124	Crockett Cogeneration, a California Limited Partnership	WECC			GO	GOP										
NCR11359	CSolar IV South, LLC	WECC			GO											
NCR11572	CSolar IV West, LLC	WECC			GO											
NCR11922	CXA La Paloma, LLC	WECC			GO	GOP										
NCR05498	Delta-Montrose Electric Association	WECC		DP												
NCR10264	Deriva Energy Services, LLC	WECC				GOP										
NCR05126	Deseret Generation & Transmission Co-operative	WECC			GO	GOP			RP				TO		TP	TSP
NCR12085	Desert Harvest II, LLC	WECC			GO	GOP										
NCR12081	Desert Harvest, LLC	WECC			GO	GOP										
NCR12025	DesertLink, LLC	WECC											TO		TP	
NCR00125	DGC Operations, LLC	WECC				GOP										
NCR12151	Diablo Energy Storage, LLC	WECC			GO	GOP										
NCR12293	Drew Solar LLC	WECC			GO											
NCR11757	EDF RAH - Playa Solar, LLC	WECC			GO											
NCR11441	EDF Renewable Service	WECC				GOP										
NCR11662	EDP Renewables North America LLC	WECC			GO	GOP										
NCR05140	El Paso Electric Company	WECC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR12452	Eleven-Mile Solar Center, LLC	WECC			GO											
NCR05143	Elk Hills Power, LLC	WECC			GO	GOP										
NCR11531	Energia Sierra Juarez, S. de R.L. de C.V.	WECC			GO	GOP										
NCR11568	Energy Keepers Incorporated	WECC			GO	GOP										
NCR00209	Energy Northwest - Columbia	WECC			GO	GOP										
NCR00250	Energy Northwest - Energy/Business Services	WECC			GO	GOP										
NCR12471	Escalante Solar, LLC	WECC			GO											
NCR05153	Eugene Water & Electric Board	WECC		DP	GO	GOP							TO		TP	
NCR05154	Fall River Rural Electric/PNGC	WECC		DP												
NCR12370	Fall River Solar, LLC	WECC			GO											
NCR05155	Farmington Electric Utility System	WECC		DP	GO	GOP	PA / PC		RP				TO	TOP	TP	
NCR05162	Fountain Valley Power, LLC	WECC			GO	GOP										
NCR05164	Frederickson Power LP	WECC			GO	GOP										
NCR12348	Gaskell West	WECC			GO											
NCR12035	Gateway Energy Storage, LLC	WECC			GO	GOP										
NCR11149	GenOn Energy Inc.	WECC			GO	GOP										
NCR05171	Glacier Electric Cooperative, Inc.	WECC											TO			
NCR11703	Golden Fields Solar I, LLC	WECC			GO											

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR11089	Goshen Phase II LLC	WECC			GO	GOP										
NCR12254	Graphite Solar I, LLC	WECC			GO											
NCR05172	Grays Harbor County PUD	WECC		DP									TO		TP	
NCR02551	Grays Harbor Energy LLC	WECC			GO	GOP										
NCR11806	Great Valley Solar Portfolio Holdings, LLC	WECC			GO	GOP										
NCR11393	Gridforce Energy Management, LLC	WECC	BA											TOP		
NCR11783	GridLiance Holdco, LLC	WECC											TO			
NCR12070	Griffith Energy	WECC			GO	GOP										
NCR05177	Harbor Cogeneration Company, LLC	WECC			GO	GOP										
NCR12127	Harry Allen Solar Energy, LLC	WECC			GO											
NCR12187	HDSI, LLC	WECC			GO											
NCR05181	Hermiston Generating Co., L.P.	WECC			GO	GOP										
NCR05182	Hetch Hetchy Water and Power	WECC			GO	GOP							TO	TOP	TP	
NCR05232	HF Sinclair Puget Sound Refining LLC	WECC			GO	GOP										
NCR05184	High Desert Power Project, LLC	WECC			GO											
NCR11744	Hill County Electric Cooperative, Inc.	WECC		*DP-UFLS									TO			
NCR05190	Holy Cross Energy	WECC		DP									TO			
NCR12006	Horizon West Transmission, LLC	WECC											TO		TP	
NCR11443	Hudson Ranch Power I	WECC			GO	GOP										
NCR12126	Hunter Solar LLC	WECC			GO											
NCR05191	Idaho Power Company	WECC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR11103	Idaho Wind Partners 1, LLC	WECC			GO	GOP										
NCR05195	Imperial Irrigation District	WECC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR11427	Imperial Valley Solar 1, LLC	WECC			GO											
NCR11961	Imperial Valley Solar 2, LLC	WECC			GO											
NCR11858	Imperial Valley Solar 3, LLC	WECC			GO											
NCR05197	Indigo Generation, LLC	WECC			GO											
NCR12128	Invenergy Services-Dry Lake	WECC				GOP										
NCR12468	Invenergy Services-Rancho Seco II	WECC				GOP										
NCR12453	IP Oberon, LLC	WECC			GO											
NCR11421	Ivanpah Master Holdings LLC	WECC			GO	GOP										
NCR12318	Jackpot Solar Project, LLC	WECC			GO											
NCR12486	Jicarilla Apache Nation Power Authority	WECC											TO		TP	
NCR05503	Judith Gap Energy LLC	WECC			GO	GOP										
NCR05504	KES-Kingsburg, LP	WECC			GO	GOP										
NCR05505	Kings River Conservation District	WECC			GO	GOP										
NCR05206	Klickitat County PUD	WECC		DP									TO		TP	
NCR05507	La Plata Electric Association, Inc.	WECC		DP									TO			
NCR05213	Larkspur Energy, LLC	WECC			GO											
NCR05216	Lassen Municipal Utility District	WECC		*DP-UFLS												
NCR12265	LeConte Energy Storage, LLC	WECC			GO	GOP										
NCR12470	Lighthouse Renewables, Corp.	WECC			GO											
NCR12124	Little Bear Solar 1, LLC	WECC			GO											
NCR12125	Little Bear Solar 3, LLC	WECC			GO											
NCR12347	Lockhart Solar PV II, LLC (Lockhart II)	WECC			GO											
NCR12346	Lockhart Solar PV, LLC (Lockhart I)	WECC			GO											
NCR11076	Lone Star Transmission, LLC	WECC												TOP		
NCR05223	Los Angeles Department of Water and Power	WECC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR11467	Los Angeles Department of Water and Power - APEX	WECC			GO	GOP										
NCR05225	Lower Valley Energy	WECC		DP									TO		TP	
NCR10133	Luminant Energy Company, LLC	WECC				GOP										
NCR11849	Luz Solar Partners Ltd. IX	WECC			GO	GOP										
NCR05229	Malacha Hydro Limited Partnership GOP	WECC			GO	GOP										
NCR11942	Malaga Power, LLC	WECC			GO											
NCR11256	Mariposa Energy, LLC	WECC			GO											
NCR11360	MATL LLP	WECC											TO	TOP	TP	TSP
NCR12080	Maverick Solar 4, LLC	WECC			GO	GOP										
NCR12198	Maverick Solar 6, LLC	WECC			GO	GOP										
NCR12199	Maverick Solar 7, LLC	WECC			GO	GOP										
NCR12079	Maverick Solar, LLC	WECC			GO	GOP										
NCR11303	Meadow Creek Project Company LLC	WECC			GO	GOP										
NCR05234	Merced Irrigation District	WECC		DP	GO	GOP										
NCR05235	Mesquite Power LLC	WECC			GO											
NCR11273	Mesquite Solar 1, LLC	WECC			GO	GOP										
NCR11672	Mesquite Solar 2, LLC	WECC			GO	GOP										
NCR11661	Mesquite Solar 3, LLC	WECC			GO	GOP										
NCR05236	Metropolitan Water District of Southern California	WECC							RP				TO		TP	
NCR11943	Midway Peaking, LLC	WECC			GO											
NCR05510	Midway Sunset Cogeneration Company	WECC			GO	GOP										
NCR12117	Milford Solar I	WECC			GO											
NCR10394	Milford Wind Corridor Phase I, LLC	WECC			GO	GOP										
NCR11990	Miller Bros. Solar, LLC	WECC				GOP										
NCR11697	Moapa Southern Paiute Solar, LLC	WECC			GO											
NCR05244	Modesto Irrigation District	WECC		DP	GO	GOP			RP				TO	TOP	TP	
NCR11463	Mojave 16/17/18, LLC	WECC			GO	GOP										
NCR11653	Mojave 3/4/5	WECC			GO	GOP										
NCR01015	Montana-Dakota Utilities Company	WECC		DP					RP				TO			
NCR11328	Mountain Air Projects, LLC	WECC			GO	GOP										
NCR12046	Mountain Breeze Wind, LLC	WECC			GO	GOP										
NCR11912	MRP - San Joaquin Energy, LLC	WECC			GO											
NCR05254	Mt. Poso Cogeneration Co.	WECC			GO	GOP										
NCR12320	MTSun Solar LLC	WECC			GO											
NCR05256	Municipal Energy Agency of Nebraska	WECC							RP							
NCR05257	Murray City Corporation	WECC		DP												
NCR11261	Mustang Hills, LLC	WECC			GO	GOP										
NCR11518	NAES - Panoche	WECC				GOP										
NCR11936	NAES CalPeak Panoche	WECC				GOP										
NCR11937	NAES CalPeak Power Vaca-Dixon	WECC				GOP										
NCR12159	NAES Corporation - Aquamarine	WECC			GO	GOP										
NCR12459	NAES Corporation - Chestnut	WECC			GO	GOP										
NCR11306	NAES Corporation - Ferndale	WECC				GOP										
NCR11104	NAES Corporation - Harvest Wind Project	WECC			GO	GOP										
NCR11632	NAES Corporation - High Desert Power Project	WECC				GOP										
NCR11929	NAES Corporation - Kern River	WECC			GO	GOP										
NCR11589	NAES Corporation - Plains End	WECC				GOP										
NCR11590	NAES Corporation - Rathdrum Power	WECC				GOP										



NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR12460	NAES Corporation - Solar Blue	WECC			GO	GOP										
NCR11928	NAES Corporation - Sycamore	WECC			GO	GOP										
NCR00418	NAES Corporation - White Creek Wind 1	WECC			GO	GOP										
NCR11938	NAES Malaga Power	WECC				GOP										
NCR11939	NAES Midway Peaking	WECC				GOP										
NCR11913	NAES San Joaquin	WECC				GOP										
NCR05515	National Nuclear Security Administration - Los Alamos National Laboratory	WECC		DP									TO			
NCR10260	National Nuclear Security Administration and Sandia National Laboratories -	WECC		*DP-UFLS												
NCR00257	NaturEner Power Watch, LLC	WECC			GO	GOP										
NCR10395	NaturEner Power Watch, LLC (GWA)	WECC	BA													
NCR11272	NaturEner Rim Rock Energy, LLC	WECC			GO	GOP										
NCR11382	NaturEner Wind Watch, LLC	WECC	BA													
NCR10044	Nevada Cogeneration Associates #1	WECC			GO	GOP										
NCR00377	Nevada Gold Energy LLC	WECC			GO	GOP							TO			
NCR11469	Nevada Irrigation District	WECC			GO	GOP										
NCR11395	Nevada Solar One, LLC	WECC			GO	GOP										
NCR05263	New Harquahala Generating Company, LLC	WECC			GO	GOP										
NCR02552	New Harquahala Generating Company, LLC - HGBA	WECC	BA													
NCR10019	NextEra Energy Resources, LLC	WECC			GO	GOP										
NCR11927	North Rosamond Solar, LLC	WECC			GO											
NCR12368	North Valley Geothermal	WECC			GO	GOP										
NCR05278	Northern California Power Agency	WECC			GO	GOP										
NCR05280	Northern Wasco County People's Utility District	WECC		DP									TO		TP	
NCR05281	Northwest Power Pool Reserve Sharing Group	WECC								RSG						
NCR05282	NorthWestern Corporation	WECC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR12096	NovaSource Power Services	WECC				GOP										
NCR11854	NRG Energy Services, LLC - Carlsbad	WECC				GOP										
NCR11148	NRG Energy Services, LLC - Walnut Creek	WECC				GOP										
NCR05141	NRG Energy Services, LLC - El Segundo	WECC				GOP										
NCR11150	NRG Energy Services, LLC - Marsh Landing	WECC				GOP										
NCR05261	NV Energy	WECC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR12355	Onward Energy ROC LLC	WECC				GOP										
NCR11352	Orange Grove Energy, L.P.	WECC			GO	GOP										
NCR05287	Oregon Trail Electric Consumers Cooperative	WECC											TO			
NCR12161	Origis Services, LLC	WECC				GOP										
NCR11521	ORNI 39 LLC	WECC			GO	GOP										
NCR11881	ORNI 41	WECC			GO	GOP										
NCR11839	ORNI 43 LLC	WECC			GO	GOP										
NCR11412	ORNI 47 LLC	WECC			GO	GOP										
NCR10396	Otay Mesa Energy Center, LLC	WECC			GO	GOP										
NCR05299	Pacific Gas and Electric Company	WECC		DP	GO	GOP			RP				TO	TOP	TP	
NCR11442	Pacific Wind	WECC			GO	GOP										
NCR11279	Pacific-Ultrapower Chinese Station	WECC			GO	GOP										
NCR05304	PacifiCorp	WECC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR11344	Palouse Wind, LLC	WECC			GO											
NCR10347	Panoche Energy Center LLC	WECC			GO											
NCR12268	Panorama Wind, LLC	WECC			GO	GOP										
NCR11808	Pattern Operators LP	WECC			GO	GOP										

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR05315	Pend Oreille County Public Utility District No. 1	WECC		DP	GO	GOP							TO		TP	
NCR11347	Pinyon Pines Wind I, LLC	WECC			GO	GOP										
NCR11350	Pinyon Pines Wind II, LLC	WECC			GO	GOP										
NCR11652	Pio Pico Energy Center, LLC	WECC			GO	GOP										
NCR12119	Pioneer Solar (CO), LLC	WECC			GO											
NCR11420	Placer County Water Agency	WECC			GO	GOP										
NCR00082	Plains End II, LLC	WECC			GO											
NCR05320	Plains End, LLC	WECC			GO											
NCR05321	Platte River Power Authority	WECC			GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR05322	Plumas-Sierra Rural Electric Cooperative	WECC		*DP-UFLS												
NCR05325	Portland General Electric Company	WECC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR11745	Powder River Energy Corporation	WECC		DP									TO			
NCR05332	Provo City Corporation	WECC		DP												
NCR05521	Public Service Company of Colorado	WECC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR05333	Public Service Company of New Mexico	WECC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR05340	Public Utility District #1 of Lewis County	WECC			GO	GOP							TO		TP	
NCR05337	Public Utility District No. 1 of Benton County	WECC		DP	GO											
NCR05338	Public Utility District No. 1 of Chelan County	WECC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	
NCR05334	Public Utility District No. 1 of Clark County	WECC		DP	GO	GOP							TO		TP	
NCR05286	Public Utility District No. 1 of Okanogan County	WECC		DP									TO			
NCR05335	Public Utility District No. 1 of Snohomish County	WECC		DP	GO	GOP							TO	TOP	TP	
NCR05522	Public Utility District No. 1 of Whatcom County	WECC											TO		TP	
NCR05342	Public Utility District No. 2 of Grant County, Washington	WECC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	
NCR05343	PUD No. 1 of Douglas County	WECC	BA	DP	GO	GOP			RP				TO	TOP	TP	
NCR05344	Puget Sound Energy, Inc.	WECC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR12507	QE Solar, LLC	WECC				GOP										
NCR12335	Rabbitbrush Solar, LLC	WECC			GO	GOP										
NCR12120	Rancho Seco Solar II, LLC	WECC			GO											
NCR05349	Rathdrum Power, LLC	WECC			GO											
NCR11951	RE Mustang Holdings LLC	WECC			GO											
NCR11956	RE Astoria 2 LLC	WECC			GO											
NCR11955	RE Astoria LLC	WECC			GO											
NCR12063	RE Mustang Two LLC	WECC			GO											
NCR12224	RE Slate 1, LLC	WECC			GO											
NCR11630	Red Horse III, LLC	WECC			GO											
NCR11578	Red Horse Wind 2, LLC	WECC			GO											
NCR11277	Rio Bravo Fresno	WECC			GO	GOP										
NCR11296	Rio Bravo Rocklin	WECC			GO	GOP										
NCR12501	RNWBL, LLC	WECC				GOP										
NCR12479	Rocket Solar, LLC	WECC			GO											
NCR11214	Rockland Wind Farm LLC	WECC			GO	GOP										
NCR10197	Rocky Mountain Power, LLC	WECC			GO											
NCR05367	Rosebud Operating Services, Inc.	WECC				GOP										
NCR11353	Russell City Energy Company, LLC	WECC			GO	GOP										
NCR11605	RWE Clean Energy Asset Holdings, Inc.	WECC			GO	GOP										
NCR12482	RWE Clean Energy, LLC	WECC			GO	GOP										
NCR05368	Sacramento Municipal Utility District	WECC		DP	GO	GOP			RP				TO	TOP	TP	TSP
NCR12350	Sagebrush ESS, LLC	WECC			GO											

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR05369	Saguaro Power Company, A Limited Partnership	WECC			GO	GOP										
NCR05372	Salt River Project Agricultural Improvement and Power District	WECC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR05377	San Diego Gas & Electric	WECC		DP	GO	GOP			RP				TO	TOP	TP	
NCR05524	San Luis Valley Rural Electric Cooperative, Inc.	WECC		DP												
NCR12267	SE Athos I, LLC	WECC			GO											
NCR12200	SE Athos II, LLC	WECC			GO											
NCR05382	Seattle City Light	WECC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	
NCR11307	Sentinel Energy Center, LLC	WECC			GO	GOP										
NCR11170	Shepherds Flat Wind, LLC	WECC			GO	GOP										
NCR11232	Shiloh III Wind Project, LLC.	WECC			GO	GOP										
NCR11450	Shiloh IV Wind Project, LLC	WECC			GO	GOP										
NCR10292	Shiloh Wind Project 2, LLC	WECC			GO	GOP										
NCR12519	Sierra Estrella Energy Storage, LLC	WECC			GO											
NCR05389	Sierra Pacific Industries	WECC			GO	GOP										
NCR12173	Sigurd Solar LLC	WECC			GO											
NCR05392	Silicon Valley Power	WECC		DP	GO	GOP							TO	TOP	TP	
NCR12522	SJS 1 Storage, LLC	WECC			GO											
NCR12480	Solar Partners XI, LLC	WECC			GO											
NCR11549	Solar Star California XIII, LLC	WECC			GO											
NCR11424	Solar Star California XIX, LLC	WECC			GO	GOP										
NCR11704	Solar Star California XLI, LLC	WECC			GO											
NCR11432	Solar Star California XX, LLC	WECC			GO	GOP										
NCR11685	SOLV Energy, LLC	WECC				GOP										
NCR12324	Sonoran West Solar Holdings, LLC	WECC			GO											
NCR11054	South Feather Power Project	WECC			GO	GOP										
NCR05398	Southern California Edison Company	WECC		DP	GO	GOP			RP				TO	TOP	TP	
NCR11396	Southern Power Company	WECC			GO	GOP										
NCR01143	Southwest Power Pool, Inc.	WECC					PA / PC	RC								TSP
NCR11557	SPI Anderson 2	WECC			GO	GOP										
NCR05529	Spindle Hill Energy LLC	WECC			GO	GOP										
NCR12252	Spring Canyon Energy II LLC	WECC			GO	GOP										
NCR12253	Spring Canyon Energy III LLC	WECC			GO	GOP										
NCR11529	Spring Canyon Energy LLC	WECC			GO	GOP										
NCR12028	Steamboat Hills, LLC	WECC			GO	GOP										
NCR12508	Steel Solar, LLC	WECC			GO											
NCR12425	Strauss Wind, LLC	WECC			GO	GOP										
NCR12319	Sun Mountain Solar 1, LLC	WECC			GO											
NCR12164	Sun Streams 2	WECC			GO											
NCR12463	Sun Streams PVS, LLC	WECC			GO											
NCR12011	Sun Streams, LLC	WECC			GO											
NCR05413	Sunnyside Cogeneration Associates - GO	WECC			GO	GOP										
NCR11471	Sunrise Power Company, LLC	WECC			GO	GOP										
NCR12002	Sunshine Valley, LLC	WECC			GO											
NCR12475	Superstition Energy Storage, LLC	WECC			GO											
NCR05029	SWG Arapahoe, LLC	WECC			GO	GOP										
NCR05329	Talen Montana, LLC	WECC			GO	GOP										
NCR11904	Techren Solar I LLC	WECC			GO											
NCR05420	Termoelectrica de Mexicali, S. de R.L. de C.V.	WECC			GO	GOP										

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR05043	Terra-Gen Dixie Valley, LLC	WECC			GO	GOP										
NCR12142	Terra-Gen Operating Company LLC	WECC			GO	GOP										
NCR10345	Three Buttes Windpower LLC	WECC			GO											
NCR11737	Three Peaks Power LLC	WECC			GO											
NCR11594	Tonopah Solar Energy, LLC	WECC			GO	GOP										
NCR11090	Top of the World	WECC			GO											
NCR11342	Topaz Solar Farms LLC	WECC			GO	GOP										
NCR12222	Townsite Solar, LLC	WECC			GO											
NCR03036	Trans Bay Cable LLC	WECC											TO	TOP	TP	
NCR05533	TransAlta Centralia Generation, LLC	WECC			GO	GOP										
NCR11457	TransAlta Wyoming Wind LLC	WECC			GO	GOP										
NCR05430	Transmission Agency of Northern California	WECC											TO		TP	TSP
NCR05431	Tri-Dam Project of the Oakdale and South San Joaquin Irrigation Districts	WECC			GO	GOP										
NCR10030	Tri-State Generation and Transmission Association, Inc. - Reliability	WECC			GO	GOP			RP				TO	TOP	TP	TSP
NCR05434	Tucson Electric Power	WECC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR12488	Tumbleweed Energy Storage, LLC	WECC			GO	GOP										
NCR05435	Turlock Irrigation District	WECC	BA	DP	GO	GOP	PA / PC		RP				TO	TOP	TP	TSP
NCR05436	Umatilla Electric Cooperative Assoc.	WECC		DP									TO		TP	
NCR05441	US Bureau of Reclamation	WECC			GO	GOP							TO			
NCR05442	US Department Of Energy Richland Operations Office	WECC											TO			
NCR05444	US Navy, Naval Base Kitsap	WECC											TO			
NCR05537	USACE - Omaha District	WECC			GO	GOP										
NCR05538	USACE - Portland District	WECC			GO	GOP										
NCR05539	USACE - Seattle District	WECC			GO	GOP										
NCR05540	USACE - Walla Walla District	WECC			GO	GOP										
NCR05445	Utah Associated Municipal Power Systems	WECC			GO	GOP			RP							
NCR05446	Utah Municipal Power Agency	WECC			GO	GOP										
NCR12050	Utah Red Hills Renewable Park, LLC	WECC			GO											
NCR10216	Valencia Power, LLC	WECC			GO	GOP										
NCR11959	Valentine Solar, LLC	WECC			GO	GOP										
NCR05447	Valley Electric Association, Inc	WECC		DP									TO	TOP	TP	
NCR11078	Vantage Wind Energy LLC	WECC			GO	GOP										
NCR12512	Vikings Energy Farm LLC	WECC			GO											
NCR00200	Vistra Corp.	WECC			GO											
NCR11905	Voyager II	WECC			GO	GOP										
NCR11989	Voyager Wind I, LLC	WECC			GO	GOP										
NCR12372	Warren Substation	WECC											TO			
NCR05454	Watson Cogeneration Company	WECC			GO	GOP										
NCR05456	Wellhead Power Panoche, LLC	WECC			GO											
NCR05457	Wellhead Services, Inc	WECC				GOP										
NCR05461	Western Area Power Administration - Desert Southwest Region	WECC	BA				PA / PC						TO	TOP	TP	TSP
NCR05464	Western Area Power Administration - Rocky Mountain Region	WECC	BA				PA / PC						TO	TOP	TP	TSP
NCR05465	Western Area Power Administration - Sierra Nevada Region	WECC					PA / PC		RP				TO	TOP	TP	TSP
NCR05467	Western Area Power Administration - Upper Great Plains Region	WECC	BA						RP				TO	TOP	TP	
NCR11825	Western Frequency Response Sharing Group	WECC									FRSG					
NCR11708	Western Interconnect LLC	WECC											TO			TSP
NCR11445	Western Power and Steam II LLC	WECC			GO	GOP										
NCR11458	WestRock CP, LLC	WECC			GO	GOP										

NCR ID#	Entity Name	Regional Compliance Enforcement Authority	BA	DP	GO	GOP	PA/PC	RC	RP	RSG	FRSG**	RRSG***	TO	TOP	TP	TSP
NCR12353	WGP Redwood Holdings, LLC	WECC			GO	GOP										
NCR12013	Willow Creek Energy LLC	WECC			GO	GOP										
NCR11906	Willow Springs, LLC	WECC			GO											
NCR12116	Wind Wall 1 LLC	WECC			GO	GOP										
NCR10350	Windy Flats Partners, LLC	WECC			GO	GOP										
NCR10047	Woodland Biomass Power LLC	WECC			GO	GOP										
NCR12001	Wright Solar Park LLC	WECC			GO											
NCR11428	Yuba County Water Agency	WECC			GO	GOP										

\* Distribution Provider UFLS Only

\*\* Frequency Response Sharing Group

\*\*\* Regulation Reserve Sharing Group