

Date	FERC Docket & Regulatory Filings	Region	Registered Entity	Total Penalty (\$)	NERC Violation ID	Reliability Standard	Requirement	Violation Risk Factors	Violation Severity Level
12/30/2014	<a href="#">NP15-18-000</a> <a href="#">View Filing&gt;&gt;</a> <a href="#">View A-1 Spreadsheet&gt;&gt;</a> <a href="#">View A-2 Spreadsheet&gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	RFC	(RFC_URE1)	\$0	RFC2013012741	<a href="#">CIP-003-3</a>	R6	Lower	Severe
		SERC	(SERC_URE1)	\$29,000	SERC2013011812	<a href="#">CIP-006-3c</a>	R4	Medium	Severe
		SERC	(SERC_URE1)		SERC2013012154	<a href="#">CIP-006-3c</a>	R2; R2.2	Medium	Severe
		SERC	(SERC_URE1)		SERC2013012155	<a href="#">CIP-005-1</a>	R1; R1.5	Medium	Severe
		SERC	(SERC_URE1)		SERC2013012520	<a href="#">CIP-005-3a</a>	R1	Medium	Severe
		SERC	(SERC_URE1)		SERC2013012994	<a href="#">CIP-004-3</a>	R4	Lower	Lower
		SERC	(SERC_URE1)		SERC2014013403	<a href="#">CIP-002-1</a>	R3	High	Severe
		SERC	(SERC_URE2)		SERC2011007222	<a href="#">CIP-007-1</a>	R6	Lower	Severe
		SERC	(SERC_URE2)		SERC2011007238	<a href="#">CIP-004-3</a>	R4	Lower	Severe
		SERC	(SERC_URE2)		SERC2012009709	<a href="#">CIP-002-1</a>	R1	Medium	Severe
		SERC	(SERC_URE2)		SERC2012009712	<a href="#">CIP-003-1</a>	R2	Medium	Lower
		SERC	(SERC_URE2)		SERC2012009713	<a href="#">CIP-005-1</a>	R1; R1.5	Medium	Severe
		SERC	(SERC_URE2)		SERC2012009714	<a href="#">CIP-005-1</a>	R3; R3.2	Medium	Severe
		SERC	(SERC_URE2)		SERC2012009715	<a href="#">CIP-006-1</a>	R1; R1.8	Medium	Severe
		SERC	(SERC_URE2)		SERC2012009716	<a href="#">CIP-007-1</a>	R2; R2.2	Medium	Severe
		SERC	(SERC_URE2)		SERC2012009717	<a href="#">CIP-007-1</a>	R4	Medium	Severe
		SERC	(SERC_URE2)		SERC2012009718	<a href="#">CIP-007-1</a>	R3	Lower	Severe
		SERC	(SERC_URE2)		SERC2012009719	<a href="#">CIP-007-1</a>	R5; R5.3	Medium	Severe
		SERC	(SERC_URE2)		SERC2012009721	<a href="#">CIP-007-1</a>	R8; R8.2	Lower	Severe
		SERC	(SERC_URE2)		SERC2012009722	<a href="#">CIP-006-3a</a>	R1; R1.7	Lower	Severe
		SERC	(SERC_URE3)	\$30,000	SERC2013012824	<a href="#">CIP-004-3</a>	R4; R4.1	Lower	High
		SERC	(SERC_URE3)		SERC2013012825	<a href="#">CIP-007-3a</a>	R1	Medium	Severe
		SERC	(SERC_URE3)		SERC2013012826	<a href="#">CIP-007-3a</a>	R6	Lower	Severe
		SERC	(SERC_URE3)		SERC2013012941	<a href="#">CIP-005-3a</a>	R1; R1.5	Medium	Severe
		SERC	(SERC_URE3)		SERC2013012942	<a href="#">CIP-005-3a</a>	R2; R2.1; R2.4; R2.5	Medium	Severe
		SERC	(SERC_URE3)		SERC2014013882	<a href="#">CIP-004-3a</a>	R4; R4.1; R4.2	Lower	High
		WECC	(WECC_URE1)	\$65,000	WECC2013011963	<a href="#">CIP-005-3</a>	R2	Medium	Severe
		WECC	(WECC_URE1)		WECC2013011964	<a href="#">CIP-005-3a</a>	R4	Medium	Severe
		WECC	(WECC_URE1)		WECC2013011875	<a href="#">CIP-006-1</a>	R1	Medium	Severe
		WECC	(WECC_URE1)		WECC2012011493	<a href="#">CIP-007-1</a>	R2	Medium	Severe
WECC	(WECC_URE1)		WECC2012011494	<a href="#">CIP-007-1</a>	R3	Lower	Severe		
WECC	(WECC_URE1)		WECC2013012008	<a href="#">CIP-007-3a</a>	R5	Lower	Severe		

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		WECC	(WECC_URE2)	\$0	WECC2014013727	<a href="#">CIP-005-3a</a>	R1; R1.5	Medium	Severe
		WECC	(WECC_URE3)	\$0	WECC2014013752	<a href="#">CIP-005-3a</a>	R1; R1.5	Medium	Severe
12/30/2014	NP15-13-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	RFC	Unidentified Registered Entity	\$0	RFC2013013030	<a href="#">CIP-007-3a</a>	R3	Lower	Severe
12/30/2014	NP15-15-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	SERC WECC	Unidentified Registered Entity	\$120,000	SERC2013012483	<a href="#">CIP-002-1</a>	R3	High	Severe
					SERC2014013371	<a href="#">CIP-003-3</a>	R6	Lower	Severe
					SERC2013012237	<a href="#">CIP-004-3</a>	R4	Lower	High
					SERC2013011770	<a href="#">CIP-005-1</a>	R1.1	Medium	Severe
					SERC2013012498	<a href="#">CIP-005-1</a>	R1.1	Medium	Severe
					SERC2013012488	<a href="#">CIP-005-1</a>	R1.5	Medium	Severe
					SERC2013012496	<a href="#">CIP-005-1</a>	R1.5	Medium	Severe
					SERC2013011754	<a href="#">CIP-005-2</a>	R1.5	Medium	Severe
					SERC2013012240	<a href="#">CIP-005-3a</a>	R3	Medium	Severe
					SERC2013011761	<a href="#">CIP-006-1</a>	R1.1	Medium	Severe
					SERC2013012242	<a href="#">CIP-006-1</a>	R1.8	Medium	Severe
					SERC2013012244	<a href="#">CIP-006-1</a>	R1.8	Medium	Severe
					SERC2013012490	<a href="#">CIP-006-1</a>	R3	Medium	Severe
					SERC2013012495	<a href="#">CIP-006-1</a>	R3	Medium	Severe
					SERC2013011763	<a href="#">CIP-006-3c</a>	R5	Medium	Severe
					SERC2013012486	<a href="#">CIP-007-1</a>	R1	Medium	Severe
					SERC2013012487	<a href="#">CIP-007-1</a>	R2.2	Medium	Severe
					SERC2013012532	<a href="#">CIP-007-1</a>	R3	Lower	Severe
SERC2013012243	<a href="#">CIP-007-1</a>	R6	Medium	Severe					
SERC2013012489	<a href="#">CIP-007-1</a>	R8.3	Medium	Severe					
SERC2013012491	<a href="#">CIP-009-1</a>	R1	Medium	Severe					
12/30/2014	NP15-17-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	WECC	Unidentified Registered Entity	\$120,000	WECC2013012030	<a href="#">CIP-002-1</a>	R3; R3.2	Lower	Severe
					WECC2013012032	<a href="#">CIP-004-1</a>	R2; R2.2	Medium	Severe
					WECC2013012685	<a href="#">CIP-004-1</a>	R4; R4.1	Lower	High
					WECC2013012326	<a href="#">CIP-005-1</a>	R1; R1.5	Medium	Severe
					WECC2013012935	<a href="#">CIP-005-1</a>	R2; R2.1	Medium	Severe
					WECC2013012937	<a href="#">CIP-005-3a</a>	R4	Medium	Severe
					WECC2013012327	<a href="#">CIP-006-1</a>	R1; R1.8	Medium	Severe
					WECC2013012946	<a href="#">CIP-006-3c</a>	R8	Medium	Severe
					WECC2013012939	<a href="#">CIP-007-1</a>	R5; R5.2	Lower	Severe
					WECC2013012940	<a href="#">CIP-007-1</a>	R6; R6.1	Medium	Severe
					WECC2013012938	<a href="#">CIP-007-3a</a>	R8; R8.4	Medium	Severe

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11/25/2014	<a href="#">NP15-11-000</a> <a href="#">View Filing &gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	RFC	Unidentified Registered Entity	\$75,000	WECC2013012033	<a href="#">CIP-009-1</a>	R1	Medium	Severe
					WECC2013012034	<a href="#">CIP-009-2</a>	R4	Lower	Severe
					RFC2013012304	<a href="#">CIP-002-1</a>	R3	High	High
					RFC2012010916	<a href="#">CIP-003-3</a>	R2	Medium	Severe
					RFC2012010917	<a href="#">CIP-003-3</a>	R3	Lower	Severe
					RFC2012010328	<a href="#">CIP-004-3</a>	R2	Lower	Severe
					RFC2012010918	<a href="#">CIP-005-1</a>	R1.5	Medium	Severe
					RFC2012011084	<a href="#">CIP-005-3a</a>	R1.5	Medium	Severe
					RFC2012011370	<a href="#">CIP-005-2</a>	R1.5	Medium	Severe
					RFC2013012307	<a href="#">CIP-005-1</a>	R2	Medium	Severe
					RFC2013012318	<a href="#">CIP-005-1</a>	R4	Medium	Severe
					RFC2013012319	<a href="#">CIP-006-2</a>	R1	Medium	Severe
					RFC2012011366	<a href="#">CIP-006-2</a>	R2.2	Medium	Severe
					RFC2012011373	<a href="#">CIP-007-1</a>	R1	Medium	Severe
					RFC2012011372	<a href="#">CIP-007-2a</a>	R2	Medium	Severe
					RFC2012010919	<a href="#">CIP-007-2a</a>	R5	Lower	Severe
					RFC2013012439	<a href="#">CIP-007-2a</a>	R6	Lower	Severe
					RFC2012011371	<a href="#">CIP-007-2a</a>	R8	Medium	Severe
					RFC2013012320	<a href="#">CIP-008-1</a>	R1	Lower	Severe
					RFC2013012321	<a href="#">CIP-009-1</a>	R1	Medium	Severe
RFC2013012463	<a href="#">CIP-009-1</a>	R5	Lower	Severe					
11/25/2014	<a href="#">NP15-10-000</a> <a href="#">View Filing &gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	WECC	Unidentified Registered Entity	\$150,000	WECC2013012579	<a href="#">CIP-002-2</a>	R3; R3.3	High	Severe
					WECC2013012308	<a href="#">CIP-005-3a</a>	R1; R1.5	Medium	Severe
					WECC2013012582	<a href="#">CIP-007-3a</a>	R7; R7.1	Lower	Severe
					WECC2013012583	<a href="#">CIP-007-3a</a>	R8; R8.2	Medium	Severe
11/25/2014	<a href="#">NP15-9-000</a> <a href="#">View Filing &gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	MRO	Unidentified Registered Entity	\$150,000	MRO2012009882	<a href="#">CIP-003-2</a>	R5; R5.1.1; R5.1.2; R5.2; R5.3	Lower	Severe
					MRO201100289	<a href="#">CIP-003-1</a>	R6	Lower	Severe
					MRO201100323	<a href="#">CIP-004-2</a>	R3; R3.1; R3.2	Medium	High
					MRO201100322	<a href="#">CIP-004-1</a>	R4; R4.1	Lower	High
					MRO2012010698	<a href="#">CIP-004-3</a>	R4; R4.1; R4.2	Lower	High
					MRO201100287	<a href="#">CIP-005-1</a>	R1; R1.5	Medium	Severe
MRO2012009900	<a href="#">CIP-005-1</a>	R1; R1.5; R1.6	Medium	Severe					

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					MRO201100288	CIP-005-1	R5; R5.1; R5.2	Lower	Severe
					MRO201100325	CIP-006-1	R1; R1.2; R1.3; R1.7	Medium	Severe
					MRO2012009899	CIP-006-1	R1; R1.8	Lower	Severe
					MRO2012011501	CIP-006-1	R1; R1.8	Lower	Severe
					SPP2012010242	CIP-006-1	R1; R1.8	Lower	Severe
					MRO201100290	CIP-006-3a	R2; R2.1; R2.2	Medium	Severe
					MRO2012010967	CIP-006-3c	R4	Medium	Severe
					MRO2012010966	CIP-006-3c	R6	Lower	Severe
					SPP2012010241	CIP-007-1	R3	Lower	Severe
					MRO201000232	CIP-007-1	R5; R5.2.3	Medium	Severe
					MRO2012009992	CIP-007-1	R5; R5.3.1; R5.3.2; R5.3.3	Medium	Severe
					MRO201100292	CIP-007-1	R7; R7.1; R7.2	Lower	Severe
10/30/2014	NP15-6-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	TRE	Unidentified Registered Entity	\$106,000	TRE2013012232	CIP-002-1	R2	High	Severe
					TRE2013012261	CIP-002-1	R3; R3.1; R3.2	High	Severe
					TRE2013012233	CIP-003-1	R4; R4.3	Medium	Severe
					TRE2012011153	CIP-003-1	R5; R5.1; R5.2; R5.3	Lower	Severe
					TRE2012011159	CIP-004-1	R4; R4.1	Lower	Moderate
					TRE2013012235	CIP-005-1	R1; R1.4; R1.6	Medium	Moderate
					TRE2012011177	CIP-005-3	R5; R5.2	Lower	Lower
					TRE2013012262	CIP-006-1	R3	Medium	Moderate
					TRE2013012624	CIP-006-3c	R5	Medium	Severe
					TRE2013012625	CIP-006-3c	R6	Lower	Severe
					TRE2012011178	CIP-007-1	R1; R1.1; R1.2; R1.3	Medium	High
					TRE2013012970	CIP-007-3a	R1; R1.2; R1.3	Medium	Severe
					TRE2013012968	CIP-007-1	R2; R2.1	Medium	Severe
					TRE2012011179	CIP-007-3a	R3; R3.1; R3.2	Lower	Severe
					TRE2013012971	CIP-007-3a	R3; R3.2	Lower	Severe
					TRE2012010877	CIP-007-1	R4	Medium	Moderate
					TRE2013012972	CIP-007-3a	R4; R4.2	Medium	Severe
					TRE2013012234	CIP-007-1	R5; R5.1; R5.2; R5.3.3	Medium	Severe
					TRE2012011180	CIP-007-2a	R9	Lower	High
					TRE2012011181	CIP-008-3	R1; R1.2	Lower	Moderate
10/30/2014	NP15-5-000 <a href="#">View Filing &gt;&gt;</a>	SPP	Unidentified Registered Entity	\$45,000	SPP2013011798	CIP-005-1	R1	Medium	Severe
					SPP2013011799	CIP-005-1	R2	Medium	Severe

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	<a href="#">View Order &gt;&gt;</a>				SPP2013011800	<a href="#">CIP-005-1</a>	R3	Medium	Severe
					SPP2013011801	<a href="#">CIP-005-1</a>	R4	Medium	Severe
					SPP2013011802	<a href="#">CIP-006-1</a>	R1	Medium	Severe
					SPP2013011804	<a href="#">CIP-007-1</a>	R1	Medium	Severe
					SPP2013011805	<a href="#">CIP-007-1</a>	R2	Medium	Severe
					SPP2013011806	<a href="#">CIP-007-1</a>	R3	Lower	Severe
					SPP2013011807	<a href="#">CIP-007-1</a>	R5	Lower	Severe
					SPP2013011808	<a href="#">CIP-009-1</a>	R1	Medium	Severe
					SPP2013011809	<a href="#">CIP-007-3</a>	R8	Medium	Severe
					SPP2013012355	<a href="#">CIP-006-1</a>	R1	Medium	Severe
					SPP2013012356	<a href="#">CIP-004-3</a>	R4	Lower	High
					SPP2013012533	<a href="#">CIP-006-2a</a>	R6	Lower	Severe
					SPP2013012752	<a href="#">CIP-006-2</a>	R3	Medium	Severe
					SPP2013012841	<a href="#">CIP-003-1</a>	R6	Lower	Severe
					SPP2013012842	<a href="#">CIP-004-1</a>	R4	Lower	Moderate
					SPP2013012844	<a href="#">CIP-005-3a</a>	R5	Lower	Lower
					SPP2013012845	<a href="#">CIP-006-2</a>	R1	Medium	Severe
					SPP2013013117	<a href="#">CIP-006-2C</a>	R5	Medium	Severe
8/27/2014	NP14-48-000 <a href="#">View Filing&gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	RFC/NPCC	Unidentified Registered Entities	\$625,000	RFC2012010910	<a href="#">CIP-002-1</a>	R2	High	Severe
					NPCC2014013552	<a href="#">CIP-002-1</a>	R3	High	Severe
					RFC2012010911	<a href="#">CIP-002-1</a>	R3	High	Severe
					NPCC2014013553	<a href="#">CIP-002-1</a>	R4	Lower	Severe
					RFC2012010912	<a href="#">CIP-002-1</a>	R4	Lower	Severe
					NPCC2014013556	<a href="#">CIP-003-1</a>	R1; R1.3	Lower	Severe
					RFC2013011925	<a href="#">CIP-003-1</a>	R1; R1.3	Lower	Severe
					RFC2014013690	<a href="#">CIP-003-1</a>	R1; R1.3	Lower	Severe
					RFC2014013691	<a href="#">CIP-003-1</a>	R1; R1.3	Lower	Severe
					RFC2012010079	<a href="#">CIP-003-1</a>	R4	Medium	High
					RFC2012010086	<a href="#">CIP-003-1</a>	R4	Medium	High
					RFC2012010093	<a href="#">CIP-003-1</a>	R4	Medium	High
					RFC201100889	<a href="#">CIP-003-1</a>	R5	Lower	High
					RFC201100896	<a href="#">CIP-003-1</a>	R5	Lower	High
					RFC201100903	<a href="#">CIP-003-1</a>	R5	Lower	High
					NPCC2014013554	<a href="#">CIP-003-1</a>	R6	Lower	Severe
					RFC2012010302	<a href="#">CIP-003-1</a>	R6	Lower	Severe
					RFC2013011966	<a href="#">CIP-003-1</a>	R6	Lower	Severe
					NPCC2014013550	<a href="#">CIP-004-1</a>	R3	Medium	Severe

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					RFC2012010303	<a href="#">CIP-004-1</a>	R3	Medium	Severe
					NPCC2014013549	<a href="#">CIP-004-1</a>	R4	Lower	Severe
					RFC2012010080	<a href="#">CIP-004-1</a>	R4	Lower	Severe
					RFC2012010087	<a href="#">CIP-004-1</a>	R4	Lower	Severe
					RFC2012010094	<a href="#">CIP-004-1</a>	R4	Lower	?
					RFC2012011364	<a href="#">CIP-004-3a</a>	R3	Medium	High
					RFC2014013316	<a href="#">CIP-004-3a</a>	R3	Medium	High
					NPCC2014013440	<a href="#">CIP-005-1</a>	R1	Medium	Severe
					RFC2012010305	<a href="#">CIP-005-1</a>	R1	Medium	Severe
					RFC2012010924	<a href="#">CIP-005-1</a>	R1	Medium	Severe
					RFC2013011967	<a href="#">CIP-005-1</a>	R1	Medium	Severe
					NPCC2014013551	<a href="#">CIP-005-1</a>	R2	Medium	Severe
					RFC201100890	<a href="#">CIP-005-1</a>	R2	Medium	Severe
					RFC201100897	<a href="#">CIP-005-1</a>	R2	Medium	Severe
					RFC201100904	<a href="#">CIP-005-1</a>	R2	Medium	Severe
					NPCC2014013548	<a href="#">CIP-005-1</a>	R3	Medium	Severe
					RFC201100891	<a href="#">CIP-005-1</a>	R3	Medium	Severe
					RFC201100898	<a href="#">CIP-005-1</a>	R3	Medium	Severe
					RFC201100905	<a href="#">CIP-005-1</a>	R3	Medium	Severe
					NPCC2014013541	<a href="#">CIP-005-1</a>	R4	Medium	Severe
					RFC2012010297	<a href="#">CIP-005-1</a>	R4	Medium	Severe
					RFC2012010311	<a href="#">CIP-005-1</a>	R4	Medium	Severe
					RFC2012010314	<a href="#">CIP-005-1</a>	R4	Medium	Severe
					NPCC2014013534	<a href="#">CIP-005-1</a>	R5	Lower	Severe
					RFC2012010298	<a href="#">CIP-005-1</a>	R5	Lower	Severe
					RFC2012010310	<a href="#">CIP-005-1</a>	R5	Lower	Severe
					RFC2012010315	<a href="#">CIP-005-1</a>	R5	Lower	Severe
					NPCC2014013535	<a href="#">CIP-006-2</a>	R2; R2.2	Medium	Severe
					RFC2014013703	<a href="#">CIP-006-2</a>	R2; R2.2	Medium	Severe
					RFC2014013708	<a href="#">CIP-006-2</a>	R2; R2.2	Medium	Severe
					RFC2014013709	<a href="#">CIP-006-2</a>	R2; R2.2	Medium	Severe
					NPCC2014013536	<a href="#">CIP-006-3c</a>	R1	Medium	Severe
					RFC201100892	<a href="#">CIP-006-3c</a>	R1	Medium	Severe
					RFC201100899	<a href="#">CIP-006-3c</a>	R1	Medium	Severe
					RFC201100906	<a href="#">CIP-006-3c</a>	R1	Medium	Severe
					RFC201100893	<a href="#">CIP-006-3c</a>	R6	Lower	Severe
					RFC201100900	<a href="#">CIP-006-3c</a>	R6	Lower	Severe

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					RFC201100907	<a href="#">CIP-006-3c</a>	R6	Lower	Severe
					NPCC2014013546	<a href="#">CIP-007-1</a>	R1	Medium	Severe
					RFC201100894	<a href="#">CIP-007-1</a>	R1	Medium	Severe
					RFC201100901	<a href="#">CIP-007-1</a>	R1	Medium	Severe
					RFC201100908	<a href="#">CIP-007-1</a>	R1	Medium	Severe
					NPCC2014013545	<a href="#">CIP-007-1</a>	R2	Medium	Severe
					RFC201100895	<a href="#">CIP-007-1</a>	R2	Medium	Severe
					RFC201100902	<a href="#">CIP-007-1</a>	R2	Medium	Severe
					RFC201100909	<a href="#">CIP-007-1</a>	R2	Medium	Severe
					NPCC2014013544	<a href="#">CIP-007-1</a>	R3	Lower	Severe
					RFC2012010081	<a href="#">CIP-007-1</a>	R3	Lower	Severe
					RFC2012010088	<a href="#">CIP-007-1</a>	R3	Lower	Severe
					RFC2012010095	<a href="#">CIP-007-1</a>	R3	Lower	Severe
					RFC2012010082	<a href="#">CIP-007-1</a>	R4	Medium	Severe
					RFC2012010089	<a href="#">CIP-007-1</a>	R4	Medium	Severe
					RFC2012010096	<a href="#">CIP-007-1</a>	R4	Medium	Severe
					NPCC2014013537	<a href="#">CIP-007-1</a>	R5	Lower	Severe
					RFC2012010083	<a href="#">CIP-007-1</a>	R5	Lower	Severe
					RFC2012010090	<a href="#">CIP-007-1</a>	R5	Lower	Severe
					RFC2012010097	<a href="#">CIP-007-1</a>	R5	Lower	Severe
					NPCC2014013543	<a href="#">CIP-007-1</a>	R6	Lower	Severe
					RFC2012010084	<a href="#">CIP-007-1</a>	R6	Lower	Severe
					RFC2012010091	<a href="#">CIP-007-1</a>	R6	Lower	Severe
					RFC2012010098	<a href="#">CIP-007-1</a>	R6	Lower	Severe
					NPCC2014013542	<a href="#">CIP-007-1</a>	R7	Lower	Severe
					RFC2012010921	<a href="#">CIP-007-1</a>	R7	Lower	Severe
					RFC2012010925	<a href="#">CIP-007-1</a>	R7	Lower	Severe
					RFC2013011968	<a href="#">CIP-007-1</a>	R7	Lower	Severe
					NPCC2014013540	<a href="#">CIP-007-1</a>	R8	Lower	Severe
					RFC2012010085	<a href="#">CIP-007-1</a>	R8	Lower	Severe
					RFC2012010092	<a href="#">CIP-007-1</a>	R8	Lower	Severe
					RFC2012010099	<a href="#">CIP-007-1</a>	R8	Lower	Severe
					NPCC2014013539	<a href="#">CIP-007-1</a>	R9	Lower	High
					RFC2012010301	<a href="#">CIP-007-1</a>	R9	Lower	High
					RFC2012010313	<a href="#">CIP-007-1</a>	R9	Lower	High
					RFC2012010317	<a href="#">CIP-007-1</a>	R9	Lower	High
					NPCC2014013538	<a href="#">CIP-008-1</a>	R1	Lower	High

Date	FERC Docket & Regulatory Filings	Region	Registered Entity	Total Penalty (\$)	NERC Violation ID	Reliability Standard	Requirement	Violation Risk Factors	Violation Severity Level
					RFC2012010907	<a href="#">CIP-008-1</a>	R1	Lower	High
					RFC2012010926	<a href="#">CIP-008-1</a>	R1	Lower	High
					RFC2013011970	<a href="#">CIP-008-1</a>	R1	Lower	High
					NPCC2014013547	<a href="#">CIP-009-1</a>	R1	Medium	Severe
					RFC2012010908	<a href="#">CIP-009-1</a>	R1	Medium	Severe
					RFC2012010927	<a href="#">CIP-009-1</a>	R1	Medium	Severe
					RFC2013011971	<a href="#">CIP-009-1</a>	R1	Medium	Severe
7/31/2014	NP14-46-000 <a href="#">View Filing&gt;&gt;</a> <a href="#">View Order&gt;&gt;</a>	RFC	Unidentified Registered Entities	\$50,000	RFC2012011444	<a href="#">CIP-003-2</a>	R6	Lower	Severe
					RFC2012011471	<a href="#">CIP-004-1</a>	R2; R2.1; R2.3	Lower	Severe
					RFC2012010995	<a href="#">CIP-004-1</a>	R2; R2.1; R2.3	Lower	Severe
					RFC2012010385	<a href="#">CIP-004-3</a>	R4; R4.2	Lower	Moderate
					RFC2012011443	<a href="#">CIP-004-3</a>	R4; R4.2	Lower	Moderate
					RFC2012011272	<a href="#">CIP-005-3a</a>	R1; R1.5	Medium	Severe
					RFC2012011061	<a href="#">CIP-005-3</a>	R2; R2.1; R2.2	Medium	Severe
					RFC2012011067	<a href="#">CIP-005-3</a>	R2; R2.1; R2.2	Medium	Severe
					RFC2012011123	<a href="#">CIP-005-3</a>	R2; R2.1; R2.2	Medium	Severe
					RFC2012011071	<a href="#">CIP-005-3</a>	R2; R2.1; R2.2	Medium	Severe
					RFC2012011273	<a href="#">CIP-005-3a</a>	R3; R3.2	Medium	Severe
					RFC2012011078	<a href="#">CIP-005-1</a>	R5; R5.2	Lower	Severe
					RFC2012011062	<a href="#">CIP-005-1</a>	R5; R5.2	Lower	Severe
					RFC2012011068	<a href="#">CIP-005-1</a>	R5; R5.2	Lower	Severe
					RFC2012011072	<a href="#">CIP-005-1</a>	R5; R5.2	Lower	Severe
					RFC2012010389	<a href="#">CIP-007-3a</a>	R1; R1.1	Medium	Severe
					RFC2012011063	<a href="#">CIP-007-1</a>	R1; R1.3	Lower	Severe
					RFC2012011069	<a href="#">CIP-007-1</a>	R1; R1.3	Lower	Severe
					RFC2012011073	<a href="#">CIP-007-1</a>	R1; R1.3	Lower	Severe
					RFC2012011099	<a href="#">CIP-007-1</a>	R1; R1.3	Lower	Severe
					RFC2012011101	<a href="#">CIP-007-1</a>	R5	Lower	Severe
					RFC2012011064	<a href="#">CIP-007-1</a>	R5	Lower	Severe
					RFC2012011074	<a href="#">CIP-007-1</a>	R5	Lower	Severe
					RFC2012011066	<a href="#">CIP-007-1</a>	R5	Lower	Severe
					RFC2012010386	<a href="#">CIP-007-1</a>	R5; R5.3.3	Lower	Severe
					RFC2012011077	<a href="#">CIP-007-3a</a>	R5; R5.3.3	Medium	Severe
					RFC2012011102	<a href="#">CIP-007-1</a>	R6	Lower	Severe
					RFC2012011065	<a href="#">CIP-007-1</a>	R6	Lower	Severe



Date	FERC Docket & Regulatory Filings	Region	Registered Entity	Total Penalty (\$)	NERC Violation ID	Reliability Standard	Requirement	Violation Risk Factors	Violation Severity Level
					RFC2012011070	<a href="#">CIP-007-1</a>	R6	Lower	Severe
					RFC2012011075	<a href="#">CIP-007-1</a>	R6	Lower	Severe
					RFC2012010387	<a href="#">CIP-007-1</a>	R6	Lower	Severe
					RFC2012011076	<a href="#">CIP-007-3a</a>	R7; R7.3	Lower	Severe
					RFC2012011265	<a href="#">CIP-009-1</a>	R1	Medium	Severe
					RFC2012011268	<a href="#">CIP-009-1</a>	R1	Medium	Severe
					RFC2012011270	<a href="#">CIP-009-1</a>	R1	Medium	Severe
7/31/2014	NP14-45-000 <a href="#">View Filing&gt;&gt;</a> <a href="#">View Errata&gt;&gt;</a> <a href="#">View Order&gt;&gt;</a>	WECC	Unidentified Registered Entity	\$180,000	WECC2013012390	<a href="#">CIP-004-1</a>	R3	Medium	Severe
					WECC2013012378	<a href="#">CIP-005-1</a>	R1; R1.5	Medium	Severe
					WECC2013012379	<a href="#">CIP-005-1</a>	R2; R2.4; R2.5	Medium	Severe
					WECC2013012437	<a href="#">CIP-006-1</a>	R1; R1.8	Medium	Severe
					WECC2013012381	<a href="#">CIP-007-1</a>	R2	Medium	Severe
					WECC2013011811	<a href="#">CIP-007-1</a>	R5; R5.2.3	Medium	Severe
					WECC2013012380	<a href="#">CIP-007-3a</a>	R8	Lower	Severe
5/29/2014	NP14-42-000 <a href="#">View NOP&gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	SERC	Unidentified Registered Entity	\$250,000	SERC201000685	<a href="#">CIP-002-2</a>	R2	? <sup>1</sup>	?
					SERC201000568	<a href="#">CIP-004-1</a>	R3	Medium	Severe
					SERC201000569	<a href="#">CIP-004-1</a>	R4	Medium	High
					SERC2012010884	<a href="#">CIP-004-3</a>	R4	Lower	Lower
					SERC201000729	<a href="#">CIP-005-1</a>	R1:1.5	Medium	Severe
					SERC2012011010	<a href="#">CIP-005-1</a>	R1	Medium	Severe
					SERC201000730	<a href="#">CIP-005-1</a>	R2:2.2	Medium	Severe
					SERC2012011011	<a href="#">CIP-005-1</a>	R3:3.2	Medium	Severe
					SERC201000682	<a href="#">CIP-006-1</a>	R1.1	Medium	Severe
					SERC201000731	<a href="#">CIP-006-1</a>	R1	Medium	Severe
					SERC2012010586	<a href="#">CIP-006-1</a>	R1	Medium	Severe
					SERC2012010860	<a href="#">CIP-006-1</a>	R1:1.8	Medium	Severe
					SERC2013012360	<a href="#">CIP-006-3c</a>	R1:1.6.1	Medium	Severe
					SERC201000679	<a href="#">CIP-006-2</a>	R3	Medium	Severe
					SERC201000733	<a href="#">CIP-006-2</a>	R3	Medium	Severe
					SERC2012010585	<a href="#">CIP-006-1</a>	R4	? <sup>2</sup>	Severe
					SERC201000683	<a href="#">CIP-006-3a</a>	R4	Medium	Severe
					SERC2012009109	<a href="#">CIP-006-3c</a>	R6	Lower	Severe

<sup>1</sup> There appears to be a discrepancy in the NP14-42-000 NOP. The chart on page 2 lists the VRF for SERC201000685 as “High” and lists no VSL. The narrative on SERC201000685 beginning on page 4 states: “CIP-002-2 R2 has a ‘Medium’ Violation Risk Factor (VRF) and a ‘High’ Violation Severity Level (VSL).”

<sup>2</sup> There appears to be a discrepancy in the NP14-42-000 NOP. The chart on page 2 lists the VRF for SERC2012010585 as “Medium” and lists no VSL. The narrative on SERC2012010585 on page 27 states: “CIP-006-1 R4 has a ‘Lower’ VRF and a ‘Severe’ VSL.”

Date	FERC Docket & Regulatory Filings	Region	Registered Entity	Total Penalty (\$)	NERC Violation ID	Reliability Standard	Requirement	Violation Risk Factors	Violation Severity Level
					SERC201000678	<a href="#">CIP-007-1</a>	R1	Medium	Severe
					SERC201000734	<a href="#">CIP-007-1</a>	R2	Medium	Severe
					SERC201000735	<a href="#">CIP-007-1</a>	R3	Lower	Severe
					SERC2012010883	<a href="#">CIP-007-3a</a>	R3	Lower	Severe
					SERC201000566	<a href="#">CIP-007-1</a>	R4	Medium	Severe
					SERC201000736	<a href="#">CIP-007-1</a>	R4:4.2	Medium	Severe
					SERC201000570	<a href="#">CIP-007-1</a>	R5	Medium	Severe
					SERC201000567	<a href="#">CIP-007-1</a>	R6	Medium	Severe
					SERC2012011013	<a href="#">CIP-007-3a</a>	R8	Lower	Severe
5/29/2014	NP14-41-000 <a href="#">View NOP&gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	WECC	Unidentified Registered Entity	\$98,500	WECC2013012597	<a href="#">CIP-007-1</a>	R5; R5.1.2	Lower	Severe
					WECC2013012598	<a href="#">CIP-007-1</a>	R6	Medium	Severe
4/30/2014	NP14-39-000 <a href="#">View NOP&gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	WECC	Unidentified Registered Entity	\$155,000	WECC2012010739	<a href="#">CIP-005-1</a>	R1; R1.1; R1.5; R1.6	Medium	Severe
					WECC2012010740	<a href="#">CIP-005-3</a>	R4	Medium	Severe
					WECC2012011029	<a href="#">CIP-007-1</a>	R1	Medium	Severe
					WECC2012010439	<a href="#">CIP-007-3a</a>	R2	Medium	Severe
					WECC2012011031	<a href="#">CIP-007-1</a>	R3	Lower	Severe
					WECC2012011329	<a href="#">CIP-007-2a</a>	R4	Medium	Severe
					WECC2012011032	<a href="#">CIP-007-3a</a>	R5; R5.2.3; R5.3.3	Medium	Severe
					WECC2012011034	<a href="#">CIP-007-1</a>	R6	Lower	Severe
					WECC2012010741	<a href="#">CIP-007-3a</a>	R8	Medium	Severe
3/31/2014	NP14-37-000 <a href="#">View NOP&gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	WECC	Unidentified Registered Entity	\$465,000	WECC2012011042	<a href="#">CIP-002-2</a>	R3	High	High
					WECC2012011043	<a href="#">CIP-005-3</a>	R1	Medium	Severe
					WECC2012011044	<a href="#">CIP-005-3</a>	R5	Lower	Severe
					WECC2012011140	<a href="#">CIP-006-1</a>	R1	Medium	Severe
					WECC2012011053	<a href="#">CIP-006-1</a>	R2	Medium	Severe
					WECC2012011054	<a href="#">CIP-006-1</a>	R3	Medium	Severe
					WECC2012011058	<a href="#">CIP-007-1</a>	R1	Medium	Severe
					WECC2012011059	<a href="#">CIP-007-1</a>	R2	Medium	Severe
2/27/2014	NP14-32-000 <a href="#">View NOP&gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	SPP RE	Unidentified Registered Entity	\$75,00	SPP201000414	<a href="#">CIP-002-1</a>	R2	High	Moderate
1/30/2014	NP14-30-000 <a href="#">View NOP&gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	RFC	Unidentified Registered Entity	\$75,000	RFC2013011941	<a href="#">CIP-005-1</a>	R2; R2.2	Medium	Severe
					RFC2013012708	<a href="#">CIP-005-3a</a>	R3; R3.2	Medium	Severe
					RFC2012011452	<a href="#">CIP-005-3</a>	R4	Medium	Severe
					RFC2012011455	<a href="#">CIP-007-3</a>	R8	Lower	?

Date	FERC Docket & Regulatory Filings	Region	Registered Entity	Total Penalty (\$)	NERC Violation ID	Reliability Standard	Requirement	Violation Risk Factors	Violation Severity Level
					RFC2012011568	<a href="#">CIP-006-1</a>	R1	Medium	Severe
					RFC2012011569	<a href="#">CIP-006-1</a>	R2; R2.2	Medium	Severe
					RFC2013012114	<a href="#">CIP-007-1</a>	R1; R1.1	Medium	Severe
					RFC2013011942	<a href="#">CIP-007-1</a>	R2	Medium	Severe
					RFC2013011943	<a href="#">CIP-007-1</a>	R3	Lower	Severe
					RFC2013011945	<a href="#">CIP-007-1</a>	R4; R4.2	Medium	Severe
					RFC2012011453	<a href="#">CIP-007-1</a>	R5; R5.2	Lower	Severe
					RFC2012011454	<a href="#">CIP-007-1</a>	R6; R6.3, R6.4, R6.5	Medium	Severe
					RFC2013013118	<a href="#">CIP-007-1</a>	R6; R6.3, R6.4, R6.5	Medium	Severe
1/30/2014	NP14-29-000 <a href="#">View NOP&gt;&gt;</a> <a href="#">View Order&gt;&gt;</a>	WECC	Unidentified Registered Entity	\$109,000	WECC201102978	<a href="#">CIP-006-1</a>	R1	Medium	Severe
					WECC2012010727	<a href="#">CIP-006-3a</a>	R5	Medium	Severe
					WECC2012010728	<a href="#">CIP-007-1</a>	R2	Medium	Severe
					WECC2012010729	<a href="#">CIP-007-1</a>	R3	Lower	Severe
					WECC2012010730	<a href="#">CIP-007-1</a>	R6	Medium	Severe
12/30/15	NP16-7-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	SPP	Unidentified Registered Entity	\$235,000	SPP2013013217	<a href="#">CIP-002-3</a>	R3.1	Moderate	?
					SPP2013013218	<a href="#">CIP-003-3</a>	R6	Moderate	?
					SPP2013013224	<a href="#">CIP-005-3a</a>	R4.3, R4.5	Moderate	?
					SPP2013013225	<a href="#">CIP-006-3a</a>	R2.2	Moderate	?
					SPP2013013226	<a href="#">CIP-007-3a</a>	R1.1, R1.2, R1.3	Moderate	?
					SPP2013013227	<a href="#">CIP-007-3a</a>	R2.1	Moderate	?
					SPP2013013231	<a href="#">CIP-007-3a</a>	R6.2, R6.4	Moderate	?
					SPP2014013561	<a href="#">CIP-005-3a</a>	R3.2	Moderate	?
					SPP2014013565	<a href="#">CIP-007-3a</a>	R3.1, R3.2	Moderate	?
					SPP2014013566	<a href="#">CIP-007-3a</a>	R8.4	Moderate	?
12/1/15	NP16-5-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	WECC	Unidentified Registered Entity	\$200,000	WECC2013012023	<a href="#">CIP-003-3</a>	R5	Lower	Severe
					WECC2014013497	<a href="#">CIP-003-3</a>	R6	Lower	Moderate
					WECC2012011467	<a href="#">CIP-005-1</a>	R1	Medium	Severe
					WECC2013012367	<a href="#">CIP-005-3a</a>	R5	Lower	Severe
					WECC2013012368	<a href="#">CIP-006-1</a>	R1	Medium	Severe
					WECC2013012025	<a href="#">CIP-006-3c</a>	R4	Medium	Severe
					WECC2012011598	<a href="#">CIP-006-3c</a>	R5	Medium	Severe
					WECC2014013498	<a href="#">CIP-007-3a</a>	R1	Medium	Severe
					WECC2014013658	<a href="#">CIP-007-3a</a>	R2	Medium	Severe
					WECC2013012369	<a href="#">CIP-007-3a</a>	R3	Lower	Severe
					WECC2012011599	<a href="#">CIP-007-1</a>	R5	Medium	Severe
					WECC2014013499	<a href="#">CIP-007-3a</a>	R5	Medium	Severe

Date	FERC Docket & Regulatory Filings	Region	Registered Entity	Total Penalty (\$)	NERC Violation ID	Reliability Standard	Requirement	Violation Risk Factors	Violation Severity Level
12/1/15	NP16-4-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	WECC	Unidentified Registered Entity	\$205,000	WECC2013012370	<a href="#">CIP-007-3a</a>	R9	Lower	High
					WECC2014013500	<a href="#">CIP-007-3a</a>	R9	Lower	High
					WECC2013012029	<a href="#">CIP-009-1</a>	R1	Medium	Severe
					WECC2014013523	<a href="#">CIP-002-3</a>	R3	High	High
					WECC2014014131	<a href="#">CIP-005-3a</a>	R1	Medium	Severe
					WECC2014013524	<a href="#">CIP-005-3a</a>	R2	Medium	Severe
					WECC2014014129	<a href="#">CIP-005-3a</a>	R4	Medium	Severe
					WECC2014013525	<a href="#">CIP-007-3a</a>	R1	Medium	Severe
					WECC2014014130	<a href="#">CIP-007-3a</a>	R8	Medium	Severe
10/29/15	NP16-2-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	WECC	Unidentified Registered Entity	\$160,000	WECC2013012102	<a href="#">CIP-002-1</a>	R3	High	High
					WECC2013012387	<a href="#">CIP-003-1</a>	R6	Lower	Severe
					WECC2012010893	<a href="#">CIP-004-1</a>	R3	Medium	Severe
					WECC2013012363	<a href="#">CIP-004-2</a>	R4	Lower	High
					WECC2013012357	<a href="#">CIP-005-1</a>	R1	Medium	Severe
					WECC2013012459	<a href="#">CIP-005-3</a>	R4	Medium	Severe
					WECC2013012465	<a href="#">CIP-006-3c</a>	R1, R1.1	Medium	Severe
					WECC2013012466	<a href="#">CIP-006-3a</a>	R2, R2.2	Medium	Severe
					WECC2014013599	<a href="#">CIP-007-1</a>	R8	Medium	Severe
8/31/2015	NP15-33-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	RFC	Unidentified Registered Entity	\$425,000	RFC2013013255	<a href="#">CIP-002-3</a>	R2	High	High
					RFC2013013256	<a href="#">CIP-002-3</a>	R2	High	High
					RFC2014014057	<a href="#">CIP-002-3</a>	R3	High	High
					RFC2014014058	<a href="#">CIP-002-3</a>	R3	High	High
					RFC2014014059	<a href="#">CIP-002-3</a>	R3	High	High
					RFC2014014034	<a href="#">CIP-003-3</a>	R2.3	Medium	High
					RFC2014014035	<a href="#">CIP-003-3</a>	R2.3	Medium	High
					RFC2014014036	<a href="#">CIP-003-3</a>	R2.3	Medium	High
					RFC2014014031	<a href="#">CIP-003-3</a>	R4	Medium	Severe
					RFC2014014032	<a href="#">CIP-003-3</a>	R4	Medium	Severe
					RFC2014014033	<a href="#">CIP-003-3</a>	R4	Medium	Severe
					RFC2014014028	<a href="#">CIP-003-3</a>	R5.2	Lower	Severe
					RFC2014014029	<a href="#">CIP-003-3</a>	R5.2	Lower	Severe
					RFC2014014030	<a href="#">CIP-003-3</a>	R5.2	Lower	Severe
					RFC2013012765	<a href="#">CIP-003-3</a>	R6	Lower	Severe

Date	FERC Docket & Regulatory Filings	Region	Registered Entity	Total Penalty (\$)	NERC Violation ID	Reliability Standard	Requirement	Violation Risk Factors	Violation Severity Level
					RFC2014013308	<a href="#">CIP-003-1</a>	R6	Lower	Severe
					RFC2014013311	<a href="#">CIP-003-1</a>	R6	Lower	Severe
					RFC2014013314	<a href="#">CIP-003-1</a>	R6	Lower	Severe
					RFC2014013710	<a href="#">CIP-004-3a</a>	R2	Medium	Severe
					RFC2014013714	<a href="#">CIP-004-3a</a>	R4	Lower	Lower
					RFC2014013693	<a href="#">CIP-004-3a</a>	R4	Lower	Severe
					RFC2014013695	<a href="#">CIP-004-3a</a>	R4	Lower	Severe
					RFC2014014025	<a href="#">CIP-005-3a</a>	R1.4, R1.6	Medium	Severe
					RFC2014014026	<a href="#">CIP-005-3a</a>	R1.4, R1.6	Medium	Severe
					RFC2014014027	<a href="#">CIP-005-3a</a>	R1.4, R1.6	Medium	Severe
					RFC2014014054	<a href="#">CIP-005-3a</a>	R1.4, R1.6	Medium	Severe
					RFC2014014055	<a href="#">CIP-005-3a</a>	R1.4, R1.6	Medium	Severe
					RFC2014014056	<a href="#">CIP-005-3a</a>	R1.4, R1.6	Medium	Severe
					RFC2014013312	<a href="#">CIP-005-3a</a>	R2.2	Medium	Severe
					RFC2014013332	<a href="#">CIP-005-3a</a>	R2.2	Medium	Severe
					RFC2014013367	<a href="#">CIP-005-3a</a>	R2.2	Medium	Severe
					RFC2014014169	<a href="#">CIP-005-1</a>	R4	Medium	Severe
					RFC2014014170	<a href="#">CIP-005-1</a>	R4	Medium	Severe
					RFC2014014171	<a href="#">CIP-005-1</a>	R4	Medium	Severe
					RFC2014014051	<a href="#">CIP-005-3a</a>	R5.1	Lower	High
					RFC2014014052	<a href="#">CIP-005-3a</a>	R5.1	Lower	High
					RFC2014014053	<a href="#">CIP-005-3a</a>	R5.1	Lower	High
					RFC2014013309	<a href="#">CIP-006-3c</a>	R1.1	Medium	Severe
					RFC2014014048	<a href="#">CIP-006-3c</a>	R1.1	Medium	Severe
					RFC2014014049	<a href="#">CIP-006-3c</a>	R1.1	Medium	Severe
					RFC2014014050	<a href="#">CIP-006-3c</a>	R1.1	Medium	Severe
					RFC2014014040	<a href="#">CIP-006-3c</a>	R1.1	Medium	Severe
					RFC2014014041	<a href="#">CIP-006-3c</a>	R1.1	Medium	Severe
					RFC2014014042	<a href="#">CIP-006-3c</a>	R1.1	Medium	Severe
					RFC2014013320	<a href="#">CIP-006-3c</a>	R1.6	Medium	Severe
					RFC2014013326	<a href="#">CIP-006-3c</a>	R1.6	Medium	Severe
					RFC2014013333	<a href="#">CIP-006-3c</a>	R1.6	Medium	Severe
					RFC2014014044	<a href="#">CIP-006-3c</a>	R1.6.1	Medium	Severe
					RFC2014014046	<a href="#">CIP-006-3c</a>	R1.6.1	Medium	Severe
					RFC2014014047	<a href="#">CIP-006-3c</a>	R1.6.1	Medium	Severe

Date	FERC Docket & Regulatory Filings	Region	Registered Entity	Total Penalty (\$)	NERC Violation ID	Reliability Standard	Requirement	Violation Risk Factors	Violation Severity Level
					RFC2014014037	<a href="#">CIP-006-3c</a>	R2.2	Medium	Severe
					RFC2014014038	<a href="#">CIP-006-3c</a>	R2.2	Medium	Severe
					RFC2014014039	<a href="#">CIP-006-3c</a>	R2.2	Medium	Severe
					RFC2015014591	<a href="#">CIP-006-3c</a>	R5	Medium	Severe
					RFC2014013322	<a href="#">CIP-007-3a</a>	R1.3	Lower	Severe
					RFC2014013327	<a href="#">CIP-007-3a</a>	R1.3	Lower	Severe
					RFC2014013334	<a href="#">CIP-007-3a</a>	R1.3	Lower	Severe
					RFC2014013335	<a href="#">CIP-007-3a</a>	R2	Medium	Severe
					RFC2014014072	<a href="#">CIP-007-3a</a>	R2.1, R2.2	Medium	Severe
					RFC2014014073	<a href="#">CIP-007-3a</a>	R2.1, R2.2	Medium	Severe
					RFC2014014074	<a href="#">CIP-007-3a</a>	R2.1, R2.2	Medium	Severe
					RFC2013012767	<a href="#">CIP-007-3a</a>	R2.2	Medium	Severe
					RFC2014013310	<a href="#">CIP-007-1</a>	R2.2	Medium	Severe
					RFC2014013313	<a href="#">CIP-007-1</a>	R2.2	Medium	Severe
					RFC2014013315	<a href="#">CIP-007-1</a>	R2.2	Medium	Severe
					RFC2014013321	<a href="#">CIP-007-3a</a>	R3.1	Lower	Severe
					RFC2014013328	<a href="#">CIP-007-3a</a>	R3.1	Lower	Severe
					RFC2014013336	<a href="#">CIP-007-3a</a>	R3.1	Lower	Severe
					RFC2014014078	<a href="#">CIP-007-3a</a>	R3.1, R3.2	Lower	Severe
					RFC2014014079	<a href="#">CIP-007-3a</a>	R3.1, R3.2	Lower	Severe
					RFC2014014080	<a href="#">CIP-007-3a</a>	R3.1, R3.2	Lower	Severe
					RFC2014013692	<a href="#">CIP-007-1</a>	R4	Medium	Severe
					RFC2014013694	<a href="#">CIP-007-1</a>	R4	Medium	Severe
					RFC2014013696	<a href="#">CIP-007-1</a>	R4	Medium	Severe
					RFC2014014069	<a href="#">CIP-007-3a</a>	R4.1, R4.2	Medium	Severe
					RFC2014014070	<a href="#">CIP-007-3a</a>	R4.1, R4.2	Medium	Severe
					RFC2014014071	<a href="#">CIP-007-3a</a>	R4.1, R4.2	Medium	Severe
					RFC2014014066	<a href="#">CIP-007-3a</a>	R5.1.2, R5.2, R5.2.1, R5.2.2, R5.2.3	Medium	Severe
					RFC2014014067	<a href="#">CIP-007-3a</a>	R5.1.2, R5.2, R5.2.1, R5.2.2, R5.2.3	Medium	Severe
					RFC2014014068	<a href="#">CIP-007-3a</a>	R5.1.2, R5.2, R5.2.1, R5.2.2, R5.2.3	Medium	Severe
					RFC2014013428	<a href="#">CIP-007-3a</a>	R5.2, R5.3	Lower	Severe
					RFC2014014009	<a href="#">CIP-007-3a</a>	R5	Lower	Severe
					RFC2014013323	<a href="#">CIP-007-3a</a>	R5.1.1, R5.1.3	Lower	Severe

Date	FERC Docket & Regulatory Filings	Region	Registered Entity	Total Penalty (\$)	NERC Violation ID	Reliability Standard	Requirement	Violation Risk Factors	Violation Severity Level
					RFC2014013329	<a href="#">CIP-007-3a</a>	R5.1.1, R5.1.3	Lower	Severe
					RFC2014014008	<a href="#">CIP-007-3a</a>	R5.1.1, R5.1.3	Lower	Severe
					RFC2014014063	<a href="#">CIP-007-3a</a>	R6.1, R6.4	Medium	Severe
					RFC2014014064	<a href="#">CIP-007-3a</a>	R6.1, R6.4	Medium	Severe
					RFC2014014065	<a href="#">CIP-007-3a</a>	R6.1, R6.4	Medium	Severe
					RFC2014013324	<a href="#">CIP-007-1</a>	R6.5	Lower	Severe
					RFC2014013330	<a href="#">CIP-007-1</a>	R6.5	Lower	Severe
					RFC2014013338	<a href="#">CIP-007-1</a>	R6.5	Lower	Severe
					RFC2014014060	<a href="#">CIP-007-1</a>	R8.2, R8.3	Medium	Severe
					RFC2014014061	<a href="#">CIP-007-1</a>	R8.2, R8.3	Medium	Severe
					RFC2014014062	<a href="#">CIP-007-1</a>	R8.2, R8.3	Medium	Severe
					RFC2014013325	<a href="#">CIP-007-3a</a>	R8	Lower	Severe
					RFC2014013331	<a href="#">CIP-007-3a</a>	R8	Lower	Severe
					RFC2014013339	<a href="#">CIP-007-3a</a>	R8	Lower	Severe
					RFC2014014075	<a href="#">CIP-008-3</a>	R1.6	Lower	High
					RFC2014014076	<a href="#">CIP-008-3</a>	R1.6	Lower	High
					RFC2014014077	<a href="#">CIP-008-3</a>	R1.6	Lower	High
					RFC2014013378	<a href="#">CIP-008-3</a>	R5	Lower	Severe
					RFC2014013379	<a href="#">CIP-008-3</a>	R5	Lower	Severe
4/30/15	NP15-26-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	RFC	Unidentified Registered Entity	\$0	RFC2012011263	<a href="#">CIP-002-3</a>	R3.1	? <sup>3</sup>	?
					RFC2012011266	<a href="#">CIP-002-3</a>	R3.1	Lower	Severe
					RFC2012011269	<a href="#">CIP-002-3</a>	R3.1	Lower	Severe
					RFC2013012717	<a href="#">CIP-003-3</a>	R6	Lower	Severe
					RFC2013012401	<a href="#">CIP-004-3</a>	R4	Lower	Lower
					RFC2013012512	<a href="#">CIP-005-1</a>	R1.5	Medium	Severe
					RFC2013012402	<a href="#">CIP-005-3</a>	R5	Lower	Severe
					RFC2013013005	<a href="#">CIP-006-1</a>	R1.1	Medium	Severe
					RFC2013013006	<a href="#">CIP-006-1</a>	R1.1	Medium	Severe
					RFC2013012303	<a href="#">CIP-006-3c</a>	R1.1	Medium	Severe
					RFC2013013007	<a href="#">CIP-006-1</a>	R1.8	Medium	Severe
					RFC2013012409	<a href="#">CIP-006-3c</a>	R4	Medium	Severe

<sup>3</sup> There appears to be a discrepancy in the NP15-26-000 NOP. NERC's website lists RFC2012011263 as a "Lower" VRF (no VSL listed) and the NOP lists RFC2012011263 as a "High/Severe" (VRF/VSL). There is no second entry in the NOP to further reference.

Date	FERC Docket & Regulatory Filings	Region	Registered Entity	Total Penalty (\$)	NERC Violation ID	Reliability Standard	Requirement	Violation Risk Factors	Violation Severity Level
					RFC2013012410	<a href="#">CIP-007-1</a>	R2	Medium	Severe
					RFC2013012413	<a href="#">CIP-007-1</a>	R2	Medium	Severe
					RFC2013012513	<a href="#">CIP-007-1</a>	R3	Lower	Severe
					RFC2013012514	<a href="#">CIP-007-1</a>	R3	Lower	Severe
					RFC2013012411	<a href="#">CIP-007-1</a>	R5.2.1	Medium	High
					RFC2013012412	<a href="#">CIP-007-1</a>	R5.3.3	Medium	Severe
					RFC2013012414	<a href="#">CIP-007-1</a>	R5.3.3	Medium	Severe
					RFC2013012753	<a href="#">CIP-007-3a</a>	R1	Medium	Severe
					RFC2013012768	<a href="#">CIP-007-3a</a>	R1	Medium	Severe
					RFC2013012718	<a href="#">CIP-007-3a</a>	R6	Lower	Severe
4/30/15	NP15-24-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	RFC	Unidentified Registered Entity	\$150,000	RFC2013013201	<a href="#">CIP-005-1</a>	R1: R1.5	Medium	Severe
					RFC2013013202	<a href="#">CIP-005-1</a>	R2: R2.1, R2.2	Medium	Severe
					RFC2013013203	<a href="#">CIP-005-1</a>	R3: R3.2	Medium	Severe
					RFC2013013204	<a href="#">CIP-005-1</a>	R4	Medium	Severe
					RFC2013013205	<a href="#">CIP-006-1</a>	R1:R1.8	Medium	Severe
					RFC2013013206	<a href="#">CIP-007-1</a>	R1	Lower	Severe
					RFC2013013207	<a href="#">CIP-007-1</a>	R2: R2.1, R2.2	Medium	Severe
					RFC2013013208	<a href="#">CIP-007-1</a>	R3: R3.2	Lower	Severe
					RFC2013013254	<a href="#">CIP-007-3a</a>	R3	Lower	Severe
					RFC2013013209	<a href="#">CIP-007-1</a>	R4: R4.1	Medium	Severe
					RFC2013013210	<a href="#">CIP-007-1</a>	R5: R5.1.2, R5.2	Medium	Severe
					RFC2013013211	<a href="#">CIP-007-1</a>	R6: R6.1, R6.2, R6.4, R6.5	Medium	Severe
					RFC2013013212	<a href="#">CIP-007-1</a>	R7	Lower	Severe
					RFC2013013213	<a href="#">CIP-007-1</a>	R8: R8.1, R8.2, R8.3	Medium	Severe
					RFC2013013214	<a href="#">CIP-009-1</a>	R5	Lower	Severe
					RFC2013013251	<a href="#">TOP-006-2</a>	R1	Medium	Severe
					RFC2013013252	<a href="#">TOP-006-2</a>	R2	High	Severe
					RFC2013013253	<a href="#">TOP-006-2</a>	R5	Medium	Severe
3/31/15	NP15-23-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View A-1 Spreadsheet &gt;&gt;</a>	WECC	WECC_URE1	\$65,000	WECC2013012654	<a href="#">CIP-004-3a</a>	R2	Lower	Severe
		WECC	WECC_URE1		WECC2014013374	<a href="#">CIP-005-3a</a>	R2	Medium	Severe
		WECC	WECC_URE1		WECC2014013375	<a href="#">CIP-006-3a</a>	R2	Medium	Severe
		WECC	WECC_URE1		WECC2014013373	<a href="#">CIP-007-3a</a>	R8	Lower	Severe
		WECC	WECC_URE2	\$85,000	WECC2014013750	<a href="#">CIP-003-3</a>	R6	Lower	Severe



Date	FERC Docket & Regulatory Filings	Region	Registered Entity	Total Penalty (\$)	NERC Violation ID	Reliability Standard	Requirement	Violation Risk Factors	Violation Severity Level
	<a href="#">View A-2 Spreadsheet &gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	WECC	WECC_URE2		WECC2014013890	<a href="#">CIP-004-3a</a>	R4; R4.2	Lower	Moderate
		WECC	WECC_URE2		WECC2014013503	<a href="#">CIP-005-3a</a>	R5; R5.2	Lower	Severe
		WECC	WECC_URE2		WECC2014013504	<a href="#">CIP-006-1</a>	R1; R1.8	Medium	Severe
		WECC	WECC_URE2		WECC2014013751	<a href="#">CIP-007-3a</a>	R1	Medium	Severe
		WECC	WECC_URE2		WECC2014013505	<a href="#">CIP-007-3a</a>	R3	Lower	Severe
		WECC	WECC_URE2		WECC2014013897	<a href="#">CIP-007-3a</a>	R8; R8.2; R8.3	Lower	Severe
		WECC	WECC_URE3	\$15,000	WECC2014014001	<a href="#">CIP-007-3a</a>	R6; R6.4; R6.5	Lower	Severe
2/26/15	NP15-20-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	SERC	Unidentified Registered Entity	\$70,000	SERC2013012689	<a href="#">CIP-002-1</a>	R3	Medium	Severe
					SERC2013012691	<a href="#">CIP-005-1</a>	R1; R1.1; R1.5	Medium	Severe
					SERC2014013574	<a href="#">CIP-005-1</a>	R1; R1.4	Medium	Severe
					SERC2013011676	<a href="#">CIP-005-1</a>	R3	Medium	Severe
					SERC2013011702	<a href="#">CIP-006-3c</a>	R1; R1.1; R1.6	Medium	Severe
					SERC2013012693	<a href="#">CIP-006-1</a>	R3	Medium	Severe
					SERC2013012695	<a href="#">CIP-007-1</a>	R1	Medium	Severe
					SERC2013012694	<a href="#">CIP-007-1</a>	R2; R2.1	Medium	Severe
					SERC2012009565	<a href="#">CIP-007-1</a>	R3	Lower	Severe
					SERC2012009647	<a href="#">CIP-007-1</a>	R4	Medium	Severe
					SERC2012009566	<a href="#">CIP-007-1</a>	R5; R5.2; R5.3	Medium	Severe
SERC2012009564	<a href="#">CIP-007-1</a>	R6	Medium	Severe					
12/29/2016	NP17-13-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View Notice &gt;&gt;</a>	WECC	Unidentified Registered Entity	No Penalty	WECC201002156	<a href="#">CIP-007-1</a>	R1	Medium	Severe
					WECC201002157	<a href="#">CIP-007-1</a>	R2	Medium	Severe
					WECC201002158	<a href="#">CIP-007-1</a>	R3	Lower	Severe
					WECC201002159	<a href="#">CIP-007-1</a>	R4	Medium	Severe
					WECC201002160	<a href="#">CIP-007-1</a>	R5	Medium	Severe
					WECC201002161	<a href="#">CIP-007-1</a>	R6	Medium	Severe
					WECC201002162	<a href="#">CIP-007-1</a>	R7	Lower	Severe
					WECC201002163	<a href="#">CIP-007-1</a>	R8	Lower	Severe
					WECC201002164	<a href="#">CIP-007-1</a>	R9	Lower	Severe
					WECC201002166	<a href="#">CIP-006-1</a>	R5	Medium	Severe
					WECC201002169	<a href="#">CIP-006-1</a>	R6	Medium	Severe
					WECC201002170	<a href="#">CIP-003-1</a>	R4	Medium	Severe
					WECC201002171	<a href="#">CIP-003-1</a>	R5	Lower	Severe
					WECC201002172	<a href="#">CIP-003-1</a>	R6	Lower	Severe

Date	FERC Docket & Regulatory Filings	Region	Registered Entity	Total Penalty (\$)	NERC Violation ID	Reliability Standard	Requirement	Violation Risk Factors	Violation Severity Level
					WECC201002173	<a href="#">CIP-008-1</a>	R1	Lower	Severe
					WECC201002174	<a href="#">CIP-008-1</a>	R2	Lower	Severe
					WECC201002176	<a href="#">CIP-004-1</a>	R1	Lower	Severe
					WECC201002177	<a href="#">CIP-004-1</a>	R2	Medium	Severe
					WECC201002178	<a href="#">CIP-004-1</a>	R3	Medium	Severe
					WECC201002179	<a href="#">CIP-004-1</a>	R4	Medium	Severe
					WECC201002180	<a href="#">CIP-009-1</a>	R1	Medium	Severe
					WECC201002181	<a href="#">CIP-009-1</a>	R2	Medium	Severe
					WECC201002182	<a href="#">CIP-009-1</a>	R3	Lower	Severe
					WECC201002183	<a href="#">CIP-009-1</a>	R4	Lower	Severe
					WECC201002184	<a href="#">CIP-009-1</a>	R5	Lower	Severe
					WECC201002186	<a href="#">CIP-005-1</a>	R1	Medium	Severe
					WECC201002187	<a href="#">CIP-005-1</a>	R2	Medium	Severe
					WECC201002188	<a href="#">CIP-005-1</a>	R3	Medium	Severe
					WECC201002189	<a href="#">CIP-005-1</a>	R4	Medium	Severe
					WECC201002190	<a href="#">CIP-005-1</a>	R5	Medium	Severe
					WECC201002198	<a href="#">CIP-003-1</a>	R1	Medium	Severe
					WECC201002199	<a href="#">CIP-003-1</a>	R3	Lower	Severe
					WECC201002200	<a href="#">CIP-006-1</a>	R1	Medium	Severe
					WECC201002201	<a href="#">CIP-006-1</a>	R2	Medium	Severe
					WECC201002202	<a href="#">CIP-006-1</a>	R3	Medium	Severe
					WECC201002203	<a href="#">CIP-006-1</a>	R4	Medium	Severe
12/29/2016	NP17-11-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	WECC	Unidentified Registered Entity	No Penalty	WECC200902111	<a href="#">CIP-002-1</a>	R1	Medium	Severe
					WECC200902115	<a href="#">CIP-002-1</a>	R3	High	Severe
					WECC200902116	<a href="#">CIP-002-1</a>	R4	Lower	Severe
					WECC200902120	<a href="#">CIP-005-1</a>	R1	Medium	Severe
					WECC200902121	<a href="#">CIP-005-1</a>	R2	Medium	Severe
					WECC200902124	<a href="#">CIP-005-1</a>	R4	Medium	Severe
					WECC200902125	<a href="#">CIP-006-1</a>	R4	Lower	Severe
					WECC200902126	<a href="#">CIP-007-1</a>	R3	Lower	Severe
					WECC200902127	<a href="#">CIP-007-1</a>	R2	Medium	Severe
					WECC200902128	<a href="#">CIP-006-1</a>	R3	Medium	Severe
					WECC200902129	<a href="#">CIP-006-1</a>	R2	Medium	Severe

Date	FERC Docket & Regulatory Filings	Region	Registered Entity	Total Penalty (\$)	NERC Violation ID	Reliability Standard	Requirement	Violation Risk Factors	Violation Severity Level
					WECC200902130	<a href="#">CIP-004-1</a>	R4	Medium	Severe
					WECC200902132	<a href="#">CIP-005-1</a>	R5	Lower	Severe
					WECC200902133	<a href="#">CIP-004-1</a>	R2	Medium	Severe
					WECC200902136	<a href="#">CIP-006-1</a>	R6	Medium	Severe
					WECC200902137	<a href="#">CIP-007-1</a>	R1	Medium	Severe
					WECC200902140	<a href="#">CIP-007-1</a>	R4	Medium	Severe
					WECC200902141	<a href="#">CIP-007-1</a>	R5	Medium	Severe
					WECC200902146	<a href="#">CIP-007-1</a>	R6	Medium	Severe
					WECC200902148	<a href="#">CIP-007-1</a>	R8	Medium	Severe
					WECC200902149	<a href="#">CIP-007-1</a>	R9	Lower	Severe
					WECC200902289	<a href="#">CIP-006-1</a>	R1	Medium	Severe
					WECC2013011732	<a href="#">CIP-006-3c</a>	R4	Medium	Severe
					WECC2013011733	<a href="#">CIP-006-3c</a>	R5	Medium	Severe
					WECC2013011734	<a href="#">CIP-006-3c</a>	R6	Lower	Severe
					WECC2013011735	<a href="#">CIP-006-3c</a>	R2	Medium	Severe
					WECC2013012269	<a href="#">CIP-005-3a</a>	R3	Medium	Severe
					WECC2015015259	<a href="#">CIP-007-3a</a>	R6	Medium	Severe
12/29/2016	NP17-10-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	WECC	Unidentified Registered Entity	No Penalty	WECC201002167	<a href="#">CIP-006-1</a>	R2	Medium	Severe
					WECC201002168	<a href="#">CIP-007-1</a>	R1	Medium	Severe
					WECC201002204	<a href="#">CIP-007-1</a>	R2	Medium	Severe
					WECC201002205	<a href="#">CIP-006-1</a>	R3	Medium	Severe
					WECC201002206	<a href="#">CIP-006-1</a>	R4	Lower	Severe
					WECC201002207	<a href="#">CIP-006-1</a>	R5	Lower	Severe
					WECC201002208	<a href="#">CIP-004-1</a>	R2	Medium	Severe
					WECC201002209	<a href="#">CIP-004-1</a>	R3	Medium	Severe
					WECC201002210	<a href="#">CIP-004-1</a>	R4	Medium	Severe
					WECC201002211	<a href="#">CIP-006-1</a>	R6	Medium	Severe
					WECC201002212	<a href="#">CIP-007-1</a>	R3	Lower	Severe
					WECC201002213	<a href="#">CIP-009-1</a>	R1	Medium	Severe
					WECC201002214	<a href="#">CIP-009-1</a>	R2	Lower	Severe
					WECC201002215	<a href="#">CIP-009-1</a>	R3	Lower	Severe
					WECC201002216	<a href="#">CIP-009-1</a>	R4	Lower	Severe
					WECC201002217	<a href="#">CIP-009-1</a>	R5	Lower	Severe
					WECC201002218	<a href="#">CIP-005-1</a>	R1	Lower	Severe
					WECC201002219	<a href="#">CIP-005-1</a>	R2	Lower	Severe

Date	FERC Docket & Regulatory Filings	Region	Registered Entity	Total Penalty (\$)	NERC Violation ID	Reliability Standard	Requirement	Violation Risk Factors	Violation Severity Level
					WECC201002220	<a href="#">CIP-005-1</a>	R3	Medium	Severe
					WECC201002221	<a href="#">CIP-005-1</a>	R4	Lower	Severe
					WECC201002222	<a href="#">CIP-005-1</a>	R5	Medium	Severe
					WECC201002223	<a href="#">CIP-007-1</a>	R4	Medium	Severe
					WECC201002224	<a href="#">CIP-007-1</a>	R5	Medium	Severe
					WECC201002225	<a href="#">CIP-007-1</a>	R6	Medium	Severe
					WECC201002226	<a href="#">CIP-007-1</a>	R7	Lower	Severe
					WECC201002227	<a href="#">CIP-007-1</a>	R8	Medium	Severe
					WECC201002228	<a href="#">CIP-007-1</a>	R9	Lower	Severe
					WECC201002377	<a href="#">CIP-003-1</a>	R5	Lower	Severe
					WECC2014013600	<a href="#">CIP-007-3a</a>	R6	Lower	Severe
					WECC2015014575	<a href="#">CIP-004-3</a>	R2	Lower	Severe
					WECC2015015127	<a href="#">CIP-007-3a</a>	R6	Medium	Severe
11/30/2016	NP17-8-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	MRO	Unidentified Registered Entity	\$142,000	MRO2015014792	<a href="#">CIP-002-3</a>	R3	High	Severe
					MRO2015014793	<a href="#">CIP-005-1</a>	R1	Medium	Severe
					MRO2015014794	<a href="#">CIP-007-1</a>	R1.1; R1.3 R1.4; R1.5; R1.6	Medium	Severe
10/31/16	NP17-3-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	WECC	Unidentified Registered Entity	\$250,000	WECC2014013506	<a href="#">CIP-002-3</a>	R4	Lower	Moderate
					WECC2014013873	<a href="#">CIP-005-3a</a>	R1	Medium	Severe
					WECC2014014531	<a href="#">CIP-006-3c</a>	R2	Medium	Severe
					WECC2015014704	<a href="#">CIP-007-3a</a>	R8	Medium	Severe
10/31/16	NP17-2-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	WECC	Unidentified Registered Entity	\$1,125,000	WECC2014014352	<a href="#">CIP-002-3</a>	R3	High	Severe
					WECC2014013782	<a href="#">CIP-003-3</a>	R6	Lower	Severe
					WECC2014014353	<a href="#">CIP-005-3a</a>	R1	Medium	Severe
					WECC2014013507	<a href="#">CIP-005-3a</a>	R2	Medium	Severe
					WECC2014013783	<a href="#">CIP-005-3a</a>	R3	Medium	Severe
					WECC2014014354	<a href="#">CIP-005-3a</a>	R4	Medium	Severe
					WECC2014014355	<a href="#">CIP-005-3a</a>	R5	Lower	Severe
					WECC2014014356	<a href="#">CIP-006-3c</a>	R1	Medium	Severe
					WECC2014014357	<a href="#">CIP-006-3c</a>	R2	Medium	Severe
					WECC2014014358	<a href="#">CIP-006-3c</a>	R3	Medium	Severe
					WECC2014013785	<a href="#">CIP-006-3c</a>	R4	Medium	Severe
					WECC2014013784	<a href="#">CIP-006-3c</a>	R5	Medium	Severe
					WECC2014014359	<a href="#">CIP-006-3c</a>	R6	Lower	Severe

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					WECC2014014360	<a href="#">CIP-007-3a</a>	R1	Medium	Severe
					WECC2014014361	<a href="#">CIP-007-3a</a>	R2	Medium	Severe
					WECC2014014362	<a href="#">CIP-007-3a</a>	R3	Lower	Severe
					WECC2014014363	<a href="#">CIP-007-3a</a>	R5	Lower	Severe
					WECC2014014364	<a href="#">CIP-007-3a</a>	R6	Lower	Severe
					WECC2014014365	<a href="#">CIP-007-3a</a>	R8	Lower	Severe
7/28/16	NP16-24-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	SERC	Unidentified Registered Entity	\$180,000	SERC2014014337	<a href="#">CIP-003-3</a>	R4	Medium	Severe
					SERC2014014336	<a href="#">CIP-003-3</a>	R5	Lower	Severe
					SERC2014014086	<a href="#">CIP-005-1</a>	R1	Medium	Severe
					SERC2014014427	<a href="#">CIP-005-3</a>	R3	Medium	Severe
					SERC2014014196	<a href="#">CIP-005-3a</a>	R4	Medium	Severe
					SERC2014013621	<a href="#">CIP-006-1</a>	R1	Medium	Severe
					SERC2014013444	<a href="#">CIP-006-3c</a>	R1	Medium	Severe
					SERC2014014195	<a href="#">CIP-006-1</a>	R2	Medium	Severe
					SERC2014014198	<a href="#">CIP-006-3c</a>	R2	Medium	Severe
					SERC2014013437	<a href="#">CIP-006-3c</a>	R5	Medium	Severe
					SERC2014014395	<a href="#">CIP-006-3c</a>	R5	Medium	Severe
					SERC2014013619	<a href="#">CIP-007-3a</a>	R5	Medium	Severe
					SERC2014014423	<a href="#">CIP-007-1</a>	R5	Lower	Severe
					SERC2014014087	<a href="#">CIP-007-1</a>	R6	Lower	Severe
7/28/16	NP16-23-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	SERC	Unidentified Registered Entity	\$225,000	SERC2014013657	<a href="#">CIP-002-3</a>	R3	High	Severe
					SERC2014013877	<a href="#">CIP-005-1</a>	R1	Medium	Severe
					SERC2014013910	<a href="#">CIP-005-3a</a>	R4	Medium	Severe
					SERC2014014396	<a href="#">CIP-006-3c</a>	R5	Medium	Severe
					SERC2014014403	<a href="#">CIP-006-3c</a>	R8	Medium	Severe
					SERC2014013881	<a href="#">CIP-007-3a</a>	R5	Medium	Severe
					SERC2014014274	<a href="#">CIP-007-3a</a>	R5	Medium	Severe
					SERC2014013438	<a href="#">CIP-007-3a</a>	R6	Lower	Severe
					SERC2014013765	<a href="#">CIP-007-3a</a>	R6	Lower	Severe
					SERC2014013767	<a href="#">CIP-007-3a</a>	R8	Medium	Severe
					SERC2014013766	<a href="#">CIP-009-3</a>	R5	Lower	Severe
5/31/16	NP16-20-000 <a href="#">View Filing &gt;&gt;</a>	FRCC	FRCC_URE1	\$35,000	FRCC2015015369	<a href="#">CIP-006-3c</a>	R2; R2.2	Medium	Severe
					FRCC2015015368	<a href="#">CIP-005-3a</a>	R1; R1.4	Medium	Severe

Date	FERC Docket & Regulatory Filings	Region	Registered Entity	Total Penalty (\$)	NERC Violation ID	Reliability Standard	Requirement	Violation Risk Factors	Violation Severity Level
	<a href="#">View A-1 Spreadsheet &gt;&gt;</a> <a href="#">View A-2 Spreadsheet&gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>				FRCC2015015366	<a href="#">CIP-005-3a</a>	R2 R2.2	Medium	Severe
4/28/16	<a href="#">NP16-18-000 View Filing &gt;&gt;</a> <a href="#">View A-2 Spreadsheet&gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	RF	ReliabilityFirst_URE1	\$25,000	RFC2014013763	<a href="#">CIP-003-3</a>	R5	Lower	Severe
		RF	ReliabilityFirst_URE1		RFC2014014186	<a href="#">CIP-004-3a</a>	R4	Lower	Higher
		RF	ReliabilityFirst_URE1		RFC2014013840	<a href="#">CIP-005-1</a>	R1	Medium	Severe
		RF	ReliabilityFirst_URE1		RFC2014014535	<a href="#">CIP-005-1</a>	R1	Medium	Severe
		RF	ReliabilityFirst_URE1		RFC2014013760	<a href="#">CIP-005-3a</a>	R3	Medium	Severe
		RF	ReliabilityFirst_URE1		RFC2014013839	<a href="#">CIP-005-3a</a>	R5	Lower	Moderate
		RF	ReliabilityFirst_URE1		RFC2014014167	<a href="#">CIP-006-3c</a>	R1; R1.6	Medium	High
		RF	ReliabilityFirst_URE1		RFC2014013759	<a href="#">CIP-006-1</a>	R2; R2.2	Medium	Severe
		RF	ReliabilityFirst_URE1		RFC2014013758	<a href="#">CIP-007-3a</a>	R1	Medium	Severe
		RF	ReliabilityFirst_URE1		RFC2014014094	<a href="#">CIP-007-1</a>	R3; R3.1; R3.2	Lower	Severe
		RF	ReliabilityFirst_URE1		RFC2014014172	<a href="#">CIP-007-3a</a>	R5	Lower	Severe
		RF	ReliabilityFirst_URE1		RFC2014013761	<a href="#">CIP-007-3a</a>	R6	Lower	Severe
		RF	ReliabilityFirst_URE1		RFC2014013757	<a href="#">CIP-007-3a</a>	R9	Lower	High
		SERC	SERC_URE1	\$5,000	SERC2015014708	<a href="#">CIP-007-3a</a>	R3; R3.1	Lower	Severe
		SERC	SERC_URE2	\$20,000	SERC2015014764	<a href="#">CIP-005-3a</a>	R2; R2.2	Medium	Severe
		SERC	SERC_URE2		SERC2015014604	<a href="#">CIP-006-1</a>	R1; R1.8	Medium	Severe
		SERC	SERC_URE2		SERC2015014635	<a href="#">CIP-006-3c</a>	R5	Medium	Severe
		SERC	SERC_URE2		SERC2015014762	<a href="#">CIP-007-3a</a>	R3; R3.1	Lower	Severe
		SERC	SERC_URE4		SERC2015015164	<a href="#">CIP-009-1</a>	R1	Medium	Severe
		SERC	SERC_URE4		SERC2015015166	<a href="#">CIP-005-3a</a>	R1; R1.5	Medium	Severe
		SERC	SERC_URE4		SERC2015015165	<a href="#">CIP-006-3c</a>	R2; R2.2	Medium	Severe
		SERC	SERC_URE4		SERC2015015167	<a href="#">CIP-007-3a</a>	R1; R1.3	Lower	Severe
SERC	SERC_URE3	\$45,000	SERC2015014963	<a href="#">CIP-006-1</a>	R1; R1.8	Lower	Severe		
SERC	SERC_URE3		SERC2015014966	<a href="#">CIP-006-1</a>	R1; R1.1	Medium	Severe		
SERC	SERC_URE3		SERC2015014968	<a href="#">CIP-005-1</a>	R3	Medium	Severe		
SERC	SERC_URE3		SERC2015014970	<a href="#">CIP-009-3</a>	R1	Medium	Severe		
2/29/16	<a href="#">NP16-12-000 View Filing &gt;&gt;</a> <a href="#">View Errata &gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	RF	Unidentified Registered Entity	\$1,700,000	RFC2014014245	<a href="#">CIP-002-3</a>	R3	High	?
					RFC2014014014	<a href="#">CIP-003-1</a>	R6	Lower	?
					RFC2014014251	<a href="#">CIP-004-3</a>	R2	Medium	?
					RFC2014014252	<a href="#">CIP-004-3</a>	R2.1	Medium	?
					RFC2014014253	<a href="#">CIP-004-3</a>	R3.3	Lower	?

Date	FERC Docket & Regulatory Filings	Region	Registered Entity	Total Penalty (\$)	NERC Violation ID	Reliability Standard	Requirement	Violation Risk Factors	Violation Severity Level
					RFC2013013197	<a href="#">CIP-004-3a</a>	R4.2	Lower	?
					RFC2014013447	<a href="#">CIP-004-3a</a>	R4.2	Lower	?
					RFC2014013997	<a href="#">CIP-004-3a</a>	R4.2	Lower	?
					RFC2014013623	<a href="#">CIP-005-1</a>	R1.5	Medium	?
					RFC2014014015	<a href="#">CIP-005-3a</a>	R1.5	Medium	?
					RFC2014014207	<a href="#">CIP-005-3</a>	R1.6	Lower	?
					RFC2015015300	<a href="#">CIP-005-3a</a>	R1	Lower	?
					RFC2014014410	<a href="#">CIP-006-3c</a>	R1	Medium	?
					RFC2014014011	<a href="#">CIP-006-1</a>	R1.1	Medium	?
					RFC2014014208	<a href="#">CIP-006-3a</a>	R1.8	Lower	?
					RFC2015015143	<a href="#">CIP-006-3c</a>	R1	Medium	?
					RFC2014014209	<a href="#">CIP-006-3a</a>	R5	Medium	?
					RFC2013013198	<a href="#">CIP-006-3c</a>	R5	Medium	?
					RFC2014014211	<a href="#">CIP-007-3a</a>	R1.3	Lower	?
					RFC2014013998	<a href="#">CIP-007-1</a>	R2	Medium	?
					RFC2014013626	<a href="#">CIP-007-1</a>	R3	Lower	?
					RFC2014014262	<a href="#">CIP-007-3a</a>	R3, R3.1, R3.2	Lower	?
					RFC2014014114	<a href="#">CIP-007-3a</a>	R3.2	Lower	?
					RFC2014014012	<a href="#">CIP-007-3a</a>	R4	Medium	?
					RFC2014014215	<a href="#">CIP-007-3a</a>	R5.1.2	Lower	?
					RFC2014014216	<a href="#">CIP-007-1</a>	R5.2, R5.2.3	Lower	?
					RFC2014014257	<a href="#">CIP-007-3a</a>	R5.3; R5.3.1, R5.3.2, R5.3.3	Lower	?
					RFC2014014238	<a href="#">CIP-007-3a</a>	R9	Lower	?
					RFC2014014239	<a href="#">CIP-008-3</a>	R1.6	Lower	?
					RFC2014014240	<a href="#">CIP-009-3</a>	R1	Medium	?
					RFC2014014241	<a href="#">CIP-009-3</a>	R2	Lower	?
					RFC2015015301	<a href="#">CIP-009-3</a>	R2	Lower	?
					RFC2014014013	<a href="#">CIP-009-1</a>	R4	Lower	?
					RFC2015015302	<a href="#">CIP-009-3</a>	R4	Lower	?
					RFC2014014242	<a href="#">CIP-009-3</a>	R5	Lower	?
					RFC2015015303	<a href="#">CIP-009-3</a>	R5	Lower	?
1/28/16	NP16-10-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	RF	Unidentified Registered Entity	\$150,000	RFC2014013798	<a href="#">CIP-002-3</a>	R3	High	Severe
					RFC2014013829	<a href="#">CIP-003-3</a>	R1	Medium	Severe
					RFC2014013830	<a href="#">CIP-003-3</a>	R4	Medium	Severe

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					RFC2014013799	<a href="#">CIP-003-3</a>	R5	Lower	Severe
					RFC2014013800	<a href="#">CIP-003-3</a>	R6	Lower	Severe
					RFC2014013831	<a href="#">CIP-004-3</a>	R1	Lower	Severe
					RFC2014013832	<a href="#">CIP-004-3</a>	R2	Lower	Severe
					RFC2014013446	<a href="#">CIP-004-3a</a>	R2.1	Medium	Severe
					RFC2014013801	<a href="#">CIP-004-3</a>	R4	Lower	Severe
					RFC2014013794	<a href="#">CIP-004-3a</a>	R4.1	Lower	Severe
					RFC2014013802	<a href="#">CIP-005-3a</a>	R1	Medium	Severe
					RFC2014013803	<a href="#">CIP-005-3a</a>	R2	Medium	Severe
					RFC2014013804	<a href="#">CIP-005-3a</a>	R3	Medium	Severe
					RFC2014013805	<a href="#">CIP-005-3a</a>	R4	Medium	Severe
					RFC2014013833	<a href="#">CIP-005-3a</a>	R5	Lower	Severe
					RFC2014013810	<a href="#">CIP-006-3c</a>	R1	Medium	Severe
					RFC2015014715	<a href="#">CIP-006-3c</a>	R1	Medium	Severe
					RFC2014013811	<a href="#">CIP-006-3c</a>	R2	Medium	Severe
					RFC2014013812	<a href="#">CIP-006-3c</a>	R3	Medium	Severe
					RFC2014013809	<a href="#">CIP-006-3c</a>	R3	Medium	Severe
					RFC2014013813	<a href="#">CIP-006-3c</a>	R4	Medium	Severe
					RFC2014013814	<a href="#">CIP-006-3c</a>	R5	Medium	Severe
					RFC2014013815	<a href="#">CIP-006-3c</a>	R6	Lower	Severe
					RFC2014013834	<a href="#">CIP-006-3c</a>	R7	Lower	Severe
					RFC2014013835	<a href="#">CIP-006-3c</a>	R8	Medium	Severe
					RFC2014013820	<a href="#">CIP-007-3a</a>	R1	Medium	Severe
					RFC2014013821	<a href="#">CIP-007-3a</a>	R2	Medium	Severe
					RFC2015015243	<a href="#">CIP-007-3a</a>	R3	Lower	Severe
					RFC2014013795	<a href="#">CIP-007-3a</a>	R3	Lower	Severe
					RFC2014013822	<a href="#">CIP-007-3a</a>	R4	Medium	Severe
					RFC2014013823	<a href="#">CIP-007-3a</a>	R5	Lower	Severe
					RFC2014014469	<a href="#">CIP-007-3a</a>	R5.2.3	Lower	Severe
					RFC2014013797	<a href="#">CIP-007-3a</a>	R5	Lower	Severe
					RFC2014013816	<a href="#">CIP-007-3a</a>	R5	Lower	Severe
					RFC2014013824	<a href="#">CIP-007-3a</a>	R6	Lower	Severe
					RFC2014013915	<a href="#">CIP-007-3a</a>	R7	Lower	Severe
					RFC2014013825	<a href="#">CIP-007-3a</a>	R8	Lower	Severe
					RFC2014013836	<a href="#">CIP-007-3a</a>	R9	Lower	Severe



Date	FERC Docket & Regulatory Filings	Region	Registered Entity	Total Penalty (\$)	NERC Violation ID	Reliability Standard	Requirement	Violation Risk Factors	Violation Severity Level
1/28/16	NP16-9-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View Order &gt;&gt;</a>	WECC	Unidentified Registered Entity	No Penalty	RFC2014013826	<a href="#">CIP-008-3</a>	R1	Lower	Severe
					RFC2014013827	<a href="#">CIP-009-3</a>	R1	Medium	Severe
					WECC200801173	<a href="#">CIP-004-1</a>	R2	Lower	Severe
					WECC200801174	<a href="#">CIP-004-1</a>	R3	Medium	Severe
					WECC200801175	<a href="#">CIP-004-1</a>	R4	Lower	Lower
					WECC200801176	<a href="#">CIP-007-1</a>	R1	Medium	Severe
					WECC200901632	<a href="#">CIP-006-1</a>	R1; R1.8	Lower	Lower
					WECC200901633	<a href="#">CIP-005-1</a>	R1; R1.5	Lower	Lower
					WECC200902261	<a href="#">CIP-007-1</a>	R3; R3.1	Lower	Severe
					WECC201002260	<a href="#">CIP-007-1</a>	R1; R1.1	Medium	Severe
					WECC201002262	<a href="#">CIP-002-1</a>	R1; R1.2; R1.2.2; R1.2.4	Medium	Severe
					WECC201002269	<a href="#">CIP-006-1</a>	R1; R1.6	Medium	Severe
					WECC201002273	<a href="#">CIP-006-1</a>	R4	Lower	Moderate
					WECC201002279	<a href="#">CIP-007-1</a>	R6; R6.4; R6.5	Medium	Severe
					WECC201002280	<a href="#">CIP-004-1</a>	R3; R3.2	Medium	High
					WECC201002354	<a href="#">CIP-002-1</a>	R3; R3.1	High	Severe
					WECC201002355	<a href="#">CIP-003-1</a>	R1; R1.1; R1.2; R1.3	Medium	Severe
					WECC201002356	<a href="#">CIP-003-2</a>	R4.3	Medium	Severe
					WECC201002381	<a href="#">CIP-005-1</a>	R1	Medium	Severe
					WECC201002382	<a href="#">CIP-005-1</a>	R2	Medium	Moderate
					WECC201002412	<a href="#">CIP-007-1</a>	R5; R5.2.2	Lower	Severe
					WECC201102851	<a href="#">CIP-005-1</a>	R1; R1.4	Medium	Severe
					WECC2013011670	<a href="#">CIP-003-3</a>	R5	Lower	Severe
					WECC2013013087	<a href="#">CIP-005-3</a>	R3; R3.2	Medium	Severe
WECC2014014185	<a href="#">CIP-004-3a</a>	R3	Medium	Severe					
WECC2015014618	<a href="#">CIP-006-3c</a>	R5	Medium	Severe					
WECC2015014628	<a href="#">CIP-007-1</a>	R2	Medium	Severe					
WECC2015014629	<a href="#">CIP-007-1</a>	R5	Medium	Severe					
12/29/2016	NP17-12-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View A-1 Spreadsheet &gt;&gt;</a> <a href="#">View A-2 Spreadsheet &gt;&gt;</a>	SERC	SERC_URE1	\$50,000	SERC2015015210	<a href="#">CIP-005-3a</a>	R1.5	Medium	Severe
		SERC	SERC_URE1		SERC2015015209	<a href="#">CIP-006-3c</a>	R2.2	Medium	Severe
		SERC	SERC_URE1		SERC2016015560	<a href="#">CIP-005-3a</a>	R1.5	Medium	Severe
		SERC	SERC_URE1	SERC2016015561	<a href="#">CIP-006-3c</a>	R1.6.1	Medium	Severe	
		WECC	WECC_URE1	\$60,000	WECC2015015024	<a href="#">CIP-006-3c</a>	R1; R1.6	Medium	Severe
		WECC	WECC_URE1		WECC2016015456	<a href="#">CIP-006-3c</a>	R1; R1.6	Medium	Severe

Date	FERC Docket & Regulatory Filings	Region	Registered Entity	Total Penalty (\$)	NERC Violation ID	Reliability Standard	Requirement	Violation Risk Factors	Violation Severity Level
	<a href="#">View Order &gt;&gt;</a>	WECC	WECC_URE2	\$0	WECC200901795	<a href="#">CIP-004-1</a>	R4	Lower	Severe
		WECC	WECC_URE2	\$0	WECC200901796	<a href="#">CIP-007-1</a>	R1	Medium	Severe
		WECC	WECC_URE2	\$0	WECC201102961	<a href="#">CIP-007-1</a>	R3; R3.1	Lower	Severe
		WECC	WECC_URE2	\$0	WECC201102962	<a href="#">CIP-007-1</a>	R5; R5.2.1; R5.2.3; R5.3.3	Medium	Severe
		WECC	WECC_URE2	\$0	WECC201102963	<a href="#">CIP-007-1</a>	R6; R6.1	Medium	Severe
		WECC	WECC_URE2	\$0	WECC2013012054	<a href="#">CIP-006-1</a>	R1; R1.8	Medium	Severe
		WECC	WECC_URE2	\$0	WECC2014014018	<a href="#">CIP-007-1</a>	R6; R6.5	Lower	Severe
		WECC	WECC_URE3	\$0	WECC200901552	<a href="#">CIP-007-1</a>	R1; R1.2; R1.3	Medium	Severe
		WECC	WECC_URE3	\$0	WECC200901626	<a href="#">CIP-007-1</a>	R4; R4.2	Medium	High
		WECC	WECC_URE3	\$0	WECC200901645	<a href="#">CIP-007-1</a>	R3; R3.1; R3.2	Lower	High
		WECC	WECC_URE3	\$0	WECC200901710	<a href="#">CIP-003-1</a>	R1; R1.1; R1.3	Medium	Severe
		WECC	WECC_URE3	\$0	WECC200901711	<a href="#">CIP-004-1</a>	R2	Lower	High
		WECC	WECC_URE3	\$0	WECC200901712	<a href="#">CIP-004-1</a>	R4; R4.1	Lower	Severe
		WECC	WECC_URE3	\$0	WECC200901713	<a href="#">CIP-007-1</a>	R1.1	Medium	Severe
		WECC	WECC_URE3	\$0	WECC201002235	<a href="#">CIP-004-1</a>	R4; R4.1; R4.2	Medium	Severe
		WECC	WECC_URE3	\$0	WECC201002237	<a href="#">CIP-007-1</a>	R2	Medium	Severe
		WECC	WECC_URE3	\$0	WECC201002238	<a href="#">CIP-007-1</a>	R3	Lower	Severe
		WECC	WECC_URE3	\$0	WECC201002239	<a href="#">CIP-007-1</a>	R4	Medium	Severe
		WECC	WECC_URE3	\$0	WECC2012009538	<a href="#">CIP-007-1</a>	R5.2.1	Medium	High
		WECC	WECC_URE3	\$0	WECC2012010260	<a href="#">CIP-002-2</a>	R3	High	Severe
		WECC	WECC_URE4	\$0	WECC2015014880	<a href="#">CIP-006-1</a>	R3	Medium	Severe
10/31/2017	NP18-2-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View A-2 Spreadsheet &gt;&gt;</a> <a href="#">View Notice &gt;&gt;</a>	WECC	WECC_URE1	No Penalty	WECC201002165	<a href="#">CIP-006-1</a>	R1	Medium	Severe
		WECC	WECC_URE2	No Penalty	WECC2014014941	<a href="#">CIP-005-3a</a>	R1	Medium	Severe
		WECC	WECC_URE2	No penalty	WECC2015014926	<a href="#">CIP-006-3c</a>	R2	Medium	Severe
9/28/2017	NP17-31-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View Notice &gt;&gt;</a>	SERC	Unidentified Registered Entity	\$500,000	SERC2013012661	<a href="#">CIP-002-3</a>	R3	High	Severe
					SERC2016015522	<a href="#">CIP-002-3</a>	R4	Lower	Severe
					SERC2013012662	<a href="#">CIP-003-3</a>	R1; R1.3	Medium	Severe
					SERC2013012663	<a href="#">CIP-003-3</a>	R6	Lower	Severe
					SERC2013012664	<a href="#">CIP-004-3</a>	R2; R2.1	Medium	Severe
					SERC2013012665	<a href="#">CIP-004-3</a>	R3	Medium	Severe
					SERC2016016616	<a href="#">CIP-004-3</a>	R3; R3.3	Medium	Moderate
SERC2016015515	<a href="#">CIP-004-3a</a>	R3; R3.2	Medium	Moderate					

Date	FERC Docket & Regulatory Filings	Region	Registered Entity	Total Penalty (\$)	NERC Violation ID	Reliability Standard	Requirement	Violation Risk Factors	Violation Severity Level
					SERC2013012666	<a href="#">CIP-004-3</a>	R4	Lower	Severe
					SERC2016015480	<a href="#">CIP-004-3a</a>	R4; R4.2	Lower	Moderate
					SERC2016016615	<a href="#">CIP-004-6</a>	R5; R5.2	Medium	Moderate
					SERC2017016813	<a href="#">CIP-004-6</a>	R5; R5.4	Medium	Lower
					SERC2013012667	<a href="#">CIP-005-3</a>	R1; R1.1; R1.5	Medium	Severe
					SERC2016016619	<a href="#">CIP-005-3a</a>	R1	Medium	Severe
					SERC2017017849	<a href="#">CIP-005-3a</a>	R1; R1.1	Medium	Severe
					SERC2013012668	<a href="#">CIP-005-3</a>	R2; R2.2; R2.4	Medium	Severe
					SERC2016016620	<a href="#">CIP-005-3a</a>	R2; R2.2	Medium	Severe
					SERC2013012669	<a href="#">CIP-005-3</a>	R4; R4.2; R4.3; R4.4	Medium	Severe
					SERC2013012670	<a href="#">CIP-005-3</a>	R5; R5.1; R5.2	Lower	Severe
					SERC2016015523	<a href="#">CIP-005-3a</a>	R5; R5.1	Lower	Severe
					SERC2013012671	<a href="#">CIP-006-3a</a>	R1; R1.2; R1.8	Medium	Severe
					SERC2016015516	<a href="#">CIP-006-3c</a>	R1; R1.6	Medium	Severe
					SERC2016015524	<a href="#">CIP-006-3c</a>	R1; R1.8	Lower	Severe
					SERC2016016603	<a href="#">CIP-006-6</a>	R1; R1.3	Medium	Severe
					SERC2013012678	<a href="#">CIP-006-3a</a>	R2	Medium	Severe
					SERC2016016606	<a href="#">CIP-006-6</a>	R2; R2.1	Medium	Severe
					SERC2016016611	<a href="#">CIP-006-6</a>	R2; R2.2	Medium	Severe
					SERC2017017812	<a href="#">CIP-006-6</a>	R2; R2.2	Medium	Severe
					SERC2013012681	<a href="#">CIP-007-3a</a>	R2; R2.1; R2.2; R2.3	Medium	Severe
					SERC2013012675	<a href="#">CIP-007-3a</a>	R3; R3.1; R3.2	Lower	Severe
					SERC2013012676	<a href="#">CIP-007-3a</a>	R4; R4.1	Medium	Severe
					SERC2013012677	<a href="#">CIP-007-3a</a>	R5; R5.1.2; R5.3	Lower	Severe
					SERC2013012680	<a href="#">CIP-007-3a</a>	R6; R6.1	Lower	Severe
					SERC2013012679	<a href="#">CIP-007-3a</a>	R8; R8.2; R8.3	Lower	Severe
					SERC2016015525	<a href="#">CIP-007-3a</a>	R9	Lower	Severe
					SERC2017017851	<a href="#">CIP-007-6</a>	R2; R2.1	Medium	Moderate
					SERC2016016614	<a href="#">CIP-007-6</a>	R2; R2.2	Medium	Lower
					SERC2016016609	<a href="#">CIP-007-6</a>	R2; R2.3	Medium	High
					SERC2017017811	<a href="#">CIP-007-6</a>	R2; R2.4	Medium	Severe
					SERC2017017813	<a href="#">CIP-007-3a</a>	R6; R6.1	Medium	Severe
					SERC2016016605	<a href="#">CIP-007-6</a>	R4; R4.4	Medium	Lower
					SERC2017017854	<a href="#">CIP-007-3a</a>	R5; R5.2.1	Lower	Severe
					SERC2016016607	<a href="#">CIP-007-3a</a>	R5; R5.3.3	Lower	Severe

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					SERC2016016608	<a href="#">CIP-007-6</a>	R5; R5.5	Medium	High
					SERC2016016610	<a href="#">CIP-007-6</a>	R5; R5.7	Medium	Severe
					SERC2013012682	<a href="#">CIP-009-3</a>	R1	Medium	Severe
					SERC2017017850	<a href="#">CIP-010-2</a>	R1; R1.1	Medium	Severe
					SERC2016016612	<a href="#">CIP-010-2</a>	R1; R1.3	Medium	Severe
					SERC2017017852	<a href="#">CIP-010-2</a>	R1; R1.5	Medium	Severe
					SERC2016016613	<a href="#">CIP-010-2</a>	R2; R2.1	Medium	Severe
					SERC2016015460	<a href="#">BAL-002-1</a>	R4	Medium	Severe
					SERC2016016157	<a href="#">BAL-003-1.1</a>	R2	Medium	Severe
					SERC2016015526	<a href="#">FAC-014-2</a>	R3	Medium	Severe
					SERC2016015527	<a href="#">FAC-014-2</a>	R4	Medium	Severe
					SERC2017016808	<a href="#">PRC-002-2</a>	R5; R5.3	Lower	Severe
					SERC2016015697	<a href="#">PRC-023-3</a>	R6	High	Severe
					SERC2016015532	<a href="#">TPL-002-0b</a>	R1; R1.3	High	Lower
					SERC2016015533	<a href="#">TPL-003-0b</a>	R1; R1.3	High	Lower
SERC2016015534	<a href="#">TPL-004-0a</a>	R1; R1.3	Medium	Lower					
7/31/2017	NP17-26-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View Notice &gt;&gt;</a>	SPP RE	Unidentified Registered Entity	\$250,000	SPP2014014499	<a href="#">CIP-003-3</a>	R2: R2.2	Medium	Severe
					SPP2014014500	<a href="#">CIP-003-3</a>	R6	Lower	Severe
					SPP2014014513	<a href="#">CIP-004-3a</a>	R4	Lower	Moderate
					SPP2014014514	<a href="#">CIP-005-3a</a>	R1: R1.5; R1.6	Medium	Severe
					SPP2014014501	<a href="#">CIP-006-3c</a>	R1: R1.6.1	Medium	Severe
					SPP2014014502	<a href="#">CIP-006-3c</a>	R2: R2.2	Medium	Severe
					SPP2014014505	<a href="#">CIP-007-3a</a>	R1: R1.1	Lower	Severe
					SPP2014014506	<a href="#">CIP-007-3a</a>	R2: R2.1; R2.2	Medium	Severe
					SPP2014014507	<a href="#">CIP-007-3a</a>	R4: R4.1	Medium	Severe
					SPP2014014508	<a href="#">CIP-007-3a</a>	R5: R5.2; R5.2.2	Lower	Severe
					SPP2015015324	<a href="#">CIP-007-3a</a>	R6: R6.1; R6.4; R6.5	Medium	Severe
					SPP2014014510	<a href="#">CIP-008-3</a>	R1: R1.6	Lower	High
					SPP2014014511	<a href="#">CIP-009-3</a>	R2	Lower	Severe
7/31/2017	NP17-25-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View A-2 Spreadsheet &gt;&gt;</a> <a href="#">View Notice &gt;&gt;</a>	RF	ReliabilityFirst_URE1	\$150,000	RFC2014013842	<a href="#">CIP-007-3a</a>	R3; R3.1	Lower	Severe
		WECC	WECC_URE_2	\$74,000	WECC2016016130	<a href="#">CIP-006-3c</a>	R2; R2.1; R2.2	Medium	Severe
4/27/2017	NP17-21-000	WECC		\$201,000	WECC2015014909	<a href="#">CIP-006-3c</a>	R2.2	Medium	High

Date	FERC Docket & Regulatory Filings	Region	Registered Entity	Total Penalty (\$)	NERC Violation ID	Reliability Standard	Requirement	Violation Risk Factors	Violation Severity Level
	<a href="#">View Filing &gt;&gt;</a> <a href="#">View Notice &gt;&gt;</a>		Unidentified Registered Entity		WECC2015015031	<a href="#">CIP-004-1</a>	R3	Lower	Moderate
9/27/2018	NP18-26-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View A-2 Spreadsheet &gt;&gt;</a> <a href="#">View Notice &gt;&gt;</a>	NPCC	NPCC_URE1	No Penalty	NPCC2017017596	<a href="#">CIP-006-6</a>	R1: P1.6; P1.7	Medium	Severe
8/30/2018	NP18-22-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View Notice &gt;&gt;</a>	WECC	Unidentified Registered Entity	No Penalty	WECC2015015218	<a href="#">CIP-005-3a</a>	R1	Medium	Severe
7/31/2018	NP18-21-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View A-2 Spreadsheet &gt;&gt;</a> <a href="#">View Notice &gt;&gt;</a>	WECC	WECC_URE1	No penalty	WECC2017018459	<a href="#">CIP-007-6</a>	R2; P2.1, 2.2, 2.3, and 2.4	High	Severe
				No penalty	WECC2017017974	<a href="#">CIP-005-1</a>	R2; R2.4	Medium	Severe
				No penalty	WECC2016015839	<a href="#">CIP-007-3a</a>	R3	Lower	Severe
				No Penalty	WECC2017017706	<a href="#">CIP-006-6</a>	R2: P2.2	Medium	Severe
5/31/2018	NP18-15-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View A-2 Spreadsheet &gt;&gt;</a> <a href="#">View Notice &gt;&gt;</a>	WECC	WECC_URE1	No Penalty	WECC2017016850	<a href="#">CIP-004-6</a>	R5; Part 5.1	Medium	High
5/31/2018	NP18-14-000 <a href="#">View Filing &gt;&gt;</a> <a href="#">View Notice &gt;&gt;</a>	RF	Unidentified Registered Entity	\$180,000	RFC2015014936	<a href="#">CIP-003-3</a>	R5	Lower	Severe
					RFC2016015692	<a href="#">CIP-003-3</a>	R5	Lower	Severe
					RFC2015015313	<a href="#">CIP-003-3</a>	R6	Medium	Severe
					RFC2016015717	<a href="#">CIP-003-3</a>	R6	Lower	Severe
					RFC2015015008	<a href="#">CIP-004-3a</a>	R3	Medium	Moderate
					RFC2015015009	<a href="#">CIP-004-3a</a>	R4	Lower	High
					RFC2015015402	<a href="#">CIP-004-3a</a>	R4	Lower	Severe
					RFC2016015716	<a href="#">CIP-004-3a</a>	R4	Lower	Severe
					RFC2016016474	<a href="#">CIP-005-3a</a>	R1	Medium	Severe
					RFC2015015314	<a href="#">CIP-006-3c</a>	R1	Medium	Severe
					RFC2016015844	<a href="#">CIP-006-3c</a>	R5	Medium	Severe
					RFC2016015715	<a href="#">CIP-007-3a</a>	R1	Medium	Severe
					RFC2016015714	<a href="#">CIP-007-3a</a>	R2	Medium	Severe
					RFC2015015241	<a href="#">CIP-007-3a</a>	R3	Lower	Severe
					RFC2016015843	<a href="#">CIP-007-3a</a>	R3	Lower	Severe
RFC2016015538	<a href="#">CIP-007-3a</a>	R5	Lower	Severe					

Date	FERC Docket & Regulatory Filings	Region	Registered Entity	Total Penalty (\$)	NERC Violation ID	Reliability Standard	Requirement	Violation Risk Factors	Violation Severity Level
					RFC2016015713	<a href="#">CIP-007-3a</a>	R5	Medium	Severe
					RFC2016015752	<a href="#">CIP-007-3a</a>	R6	Medium	Severe
					RFC2015015107	<a href="#">CIP-007-3a</a>	R6	Lower	Severe
					RFC2017017565	<a href="#">CIP-007-6</a>	R2	High	Severe
					RFC2017017566	<a href="#">CIP-007-6</a>	R5	Medium	High
					RFC2015015312	<a href="#">CIP-014-2</a>	R1	High	Lower
2/28/2018	NP18-7-000 <a href="#">View Filing &gt;&gt;</a>	WECC	Unidentified Registered Entity	\$2,700,000	WECC2016016233	<a href="#">CIP-003-3</a>	R4	Medium	Severe
					WECC2016016234	<a href="#">CIP-003-3</a>	R5	Medium	Severe